# Improved Semidefinite Programming Hierarchy for Entanglement Testing with tools from Algebraic Geometry 

Aram W. Harrow, Anand Natarajan, Xiaodi Wu

MIT Center for Theoretical Physics
IQC Colloquium, Nov 17th 2014

## Entanglement Detection

## Definition (Separable and Entangled States)

A bi-partitie state $\rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y})$ is separable if $\exists$ dist. $\left\{p_{i}\right\}$,

$$
\rho=\sum p_{i} \sigma_{X}^{i} \otimes \sigma_{Y}^{i}, \text { s.t. } \sigma_{X}^{i} \in \mathrm{D}(\mathcal{X}), \sigma_{Y}^{i} \in \mathrm{D}(\mathcal{Y})
$$

Otherwise, $\rho$ is entangled. Let Sep $\stackrel{\text { def }}{=}\{$ separable states $\}$.


## Entanglement Detection

## Definition (Separable and Entangled States)

A bi-partitie state $\rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y})$ is separable if $\exists$ dist. $\left\{p_{i}\right\}$,

$$
\rho=\sum p_{i} \sigma_{X}^{i} \otimes \sigma_{Y}^{i}, \text { s.t. } \sigma_{X}^{i} \in \mathrm{D}(\mathcal{X}), \sigma_{Y}^{i} \in \mathrm{D}(\mathcal{Y})
$$

Otherwise, $\rho$ is entangled. Let Sep $\stackrel{\text { def }}{=}\{$ separable states $\}$.

## Definition (Entanglement Detection)

A KEY problem: given the description of $\rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y})$, decide
Either $\rho \in \operatorname{Sep}$, or $\rho$ is far away from Sep.

Introduction

## Alternative Formulation

## Definition (Weak Membership)

WMem $(\epsilon,\|\cdot\|)$ : for any $\rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y})$, decide either $\rho \in$ Sep or $\|\rho-\operatorname{Sep}\| \geq \epsilon$.

Via standard techniques in convex optimization, equivalent to
$\qquad$
From now on, we focus on $W O p t(M$

## Alternative Formulation

## Definition (Weak Membership)

WMem $(\epsilon,\|\cdot\|)$ : for any $\rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y})$, decide either $\rho \in$ Sep or $\|\rho-\operatorname{Sep}\| \geq \epsilon$.

Via standard techniques in convex optimization, equivalent to

## Definition (Weak Optimization)

$\operatorname{WOpt}(M, \epsilon):$ for any $M \in \operatorname{Herm}(\mathcal{X} \otimes \mathcal{Y})$, estimate the value of

$$
\max _{\rho \in \operatorname{Sep}}\langle M, \rho\rangle,
$$

with additive error $\epsilon$.
From now on, we focus on

## Alternative Formulation

## Definition (Weak Membership)

WMem $(\epsilon,\|\cdot\|)$ : for any $\rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y})$, decide either $\rho \in$ Sep or $\|\rho-\operatorname{Sep}\| \geq \epsilon$.

Via standard techniques in convex optimization, equivalent to

## Definition (Weak Optimization)

$\operatorname{WOpt}(M, \epsilon)$ : for any $M \in \operatorname{Herm}(\mathcal{X} \otimes \mathcal{Y})$, estimate the value of

$$
\max _{\rho \in \operatorname{Sep}}\langle M, \rho\rangle,
$$

with additive error $\epsilon$.
From now on, we focus on $\operatorname{WOpt}(M, \epsilon)$.

## Connections

## Quantum Information:

- Ground energy that is achieved by non-entangled states.


## Connections

## Quantum Information:

- Ground energy that is achieved by non-entangled states.
- Mean-field approximation in statistical quantum mechanics.


## Connections

## Quantum Information:

- Ground energy that is achieved by non-entangled states.
- Mean-field approximation in statistical quantum mechanics.
- Positivity test of quantum channels.


## Connections

## Quantum Information:

- Ground energy that is achieved by non-entangled states.
- Mean-field approximation in statistical quantum mechanics.
- Positivity test of quantum channels.
- 17 more examples in quantum information in [HM10].

Quantum Complexity:

- Quantum Merlin-Arthur Game with Two-Provers (QMA(2))

Classical Comnlexity
Unique Game Conjecture and Small-set Expansion.

## Connections

## Quantum Information:

- Ground energy that is achieved by non-entangled states.
- Mean-field approximation in statistical quantum mechanics.
- Positivity test of quantum channels.
- 17 more examples in quantum information in [HM10].


## Quantum Complexity:

- Quantum Merlin-Arthur Game with Two-Provers (QMA(2)).

Classical Complexity:
Unique Game Conjecture and Small-set Expansion.

## Connections

## Quantum Information:

- Ground energy that is achieved by non-entangled states.
- Mean-field approximation in statistical quantum mechanics.
- Positivity test of quantum channels.
- 17 more examples in quantum information in [HM10].


## Quantum Complexity:

- Quantum Merlin-Arthur Game with Two-Provers (QMA(2)).

Classical Complexity:

- Unique Game Conjecture and Small-set Expansion. ( $\ell_{2} \rightarrow \ell_{4}$ norm)


## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T_{y}}=\rho$ ? [PH]


## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T_{\mathcal{Y}}}=\rho$ ? [PH]
- Reduction Criterions: $I_{\mathcal{X}} \otimes \rho_{Y} \geq \rho$ ? [HH]


## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T_{\mathcal{Y}}}=\rho$ ? [PH]
- Reduction Criterions: $I_{\mathcal{X}} \otimes \rho_{Y} \geq \rho$ ? [HH]
- ......


## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T y}=\rho$ ? [PH]
- Reduction Criterions: $I_{\mathcal{X}} \otimes \rho_{Y} \geq \rho$ ? [HH]
- ......
- FAILURE: any such test has arbitrarily large error. [BS]



## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T_{y}}=\rho$ ? [PH]
- Reduction Criterions: $I_{\mathcal{X}} \otimes \rho_{Y} \geq \rho$ ? [HH]
- .....
- FAILURE: any such test has arbitrarily large error. [BS]


## Doherty-Parrilo-Spedalieri (DPS) hierarchy:

- $\rho$ is $k$-extendible if $\exists$ symmetric $\sigma \in \mathrm{D}\left(\mathcal{X} \otimes \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}\right)$, $\forall i, \rho=\sigma_{X Y_{i}}$.


## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T_{y}}=\rho$ ? [PH]
- Reduction Criterions: $I_{\mathcal{X}} \otimes \rho_{Y} \geq \rho$ ? [HH]
- . . . . .
- FAILURE: any such test has arbitrarily large error. [BS]


## Doherty-Parrilo-Spedalieri (DPS) hierarchy:

- $\rho$ is $k$-extendible if $\exists$ symmetric $\sigma \in \mathrm{D}\left(\mathcal{X} \otimes \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}\right)$, $\forall i, \rho=\sigma_{X Y_{i}}$.
- $\rho \in$ Sep if and only if $\rho$ is $k$-extendible for any $k \geq 0$.


## Early Attempts

## Separability Criterions:

- Positive Partial Transpose (PPT) : $\rho^{T \mathcal{Y}}=\rho$ ? [PH]
- Reduction Criterions: $I_{\mathcal{X}} \otimes \rho_{Y} \geq \rho$ ? [HH]
- . . . . .
- FAILURE: any such test has arbitrarily large error. [BS]


## Doherty-Parrilo-Spedalieri (DPS) hierarchy:

- $\rho$ is $k$-extendible if $\exists$ symmetric $\sigma \in \mathrm{D}\left(\mathcal{X} \otimes \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}\right)$, $\forall i, \rho=\sigma_{X Y_{i}}$.
- $\rho \in$ Sep if and only if $\rho$ is $k$-extendible for any $k \geq 0$.
- Semidefinite program (SDP): size exponential in $k$.


## Hardness

Let $h_{\mathrm{Sep}(n)}(M)$ denote the value of

$$
\max \langle\mathbf{M}, \rho\rangle \text { s.t. } \rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y}) \text { is separable, }
$$

where $n$ refers to the dimension of $\mathcal{X} \otimes \mathcal{Y}$.

## Hardness

Let $h_{\operatorname{Sep}(n)}(M)$ denote the value of

$$
\max \langle\mathbf{M}, \rho\rangle \text { s.t. } \rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y}) \text { is separable, }
$$

where $n$ refers to the dimension of $\mathcal{X} \otimes \mathcal{Y}$.

## Hardness

- NP-hard to approximate $h_{\text {Sep }(n)}(M)$ with additive error $\epsilon=1 / p o l y(n)$. [Gur03,loa07,Gha10], [deK08, LQNY09].


## Hardness

Let $h_{\operatorname{Sep}(n)}(M)$ denote the value of

$$
\max \langle\mathbf{M}, \rho\rangle \text { s.t. } \rho \in \mathrm{D}(\mathcal{X} \otimes \mathcal{Y}) \text { is separable, }
$$

where $n$ refers to the dimension of $\mathcal{X} \otimes \mathcal{Y}$.

## Hardness

- NP-hard to approximate $h_{\text {Sep }(n)}(M)$ with additive error $\epsilon=1 / p o l y(n)$. [Gur03,loa07,Gha10], [deK08, LQNY09].
- Assuming Exponential Time Hypothesis (ETH), for constant $\epsilon$, approximate $h_{\text {Sep }(n)}(M)$ needs $n^{\Omega(\log (n))}$ time. via the connection to $\mathrm{QMA}(2)$. $[\mathrm{HM}, \mathrm{AB}+]$


## Upper bounds

When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: $\operatorname{time}(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]


## Upper bounds

When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: time $(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]
- Epsilon-net (brute-force): time $(1 / \epsilon)^{O(n)} \rightarrow n^{O(n)}$.


## Upper bounds

When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: time $(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]
- Epsilon-net (brute-force): time $(1 / \epsilon)^{O(n)} \rightarrow n^{O(n)}$.


## Upper bounds

## When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: time $(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]
- Epsilon-net (brute-force): time $(1 / \epsilon)^{O(n)} \rightarrow n^{O(n)}$.


## When $\epsilon=$ const

- DPS to $O\left(\log (n) / \epsilon^{2}\right)$ level for 1-LOCC $M$ : time $n^{O\left(\log (n) / \epsilon^{2}\right)} \rightarrow n^{O(\log (n))}$. [BYC, BH]


## Upper bounds

## When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: time $(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]
- Epsilon-net (brute-force): time $(1 / \epsilon)^{O(n)} \rightarrow n^{O(n)}$.


## When $\epsilon=$ const

- DPS to $O\left(\log (n) / \epsilon^{2}\right)$ level for 1-LOCC $M$ : time $n^{O\left(\log (n) / \epsilon^{2}\right)} \rightarrow n^{O(\log (n))}$. [BYC, BH]
- Epsilon-net for 1-LOCC $M$ or $M$ with small $\|M\|_{\text {F }}$ : time similar to above. [SW, BH]

REMARK: all DPS results correspond to variants of quantum

## Upper bounds

## When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: time $(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]
- Epsilon-net (brute-force): time $(1 / \epsilon)^{O(n)} \rightarrow n^{O(n)}$.


## When $\epsilon=$ const

- DPS to $O\left(\log (n) / \epsilon^{2}\right)$ level for 1-LOCC $M$ : time $n^{O\left(\log (n) / \epsilon^{2}\right)} \rightarrow n^{O(\log (n))}$. [BYC, BH]
- Epsilon-net for 1-LOCC $M$ or $M$ with small $\|M\|_{\text {F }}$ : time similar to above. [SW, BH]

REMARK: all DPS results correspond to variants of quantum

## Upper bounds

## When $\epsilon=1 / \operatorname{poly}(n)$

- DPS to $O(n / \sqrt{\epsilon})$ level: time $(n / \sqrt{\epsilon})^{O(n)} \rightarrow n^{O(n)}$. [NOP]
- Epsilon-net (brute-force): time $(1 / \epsilon)^{O(n)} \rightarrow n^{O(n)}$.


## When $\epsilon=$ const

- DPS to $O\left(\log (n) / \epsilon^{2}\right)$ level for 1-LOCC $M$ : time $n^{O\left(\log (n) / \epsilon^{2}\right)} \rightarrow n^{O(\log (n))}$. [BYC, BH]
- Epsilon-net for 1-LOCC $M$ or $M$ with small $\|M\|_{\mathrm{F}}$ : time similar to above. [SW, BH]

REMARK: all DPS results correspond to variants of quantum de Finetti theorem.

## Landscape

Table: Known results about approximating $h_{\operatorname{Sep}(n)}$ to error $\epsilon$

| Error $\epsilon$ | Lower bounds | Upper b. (DPS) | Upper b. ( $\epsilon$-net) |
| :---: | :---: | :---: | :---: |
| $1 /$ poly $(n)$ | NP-hard | $(n / \sqrt{\epsilon})^{O(n)}$ | $(1 / \epsilon)^{O(n)}$ |
| const | $n^{O(\log (n))}$ | $n^{O\left(\log (n) / \epsilon^{2}\right)}$ | similar to left |
|  | $($ ETH $)$ | $(1-$ LOCC $)$ | $(1-$ LOCC $)$ |

## Landscape

Table: Known results about approximating $h_{\operatorname{Sep}(n)}$ to error $\epsilon$

| Error $\epsilon$ | Lower bounds | Upper b. (DPS) | Upper b. ( $\epsilon$-net) |
| :---: | :---: | :---: | :---: |
| $1 /$ poly $(n)$ | NP-hard | $(n / \sqrt{\epsilon})^{O(n)}$ | $(1 / \epsilon)^{O(n)}$ |
| const | $n^{O(\log (n))}$ | $n^{O\left(\log (n) / \epsilon^{2}\right)}$ | similar to left |
|  | $($ ETH $)$ | $(1-$ LOCC $)$ | $(1-$ LOCC $)$ |

REMARK: previous results focus on the dependence on $n$, which is sufficient for their purpose. However, the dependence on $\epsilon$ could be bad.

## Landscape

Table: Known results about approximating $h_{\operatorname{Sep}(n)}$ to error $\epsilon$

| Error $\epsilon$ | Lower bounds | Upper b. (DPS) | Upper b. ( $\epsilon$-net) |
| :---: | :---: | :---: | :---: |
| $1 /$ poly $(n)$ | NP-hard | poly $(1 / \epsilon)$ | poly $(1 / \epsilon)$ |
| const | $n^{O(\log (n))}$ | $\exp (1 / \epsilon)$ | similar to left |
|  | $($ ETH $)$ | $(1-$ LOCC $)$ | $(1-$ LOCC $)$ |

REMARK: previous results focus on the dependence on $n$, which is sufficient for their purpose. However, the dependence on $\epsilon$ could be bad.

## Landscape

Table: Known results about approximating $h_{\operatorname{Sep}(n)}$ to error $\epsilon$

| Error $\epsilon$ | Lower bounds | Upper b. (DPS) | Upper b. ( $\epsilon$-net) |
| :---: | :---: | :---: | :---: |
| $1 /$ poly $(n)$ | NP-hard | poly $(1 / \epsilon)$ | poly $(1 / \epsilon)$ |
| const | $n^{O(\log (n))}$ | $\exp (1 / \epsilon)$ | similar to left |
|  | $($ ETH $)$ | $(1-$ LOCC $)$ | $(1-$ LOCC $)$ |

REMARK: previous results focus on the dependence on $n$, which is sufficient for their purpose. However, the dependence on $\epsilon$ could be bad. Is such dependence necessary?

Introduction
Proof Technique
Conclusions

Motivations

## Error dependence could be SIGNIFICANT

## Complexity could grow with $1 / \epsilon$

- Infinite translationally invariant Hamiltonian: the complexity grows rapidly with $1 / \epsilon$ even with fixed local dimension. [CPW]
Quantum Interactive Proof: the complexity jumps from PSPACE to EXP with smaller $\epsilon$

Will approximating $h_{\operatorname{Sep}(n)}$ be such a case?

Introduction
Proof Technique
Conclusions

## Error dependence could be SIGNIFICANT

## Complexity could grow with $1 / \epsilon$

- Infinite translationally invariant Hamiltonian: the complexity grows rapidly with $1 / \epsilon$ even with fixed local dimension. [CPW]
- Quantum Interactive Proof: the complexity jumps from PSPACE to EXP with smaller $\epsilon$. [IKW]

Will approximating $h_{\operatorname{Sep}(n)}$ be such a case?

## REMARK: It is not clear how to improve the error dependence

for either DPS or epsilon-net approach

## Error dependence could be SIGNIFICANT

## Complexity could grow with $1 / \epsilon$

- Infinite translationally invariant Hamiltonian: the complexity grows rapidly with $1 / \epsilon$ even with fixed local dimension. [CPW]
- Quantum Interactive Proof: the complexity jumps from PSPACE to EXP with smaller $\epsilon$. [IKW]

Will approximating $h_{\operatorname{Sep}(n)}$ be such a case?
REMARK: It is not clear how to improve the error dependence
for either DPS or epsilon-net approach

## Error dependence could be SIGNIFICANT

## Complexity could grow with $1 / \epsilon$

- Infinite translationally invariant Hamiltonian: the complexity grows rapidly with $1 / \epsilon$ even with fixed local dimension. [CPW]
- Quantum Interactive Proof: the complexity jumps from PSPACE to EXP with smaller $\epsilon$. [IKW]

Will approximating $h_{\mathrm{Sep}(n)}$ be such a case?
REMARK: It is not clear how to improve the error dependence for either DPS or epsilon-net approach.

## Error dependence could be SIGNIFICANT

## Complexity could grow with $1 / \epsilon$

- Infinite translationally invariant Hamiltonian: the complexity grows rapidly with $1 / \epsilon$ even with fixed local dimension. [CPW]
- Quantum Interactive Proof: the complexity jumps from PSPACE to EXP with smaller $\epsilon$. [IKW]

Will approximating $h_{\mathrm{Sep}(n)}$ be such a case?
REMARK: It is not clear how to improve the error dependence for either DPS or epsilon-net approach.

- DPS hard due to tightness of de Finetti and $k$-extendibility.

Introduction

## Main Result

## Error dependence about $h_{\text {Sep }(n)}$

- NO error dependence except numerical errors.

For analytical purposes, there is no error at all. Numerically, the dependence is poly $\log (1 / \epsilon)$, exponential improvement from best known poly $(1 / \epsilon), \exp (1 / \epsilon)$.

## Main Result

## Error dependence about $h_{\text {Sep }(n)}$

- NO error dependence except numerical errors.
- For analytical purposes, there is no error at all.


Moreover, the dependence on $n$ remains the same

## Main Result

## Error dependence about $h_{\text {Sep }(n)}$

- NO error dependence except numerical errors.
- For analytical purposes, there is no error at all.
- Numerically, the dependence is polylog(1/ $\epsilon$ ), exponential improvement from best known poly $(1 / \epsilon), \exp (1 / \epsilon)$.


## Moreover, the dependence on $n$ remains the same

$\square$

## Main Result

## Error dependence about $h_{\text {Sep(n) }}$

- NO error dependence except numerical errors.
- For analytical purposes, there is no error at all.
- Numerically, the dependence is polylog(1/ $\epsilon$ ), exponential improvement from best known poly $(1 / \epsilon), \exp (1 / \epsilon)$.

Moreover, the dependence on $n$ remains the same.


## Main Result

## Error dependence about $h_{\text {Sep(n) }}$

- NO error dependence except numerical errors.
- For analytical purposes, there is no error at all.
- Numerically, the dependence is polylog(1/ $\epsilon$ ), exponential improvement from best known poly $(1 / \epsilon), \exp (1 / \epsilon)$.


## Moreover, the dependence on $n$ remains the same.

## Theorem (Main)

There exist two algorithms that estimate $h_{\operatorname{Sep}(n)}(M)$ to error $\epsilon$ in time $\exp (\operatorname{poly}(n))$ poly $\log (1 / \epsilon)$. similar for the multi-partite case.

## Two Algorithms

## Quantifier Elimination

- Based on a generic quantifier elimination solver, to solve

$$
\forall W,[\forall|\psi\rangle,|\phi\rangle,\langle\psi|\langle\phi| W|\psi\rangle|\phi\rangle \geq 0 \Longrightarrow\langle\rho, W\rangle \geq 0] .
$$

- No new insights into the problem. Omitted in this talk.


## Improved DPS : DPS+

- Based on DPS hierarchy, with new constraints from

Karush-Kuhn-Tucker Conditions.

## Two Algorithms

## Quantifier Elimination

- Based on a generic quantifier elimination solver, to solve

$$
\forall W,[\forall|\psi\rangle,|\phi\rangle,\langle\psi|\langle\phi| W|\psi\rangle|\phi\rangle \geq 0 \Longrightarrow\langle\rho, W\rangle \geq 0] .
$$

- No new insights into the problem. Omitted in this talk.


## Improved DPS : DPS+

- Based on DPS hierarchy, with new constraints from

Karush-Kuhn-Tucker Conditions.
Formulatad as ©nDs of similar sizos in terms of the level

## Two Algorithms

## Quantifier Elimination

- Based on a generic quantifier elimination solver, to solve

$$
\forall W,[\forall|\psi\rangle,|\phi\rangle,\langle\psi|\langle\phi| \boldsymbol{W}|\psi\rangle|\phi\rangle \geq 0 \Longrightarrow\langle\rho, W\rangle \geq 0] .
$$

- No new insights into the problem. Omitted in this talk.


## Improved DPS : DPS+

- Based on DPS hierarchy, with new constraints from Karush-Kuhn-Tucker Conditions.
- Formulated as SDPs of similar sizes in terms of the level $h$
- The new hierarchy is exact when $k=\exp (\operatorname{poly}(n))$.


## Two Algorithms

## Quantifier Elimination

- Based on a generic quantifier elimination solver, to solve

$$
\forall W,[\forall|\psi\rangle,|\phi\rangle,\langle\psi|\langle\phi| \boldsymbol{W}|\psi\rangle|\phi\rangle \geq 0 \Longrightarrow\langle\rho, W\rangle \geq 0]
$$

- No new insights into the problem. Omitted in this talk.


## Improved DPS : DPS+

- Based on DPS hierarchy, with new constraints from Karush-Kuhn-Tucker Conditions.
- Formulated as SDPs of similar sizes in terms of the level $k$.


## Two Algorithms

## Quantifier Elimination

- Based on a generic quantifier elimination solver, to solve

$$
\forall W,[\forall|\psi\rangle,|\phi\rangle,\langle\psi|\langle\phi| \boldsymbol{W}|\psi\rangle|\phi\rangle \geq 0 \Longrightarrow\langle\rho, W\rangle \geq 0] .
$$

- No new insights into the problem. Omitted in this talk.


## Improved DPS : DPS+

- Based on DPS hierarchy, with new constraints from Karush-Kuhn-Tucker Conditions.
- Formulated as SDPs of similar sizes in terms of the level $k$.
- The new hierarchy is exact when $k=\exp (\operatorname{poly}(n))$.


## DPS+ hierarchy

## DPS+ hierarchy level $k$ for $h_{\operatorname{Sep}(n)}(M)$

$$
\begin{array}{cl}
\max _{\rho} & \left\langle\rho_{X \mathcal{Y}_{1}}, M\right\rangle \\
\text { such that } & \rho \in \mathrm{D}\left(\mathcal{X} \otimes \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}\right), \\
& \rho \text { is symmetric on } \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}, \\
& \left\langle\rho, \Gamma_{i}\right\rangle=0, \forall i . \quad \text { KKT conditions }
\end{array}
$$

## DPS+ hierarchy

## DPS+ hierarchy level $k$ for $h_{\operatorname{Sep}(n)}(M)$

$$
\begin{array}{cl}
\max _{\rho} & \left\langle\rho \times \mathcal{Y}_{1}, M\right\rangle \\
\text { such that } & \rho \in \mathrm{D}\left(\mathcal{X} \otimes \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}\right), \\
& \rho \text { is symmetric on } \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}, \\
& \left\langle\rho, \Gamma_{i}\right\rangle=0, \forall i . \quad \text { KKT conditions }
\end{array}
$$

## Remarks

- KKT conditions $\Gamma_{i}$ depend on $M$.


## DPS+ hierarchy

## DPS+ hierarchy level $k$ for $h_{\operatorname{Sep}(n)}(M)$

$$
\begin{array}{cl}
\max _{\rho} & \left\langle\rho \times \mathcal{Y}_{1}, M\right\rangle \\
\text { such that } & \rho \in \mathrm{D}\left(\mathcal{X} \otimes \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}\right), \\
& \rho \text { is symmetric on } \mathcal{Y}_{1} \otimes \cdots \otimes \mathcal{Y}_{k}, \\
& \left\langle\rho, \Gamma_{i}\right\rangle=0, \forall i . \quad \text { KKT conditions }
\end{array}
$$

## Remarks

- KKT conditions $\Gamma_{i}$ depend on $M$.
- KKT conditions are written without multipliers.


## Consequences

## DPS+ hierarchy as a SDP

- Primal of SDP: lead to a new type of monogamy relations. In the eye of any observable $M$, if the system satisfies DPS+, it has no difference from a separable state.



## Consequences

## DPS+ hierarchy as a SDP

- Primal of SDP: lead to a new type of monogamy relations. In the eye of any observable $M$, if the system satisfies DPS+, it has no difference from a separable state.
- Dual of SDP: lead to a new type of entanglement witness. Similar to [DPS], however, the set of entanglement witness could be non-convex.

Analogue of the exact convergence achievable for discrete optimization, e.g., SDP for integer programming.

## Consequences

## DPS+ hierarchy as a SDP

- Primal of SDP: lead to a new type of monogamy relations. In the eye of any observable $M$, if the system satisfies DPS+, it has no difference from a separable state.
- Dual of SDP: lead to a new type of entanglement witness. Similar to [DPS], however, the set of entanglement witness could be non-convex.
- Analogue of the exact convergence achievable for discrete optimization, e.g., SDP for integer programming.


## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
KKT conditions are necessary for critical points.
KKT conditions imply finite convergence (tri-exponential or higher) for a qeneric optimization problem.


## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
- KKT conditions are necessary for critical points.

KKT conditions imply finite convergence (tri-exponential or higher) for a generic optimization problem. Dring down the level for our problem to exponemtial

## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
- KKT conditions are necessary for critical points.
- KKT conditions imply finite convergence (tri-exponential or higher) for a generic optimization problem. [N, NR]


## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
- KKT conditions are necessary for critical points.
- KKT conditions imply finite convergence (tri-exponential or higher) for a generic optimization problem. [N, NR]
- Bring down the level for our problem to exponential.


## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
- KKT conditions are necessary for critical points.
- KKT conditions imply finite convergence (tri-exponential or higher) for a generic optimization problem. [N, NR]
- Bring down the level for our problem to exponential.
- KKT shrinks the feasible set to isolated points. (Bézout and Bertini)
- Exponential level suffices. (Grobner basis)
Handle arbitrary inputs rather than generic ones.


## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
- KKT conditions are necessary for critical points.
- KKT conditions imply finite convergence (tri-exponential or higher) for a generic optimization problem. [ $\mathrm{N}, \mathrm{NR}$ ]
- Bring down the level for our problem to exponential.
- KKT shrinks the feasible set to isolated points. (Bézout and Bertini)
- Exponential level suffices. (Grobner basis)

Handle arbitrary inputs rather than generic ones.

## Proof Overview

- Observe the connection between the DPS hierarchy and the Sum-of-Squares Lasserre/Parrilo hierarchy.
- KKT conditions are necessary for critical points.
- KKT conditions imply finite convergence (tri-exponential or higher) for a generic optimization problem. [ $\mathrm{N}, \mathrm{NR}$ ]
- Bring down the level for our problem to exponential.
- KKT shrinks the feasible set to isolated points. (Bézout and Bertini)
- Exponential level suffices. (Grobner basis)
- Handle arbitrary inputs rather than generic ones.


## The Problem: alternative formulation

Recall that $h_{\operatorname{Sep}(n)}(M)$ refers to

$$
\max \langle\mathbf{M}, \rho\rangle \text { s.t. } \rho \in \operatorname{Sep}(\mathcal{X} \otimes \mathcal{Y})
$$

For any $M \in \mathbb{C}^{n \times n}$, there exists $M^{\prime} \in \mathbb{C}^{2 n \times 2 n}$ s.t.

$$
h_{\text {ProdSym }(2 n)}\left(M^{\prime}\right)=\frac{1}{4} h_{\operatorname{Sep}(n)}(M)
$$

where $\operatorname{ProdSym}(n, k):=\operatorname{conv}\left\{(|\psi\rangle\langle\psi|)^{\otimes 2}:|\psi\rangle \in B\left(\mathbb{C}^{n}\right)\right\}$. [HM]
REDUCE our problem to the mathematically simpler $h_{\text {ProdSym }}(n)$.

## The Problem: alternative formulation

Recall that $h_{\operatorname{Sep}(n)}(M)$ refers to

$$
\max \langle\mathbf{M}, \rho\rangle \text { s.t. } \rho \in \operatorname{Sep}(\mathcal{X} \otimes \mathcal{Y})
$$

For any $M \in \mathbb{C}^{n \times n}$, there exists $M^{\prime} \in \mathbb{C}^{2 n \times 2 n}$ s.t.

$$
h_{\text {ProdSym }(2 n)}\left(M^{\prime}\right)=\frac{1}{4} h_{\operatorname{Sep}(n)}(M)
$$

where $\operatorname{ProdSym}(n, k):=\operatorname{conv}\left\{(|\psi\rangle\langle\psi|)^{\otimes 2}:|\psi\rangle \in B\left(\mathbb{C}^{n}\right)\right\}$. [HM]
REDUCE our problem to the mathematically simpler $h_{\text {ProdSym }(n)}$.

## Reduce $h_{\text {ProdSym(n) }}$ further

Let $|\psi\rangle=\sum_{i=1}^{n} a_{i}|i\rangle$ such that $\forall i, a_{i} \in \mathbb{C}$ and $\sum_{i}\left|a_{i}\right|^{2}=1$. It is easy to see that $h_{\operatorname{ProdSym}(n)}$ is equivalent to

$$
\begin{array}{ll}
\max _{a \in \mathbb{C}^{n}} & \sum_{i_{1}, i_{2}, j_{1}, j_{2}} \\
M_{\left(i_{1}, i_{2}\right),\left(j_{1}, j_{2}\right)} a_{i_{1}}^{*} a_{i_{2}}^{*} a_{j_{1}} a_{j_{2}} \\
\text { subject to } & \|a\|^{2}=1 . \tag{2}
\end{array}
$$

Now reduce from $\mathbb{C}$ to $\mathbb{R}$ by observing:

- $M$ is a Hermitian so the objective function is real.

Decomoosina the comolex number into real and imaginary
parts.

## Reduce $h_{\text {ProdSym(n) }}$ further

Let $|\psi\rangle=\sum_{i=1}^{n} a_{i}|i\rangle$ such that $\forall i, a_{i} \in \mathbb{C}$ and $\sum_{i}\left|a_{i}\right|^{2}=1$. It is easy to see that $h_{\operatorname{ProdSym}(n)}$ is equivalent to

$$
\begin{array}{ll}
\max _{a \in \mathbb{C}^{n}} & \sum_{i_{1}, i_{2}, i_{1}, j_{2}} \\
M_{\left(i_{1}, i_{2}\right),\left(j_{1}, j_{2}\right)} a_{i_{1}}^{*} a_{i_{2}}^{*} a_{j_{1}} a_{j_{2}} \\
\text { subject to } & \|a\|^{2}=1 . \tag{2}
\end{array}
$$

Now reduce from $\mathbb{C}$ to $\mathbb{R}$ by observing:

- $M$ is a Hermitian so the objective function is real.
- Decomposing the complex number into real and imaginary parts.


## $h_{\text {ProdSym }(n)}$ with real variables

By renaming, we arrive at the $h_{\text {ProdSym(n) }}$ with real variables:

$$
\begin{array}{ll}
\max _{x \in \mathbb{R}^{n}} & f_{0}(x)=\sum_{i_{1}, i_{2}, j_{1}, j_{2}} M_{\left.\left(i_{1}, i_{2}\right), j_{1}, j_{2}\right)} x_{i_{1}} x_{i_{2}} x_{j_{1}} x_{j_{2}} \\
\text { subject to } & f_{1}(x)=\|x\|^{2}-1=0 .
\end{array}
$$

REMARK: this is an instance of polynomial optimization problems with a homogenous degree 4 objective polynomial and a degree 2 constraint polynomial.

## Principle of Sum-of-Squares

One way to show that a polynomial $f(x)$ is nonnegative could be

$$
f(x)=\sum a_{i}(x)^{2} \geq 0
$$

## Example

$$
\begin{aligned}
f(x) & =2 x^{2}-6 x+5 \\
& =\left(x^{2}-2 x+1\right)+\left(x^{2}-4 x+4\right) \\
& =(x-1)^{2}+(x-2)^{2} \geq 0
\end{aligned}
$$

Such a decomposition is called a sum of squares (SOS) certificate for the non-negativity of $f$.

## Principle of SoS : constrained domain

## Definition (Variety)

A set $V \subseteq \mathbb{C}^{n}$ is called an algebraic variety if
$V=\left\{x \in \mathbb{C}^{n}: g_{1}(x)=\cdots=g_{k}(x)=0\right\}$.

Non-negativity of $f(x)$ on $V$ could be shown by

$$
f(x)=\sum a_{i}(x)^{2}+\sum b_{j}(x) g_{j}(x) \geq 0
$$

Question: whether all nonnegative polynomials on certain
variety have a SOS certificate? Hilbert 17th problem!

## Principle of SoS : constrained domain

## Definition (Variety)

A set $V \subseteq \mathbb{C}^{n}$ is called an algebraic variety if
$V=\left\{x \in \mathbb{C}^{n}: g_{1}(x)=\cdots=g_{k}(x)=0\right\}$.
Non-negativity of $f(x)$ on $V$ could be shown by

$$
f(x)=\sum a_{i}(x)^{2}+\sum b_{j}(x) g_{j}(x) \geq 0
$$

Question: whether all nonnegative polynomials on certain variety have a SOS certificate?

## Principle of SoS : constrained domain

## Definition (Variety)

A set $V \subseteq \mathbb{C}^{n}$ is called an algebraic variety if
$V=\left\{x \in \mathbb{C}^{n}: g_{1}(x)=\cdots=g_{k}(x)=0\right\}$.
Non-negativity of $f(x)$ on $V$ could be shown by

$$
f(x)=\sum a_{i}(x)^{2}+\sum b_{j}(x) g_{j}(x) \geq 0
$$

Question: whether all nonnegative polynomials on certain variety have a SOS certificate? Hilbert 17th problem!

## Putinar's Positivstellensatz

## Definition (Ideal)

The polynomial ideal I generated by $g_{1}, \ldots, g_{k} \in \mathbb{C}\left[x_{1}, \ldots, x_{n}\right]$ is

$$
I=\left\{\sum a_{i} g_{i}: a_{i} \in \mathbb{C}\left[x_{1}, \ldots, x_{n}\right]\right\}=<g_{1}, \cdots, g_{k}>
$$

where $\sigma(x)$ is a SOS and $g(x) \in I$

## Putinar's Positivstellensatz

## Definition (Ideal)

The polynomial ideal I generated by $g_{1}, \ldots, g_{k} \in \mathbb{C}\left[x_{1}, \ldots, x_{n}\right]$ is

$$
I=\left\{\sum a_{i} g_{i}: a_{i} \in \mathbb{C}\left[x_{1}, \ldots, x_{n}\right]\right\}=<g_{1}, \cdots, g_{k}>.
$$

## Theorem (Putinar's Positivstellensatz)

Under the Archimedean condition, if $f(x)>0$ on $V(I) \cap \mathbb{R}^{n}$, then

$$
f(x)=\sigma(x)+g(x),
$$

where $\sigma(x)$ is a SOS and $g(x) \in I$.

## SoS in Optimization

$\max \quad f(x)$
subject to $\quad g_{i}(x)=0 \quad \forall i$
is equivalent to (under AC)
min $\nu$
such that $\nu-f(x)=\sigma(x)+\sum_{i} b_{i}(x) g_{i}(x)$,
where $\sigma(x)$ is SOS and $b_{i}(x)$ is any polynomial.

## SoS relaxation: Lasserre/Parrilo Hierarchy

- If $\sigma(x)$ and $b_{i}(x)$ can have arbitrarily high degrees, then the optimization problem (5) is equivalent to problem (4).


## SoS relaxation: Lasserre/Parrilo Hierarchy

- If $\sigma(x)$ and $b_{i}(x)$ can have arbitrarily high degrees, then the optimization problem (5) is equivalent to problem (4).
- By bounding the degrees, i.e., $\operatorname{deg}(\sigma(x))$, $\operatorname{deg}\left(b_{i}(x) g_{i}(x)\right) \leq 2 D$ for some integer $D$, we get a hierarchy, namely the Lasserre/Parrilo hierarchy.
where $\sigma(x)$ is SOS and $b_{i}(x)$ is any polynomial and $\operatorname{deg}(\sigma(x))$,


## SoS relaxation: Lasserre/Parrilo Hierarchy

- If $\sigma(x)$ and $b_{i}(x)$ can have arbitrarily high degrees, then the optimization problem (5) is equivalent to problem (4).
- By bounding the degrees, i.e., $\operatorname{deg}(\sigma(x))$, $\operatorname{deg}\left(b_{i}(x) g_{i}(x)\right) \leq 2 D$ for some integer $D$, we get a hierarchy, namely the Lasserre/Parrilo hierarchy.


## SoS relaxation: Lasserre/Parrilo Hierarchy

- If $\sigma(x)$ and $b_{i}(x)$ can have arbitrarily high degrees, then the optimization problem (5) is equivalent to problem (4).
- By bounding the degrees, i.e., $\operatorname{deg}(\sigma(x))$, $\operatorname{deg}\left(b_{i}(x) g_{i}(x)\right) \leq 2 D$ for some integer $D$, we get a hierarchy, namely the Lasserre/Parrilo hierarchy.
$\min \quad \nu$
such that $\nu-f(x)=\sigma(x)+\sum_{i} b_{i}(x) g_{i}(x)$,
where $\sigma(x)$ is SOS and $b_{i}(x)$ is any polynomial and $\operatorname{deg}(\sigma(x))$, $\operatorname{deg}\left(b_{i}(x) g_{i}(x)\right) \leq 2 D$.


## Why it is a SDP?

## Observation

- Any $p(x)$ (of degree $2 D$ ) $=m^{T} Q m$, where $m$ is the vector of monomials of degree up to $2 D$ and $Q$ is the coefficients.
- $p(x)$ is a SOS iff $Q \geq 0$.


## Why it is a SDP?

## Observation

- Any $p(x)$ (of degree $2 D$ ) $=m^{T} Q m$, where $m$ is the vector of monomials of degree up to $2 D$ and $Q$ is the coefficients.
- $p(x)$ is a SOS iff $Q \geq 0$.

$$
\begin{array}{ll}
\min _{\nu, b_{i \alpha} \in \mathbb{R}} & \nu \\
\text { such that } & \nu A_{0}-F-\sum_{i \alpha} b_{i \alpha} G_{i \alpha} \geq 0 .
\end{array}
$$

## Why it is a SDP?

## Observation

- Any $p(x)$ (of degree $2 D$ ) $=m^{T} Q m$, where $m$ is the vector of monomials of degree up to $2 D$ and $Q$ is the coefficients.
- $p(x)$ is a SOS iff $Q \geq 0$.

$$
\begin{array}{ll}
\min _{\nu, b_{i \alpha} \in \mathbb{R}} & \nu \\
\text { such that } & \nu A_{0}-F-\sum_{i \alpha} b_{i \alpha} G_{i \alpha} \geq 0
\end{array}
$$

Complexity: poly $(m)$ poly $\log (1 / \epsilon)$, where $m=\binom{n+D}{D}$.

## Dual of the SDP: moment

## Dual of the SOS cone

- Let $\Sigma_{n, 2 d}$ be the cone of all PSD matrices representing SOS polynomials with degree up to $2 d$.
- The dual cone $\Sigma_{n, 2 d}^{*}$ is moment $M_{d}(x) \geq 0$, where entry $(\alpha, \beta)$ of $M_{d}(x)$ is $\int x^{\alpha+\beta} \mu(d x),|\alpha|,|\beta| \leq d$.


## Example

When $n=2, d=2$, the $M_{d}(x)$ for homogenous degree 4 moments is given by

$$
M_{2}(x)=\left(\begin{array}{lll}
x_{40} & x_{31} & x_{22} \\
x_{31} & x_{22} & x_{13} \\
x_{22} & x_{13} & x_{04}
\end{array}\right) \geq 0
$$

## Full Symmetry $\Longrightarrow$ DPS

Allow redundancy, we can put DPS in this picture.

## Example

Now each entry is labelled with $((i, j),(k, l))$ for degree 4 case, i.e., $M_{d}(x)=\rho \in \mathrm{D}\left(\mathbb{C}^{n} \otimes \mathbb{C}^{n}\right)$.

$$
\rho=\sum_{(i, j),(k, l)} x_{i} x_{j} x_{k} x_{l}|i\rangle|j\rangle\langle k|\langle I| .
$$

Note that entry $((i, j),(k, I))$ and $((i, I),(k, j))$ have the same value $x_{i} x_{j} x_{k} x_{l}$. This is PPT condition. Similar for DPS.

Remark: more symmetry because in ProdSym. Flexible in
choosing more or less symmetry.

## Full Symmetry $\Longrightarrow$ DPS

Allow redundancy, we can put DPS in this picture.

## Example

Now each entry is labelled with $((i, j),(k, I))$ for degree 4 case, i.e., $M_{d}(x)=\rho \in \mathrm{D}\left(\mathbb{C}^{n} \otimes \mathbb{C}^{n}\right)$.

$$
\rho=\sum_{(i, j),(k, l)} x_{i} x_{j} x_{k} x_{l}|i\rangle|j\rangle\langle k|\langle I| .
$$

Note that entry $((i, j),(k, I))$ and $((i, I),(k, j))$ have the same value $x_{i} x_{j} x_{k} x_{l}$. This is PPT condition. Similar for DPS.

Remark: more symmetry because in ProdSym. Flexible in choosing more or less symmetry.

## Karush-Kuhn-Tucker Conditions

For any optimization problem

$$
\max f(x) \text { s.t. } g_{i}(x) \leq 0, h_{j}(x)=0, \forall i, j,
$$

if $x^{*}$ is a local optimizer, then $\exists \mu_{i}, \lambda_{j}$,

$$
\begin{aligned}
\nabla f\left(x^{*}\right) & =\sum \mu_{i} \nabla g_{i}\left(x^{*}\right)+\sum \lambda_{j} \nabla h_{j}\left(x^{*}\right) \\
g_{i}\left(x^{*}\right) & \leq 0, h_{j}\left(x^{*}\right)=0 \\
\mu_{i} & \geq 0, \mu_{i} g_{i}\left(x^{*}\right)=0
\end{aligned}
$$

Remark: for convex optimization (our case), any global
optimizer satisfies KKT.

## Karush-Kuhn-Tucker Conditions

For any optimization problem

$$
\max f(x) \text { s.t. } g_{i}(x) \leq 0, h_{j}(x)=0, \forall i, j,
$$

if $x^{*}$ is a local optimizer, then $\exists \mu_{i}, \lambda_{j}$,

$$
\begin{aligned}
\nabla f\left(x^{*}\right) & =\sum \mu_{i} \nabla g_{i}\left(x^{*}\right)+\sum \lambda_{j} \nabla h_{j}\left(x^{*}\right) \\
g_{i}\left(x^{*}\right) & \leq 0, h_{j}\left(x^{*}\right)=0 \\
\mu_{i} & \geq 0, \mu_{i} g_{i}\left(x^{*}\right)=0
\end{aligned}
$$

Remark: for convex optimization (our case), any global optimizer satisfies KKT.

## Our case

## Recall our optimization problem is

$$
\max f_{0}(x) \text { s.t. } f_{1}(x)=0
$$

The KKT condition is $\nabla f_{0}(x)=\lambda \nabla f_{1}(x)$, which is equivalent to

$$
\operatorname{rank}\left(\begin{array}{cc}
\frac{\partial f_{0}(x)}{\partial x_{1}} & \frac{\partial f_{1}(x)}{\partial x_{1}} \\
\vdots & \vdots \\
\frac{\partial f_{0}(x)}{\partial x_{2 n}} & \frac{\partial f_{1}(x)}{\partial x_{2 n}}
\end{array}\right)<2
$$

## Our case

## Recall our optimization problem is

$$
\max f_{0}(x) \text { s.t. } f_{1}(x)=0
$$

The KKT condition is $\nabla f_{0}(x)=\lambda \nabla f_{1}(x)$, which is equivalent to

$$
\begin{gathered}
\operatorname{rank}\left(\begin{array}{cc}
\frac{\partial f_{0}(x)}{\partial x_{1}} & \frac{\partial f_{1}(x)}{\partial x_{1}} \\
\vdots & \vdots \\
\frac{\partial f_{0}(x)}{\partial x_{2 n}} & \frac{\partial f_{1}(x)}{\partial x_{2 n}}
\end{array}\right)<2 . \\
g_{i j}(x)=\frac{\partial f_{0}(x)}{\partial x_{i}} \frac{\partial f_{1}(x)}{\partial x_{j}}-\frac{\partial f_{0}(x)}{\partial x_{j}} \frac{\partial f_{1}(x)}{\partial x_{i}}, \quad \forall i, j
\end{gathered}
$$

## Optimization Problem with KKT constraints

$$
\begin{array}{ll}
\min & \nu \\
\text { such that } & \nu-f_{0}(x) \geq 0 \\
& f_{1}(x)=0 \\
\text { KKT } & g_{i j}(x)=0 \quad \forall 1 \leq i \neq j \leq 2 n
\end{array}
$$

- Apply the degree bound $D$, we get the SoS SDP hierarchy.



## Optimization Problem with KKT constraints

$\min \quad \nu$
such that $\nu-f_{0}(x) \geq 0$
$f_{1}(x)=0$
KKT

$$
g_{i j}(x)=0 \quad \forall 1 \leq i \neq j \leq 2 n
$$

- Apply the degree bound $D$, we get the SoS SDP hierarchy.
- Will show finite convergence when $D=\exp (\operatorname{poly}(n))$. Then $m=\binom{n+D}{D}=\exp (\operatorname{poly}(n))$. Thus the final time is $\exp (\operatorname{poly}(n))$ poly $\log (1 / \epsilon)$.


## KKT Ideal

## Definition (KKT Ideal \& Variety)

$$
\begin{aligned}
& I_{K}=\left\{v(x) f_{1}(x)+\sum h_{i j}(x) g_{i j}(x)\right\}=<f_{1}(x), g_{i j}(x)>. \\
& V\left(I_{K}\right)=\left\{x \in \mathbb{C}^{2 n}: \forall p(x) \in I_{K}, p(x)=0\right\}
\end{aligned}
$$

## KKT Ideal

## Definition (KKT Ideal \& Variety)

$$
\begin{gathered}
I_{K}=\left\{v(x) f_{1}(x)+\sum h_{i j}(x) g_{i j}(x)\right\}=<f_{1}(x), g_{i j}(x)>. \\
V\left(I_{K}\right)=\left\{x \in \mathbb{C}^{2 n}: \forall p(x) \in I_{K}, p(x)=0\right\}
\end{gathered}
$$

## Definition (KKT Ideal to degree $m$ )

$$
\begin{aligned}
I_{K}^{m}= & \left\{v(x) f_{1}(x)+\sum h_{i j}(x) g_{i j}(x): \operatorname{deg}\left(v(x) f_{1}(x)\right) \leq m,\right. \\
& \left.\forall i, j, \operatorname{deg}\left(h_{i j} g_{i j}\right) \leq m\right\}
\end{aligned}
$$

## Main Theorems

## Theorem (Zero-dimensional of generic $I_{K}$ )

For a generic $M,\left|V\left(I_{K}\right)\right|<\infty$ and $I_{K}$ is zero-dimensional.
$\qquad$
$\square$
Estimate $h_{\text {ProdSym }(n)}(M)$ for a yeneric $M$ to error cneeds exp(poly(n))poly log(1

## Main Theorems

## Theorem (Zero-dimensional of generic $I_{K}$ )

For a generic $M,\left|V\left(I_{K}\right)\right|<\infty$ and $I_{K}$ is zero-dimensional.

## Theorem (Degree bound)

There exists $m=O(\exp (\operatorname{poly}(n)))$, s.t. for a generic $M, \epsilon>0$,

$$
v-f_{0}(x)+\epsilon=\sigma(x)+g(x),
$$

where $\sigma(x)$ is SoS and $\operatorname{deg}(\sigma(x)) \leq m, g(x) \in I_{k}^{m}$.
Corolary (SDP solution)
Estimate $h_{\text {ProdSym(n) }}(M)$ for a generic $M$ to error $\epsilon$ needs
$\square$

## Main Theorems

## Theorem (Zero-dimensional of generic $I_{K}$ )

For a generic $M,\left|V\left(I_{K}\right)\right|<\infty$ and $I_{K}$ is zero-dimensional.

## Theorem (Degree bound)

There exists $m=O(\exp (\operatorname{poly}(n)))$, s.t. for a generic $M, \epsilon>0$,

$$
v-f_{0}(x)+\epsilon=\sigma(x)+g(x)
$$

where $\sigma(x)$ is SoS and $\operatorname{deg}(\sigma(x)) \leq m, g(x) \in I_{K}^{m}$.

## Corollary (SDP solution)

Estimate $h_{\text {ProdSym }(n)}(M)$ for a generic $M$ to error $\epsilon$ needs $\exp ($ poly $(n))$ poly $\log (1 / \epsilon)$.

## Arbitrary input $M$

## Observations

- Generic $M$ is dense. The opt of SDP could be continuous.

Issue: SOS SDP might be infeasible up to degree $m$ for arbitrary input $M$.

## Arbitrary input $M$

## Observations

- Generic $M$ is dense. The opt of SDP could be continuous.
- Issue: SOS SDP might be infeasible up to degree $m$ for arbitrary input $M$.


## Arbitrary input $M$

## Observations

- Generic $M$ is dense. The opt of SDP could be continuous.
- Issue: SOS SDP might be infeasible up to degree $m$ for arbitrary input $M$.


## Arbitrary input $M$

## Observations

- Generic $M$ is dense. The opt of SDP could be continuous.
- Issue: SOS SDP might be infeasible up to degree $m$ for arbitrary input $M$.


## Solutions

- Switch to the dual SDP (moment): satisfies Slater's condition, i.e, strictly feasible.



## Arbitrary input $M$

## Observations

- Generic $M$ is dense. The opt of SDP could be continuous.
- Issue: SOS SDP might be infeasible up to degree $m$ for arbitrary input $M$.


## Solutions

- Switch to the dual SDP (moment): satisfies Slater's condition, i.e, strictly feasible.
- For a generic $M$, by strong duality, $h_{\text {ProdSym }(n)}(M)=O P T_{\text {mom }}(M)$.


## Arbitrary input $M$

## Observations

- Generic $M$ is dense. The opt of SDP could be continuous.
- Issue: SOS SDP might be infeasible up to degree $m$ for arbitrary input $M$.


## Solutions

- Switch to the dual SDP (moment): satisfies Slater's condition, i.e, strictly feasible.
- For a generic $M$, by strong duality, $h_{\text {ProdSym }(n)}(M)=O P T_{\text {mom }}(M)$.
- For any input $M$, use the continuity of the dual SDP then.


## Proof of Theorem 1

Let $\mathcal{U}=\left\{f_{1}(x)=0\right\}, \mathcal{W}=\left\{\forall i, j, g_{i j}=0\right\}$. then $V\left(I_{K}\right) \subseteq \mathcal{U} \cap \mathcal{W}$.
It suffices to show $\mathcal{U} \cap \mathcal{W} \mid<\infty$. Construct $\mathcal{A}=\mathcal{X} \cap \mathcal{U}$ s.t.
$\mathcal{A} \cap \mathcal{W}=\emptyset$ and $\operatorname{dim}(\mathcal{X})=n-1$. Note $\mathcal{W} \cap \mathcal{A}=(\mathcal{W} \cap \mathcal{U}) \cap \mathcal{X}$
Dy Dézout's theorem, two variotios with dimension sum $n$
must intersect. Thus
$\operatorname{dim}(\mathcal{W} \cap \mathcal{U})+\operatorname{dim}(\mathcal{X})=\operatorname{dim}(\mathcal{W} \cap \mathcal{U})+n-1<n$.

## Proof of Theorem 1

Let $\mathcal{U}=\left\{f_{1}(x)=0\right\}, \mathcal{W}=\left\{\forall i, j, g_{i j}=0\right\}$. then $V\left(I_{K}\right) \subseteq \mathcal{U} \cap \mathcal{W}$.
It suffices to show $|\mathcal{U} \cap \mathcal{W}|<\infty$. Construct $\mathcal{A}=\mathcal{X} \cap \mathcal{U}$ s.t.
$\mathcal{A} \cap \mathcal{W}=\emptyset$ and $\operatorname{dim}(\mathcal{X})=n-1$. Note $\mathcal{W} \cap \mathcal{A}=(\mathcal{W} \cap \mathcal{U}) \cap \mathcal{X}$.
By Bézout's theorem, two varieties with dimension sum $\geq n$
must intersect. Thus
$\operatorname{dim}(\mathcal{W} \cap \mathcal{U})+\operatorname{dim}(\mathcal{X})=\operatorname{dim}(\mathcal{W} \cap \mathcal{U})+n-1<n$.
This implies dim $(W \cap U)=0$ and thus $\left|V\left(I_{k}\right)\right|$

## Proof of Theorem 1

Let $\mathcal{U}=\left\{f_{1}(x)=0\right\}, \mathcal{W}=\left\{\forall i, j, g_{i j}=0\right\}$. then $V\left(I_{K}\right) \subseteq \mathcal{U} \cap \mathcal{W}$.
It suffices to show $|\mathcal{U} \cap \mathcal{W}|<\infty$. Construct $\mathcal{A}=\mathcal{X} \cap \mathcal{U}$ s.t.
$\mathcal{A} \cap \mathcal{W}=\emptyset$ and $\operatorname{dim}(\mathcal{X})=n-1$. Note $\mathcal{W} \cap \mathcal{A}=(\mathcal{W} \cap \mathcal{U}) \cap \mathcal{X}$.
By Bézout's theorem, two varieties with dimension sum $\geq n$ must intersect. Thus

$$
\operatorname{dim}(\mathcal{W} \cap \mathcal{U})+\operatorname{dim}(\mathcal{X})=\operatorname{dim}(\mathcal{W} \cap \mathcal{U})+n-1<n
$$

This implies $\operatorname{dim}(\mathcal{W} \cap \mathcal{U})=0$ and thus $\left|V\left(I_{K}\right)\right|<\infty$.

## Proof of Theorem 1: construct $\mathcal{X}$

Let $\mathcal{X}=\left\{f_{0}(x)=\mu\right\}$ for generic $(\mu, M) . \operatorname{dim}(\mathcal{X})=n-1$. By Bertini's theorem, $\operatorname{dim}(\mathcal{A})=\operatorname{dim}(\mathcal{U} \cap \mathcal{X})=n-2$. $\mathcal{W}$ by definition says $\operatorname{rank}\left(J_{\mathcal{A}}\right)=1$. Thus no intersection!

## Proof of Theorem 1: construct $\mathcal{X}$

Let $\mathcal{X}=\left\{f_{0}(x)=\mu\right\}$ for generic $(\mu, M) . \operatorname{dim}(\mathcal{X})=n-1$.
By Bertini's theorem, $\operatorname{dim}(\mathcal{A})=\operatorname{dim}(\mathcal{U} \cap \mathcal{X})=n-2$.
The Jacobian matrix $J_{\mathcal{A}}=\left(\begin{array}{cc}\frac{\partial f_{0}}{\partial x_{1}} & \frac{\partial f_{1}}{\partial x_{1}} \\ \vdots & \vdots \\ \frac{\partial f_{0}}{\partial x_{n}} & \frac{\partial f_{1}}{\partial x_{n}}\end{array}\right)$ has rank $\left(J_{\mathcal{A}}\right)=2$.
$\mathcal{W}$ by definition says $\operatorname{rank}\left(J_{\mathcal{A}}\right)=1$. Thus no intersection!
genericity; projective space; homogenization!

## Proof of Theorem 1: construct $\mathcal{X}$

Let $\mathcal{X}=\left\{f_{0}(x)=\mu\right\}$ for generic $(\mu, M) . \operatorname{dim}(\mathcal{X})=n-1$.
By Bertini's theorem, $\operatorname{dim}(\mathcal{A})=\operatorname{dim}(\mathcal{U} \cap \mathcal{X})=n-2$.

$$
\text { The Jacobian matrix } J_{\mathcal{A}}=\left(\begin{array}{cc}
\frac{\partial f_{0}}{\partial x_{1}} & \frac{\partial f_{1}}{\partial x_{1}} \\
\vdots & \vdots \\
\frac{\partial f_{0}}{\partial x_{n}} & \frac{\partial f_{1}}{\partial x_{n}}
\end{array}\right) \text { has } \operatorname{rank}\left(J_{\mathcal{A}}\right)=2 \text {. }
$$

$\mathcal{W}$ by definition says $\operatorname{rank}\left(J_{\mathcal{A}}\right)=1$. Thus no intersection!
genericity; projective space; homogenization!

## Proof of Theorem 1: construct $\mathcal{X}$

Let $\mathcal{X}=\left\{f_{0}(x)=\mu\right\}$ for generic $(\mu, M) . \operatorname{dim}(\mathcal{X})=n-1$.
By Bertini's theorem, $\operatorname{dim}(\mathcal{A})=\operatorname{dim}(\mathcal{U} \cap \mathcal{X})=n-2$.

$$
\text { The Jacobian matrix } J_{\mathcal{A}}=\left(\begin{array}{cc}
\frac{\partial f_{0}}{\partial x_{1}} & \frac{\partial f_{1}}{\partial x_{1}} \\
\vdots & \vdots \\
\frac{\partial f_{0}}{\partial x_{n}} & \frac{\partial f_{1}}{\partial x_{n}}
\end{array}\right) \text { has } \operatorname{rank}\left(J_{\mathcal{A}}\right)=2 \text {. }
$$

$\mathcal{W}$ by definition says $\operatorname{rank}\left(J_{\mathcal{A}}\right)=1$. Thus no intersection!

## Subtly: genericity; projective space; homogenization!

## Proof of Theorem 2

Let $\left\{\gamma_{i}\right\}$ be a Grobner basis for $I_{K}$.

$$
\left|V\left(I_{K}\right)\right|<\infty \Longrightarrow \max \operatorname{deg}\left\{\gamma_{i}\right\} \leq D=\exp (\operatorname{poly}(n)) .
$$

Let $\sigma(x)=\sum s_{a}(x)^{2}$. By property of Grobner basis

## Proof of Theorem 2

Let $\left\{\gamma_{i}\right\}$ be a Grobner basis for $I_{K}$.

$$
\left|V\left(I_{K}\right)\right|<\infty \Longrightarrow \max \operatorname{deg}\left\{\gamma_{i}\right\} \leq D=\exp (\operatorname{poly}(n)) .
$$

Now, want to bound $\operatorname{deg}(\sigma(x)), \operatorname{deg}(g(x))$ in

$$
v-f_{0}(x)=\sigma(x)+g(x) \text {. s.t. } \sigma(x) \text { SOS }, g(x) \in \mathbb{I}_{K}^{m} .
$$

## Proof of Theorem 2

Let $\left\{\gamma_{i}\right\}$ be a Grobner basis for $I_{K}$.

$$
\left|V\left(I_{K}\right)\right|<\infty \Longrightarrow \max \operatorname{deg}\left\{\gamma_{i}\right\} \leq D=\exp (\operatorname{poly}(n))
$$

Now, want to bound $\operatorname{deg}(\sigma(x)), \operatorname{deg}(g(x))$ in

$$
v-f_{0}(x)=\sigma(x)+g(x) . \text { s.t. } \sigma(x) \text { SOS }, g(x) \in I_{K}^{m}
$$

Let $\sigma(x)=\sum s_{a}(x)^{2}$. By property of Grobner basis

$$
s_{a}(x)=g_{a}(x)+u_{a}(x), \text { s.t. } g_{a}(x) \in I_{K}, \operatorname{deg}\left(u_{a}(x)\right) \leq n D
$$

## Proof of Theorem 2

Let $\left\{\gamma_{i}\right\}$ be a Grobner basis for $I_{K}$.

$$
\left|V\left(I_{K}\right)\right|<\infty \Longrightarrow \max \operatorname{deg}\left\{\gamma_{i}\right\} \leq D=\exp (\operatorname{poly}(n))
$$

Now, want to bound $\operatorname{deg}(\sigma(x)), \operatorname{deg}(g(x))$ in

$$
v-f_{0}(x)=\sigma(x)+g(x) . \text { s.t. } \sigma(x) \text { SOS }, g(x) \in I_{K}^{m}
$$

Let $\sigma(x)=\sum s_{a}(x)^{2}$. By property of Grobner basis

$$
s_{a}(x)=g_{a}(x)+u_{a}(x), \text { s.t. } g_{a}(x) \in I_{K}, \operatorname{deg}\left(u_{a}(x)\right) \leq n D .
$$

Thus

$$
v-f_{0}(x)=\sigma^{\prime}(x)+g^{\prime}(x), \operatorname{deg}\left(\sigma^{\prime}(x)\right) \leq \exp (\operatorname{poly}(n)), g^{\prime} \in I_{K}
$$

## Proof of Theorem 2: $g^{\prime} \in I_{K}^{m}$

All we need is to show $g^{\prime} \in I_{K}^{m}, m=\exp (\operatorname{poly}(n))$.

- $\operatorname{deg}\left(g^{\prime}(x)\right)=\operatorname{deg}\left(\sigma^{\prime}(x)\right)=m$.


## Proof of Theorem 2: $g^{\prime} \in I_{K}^{m}$

All we need is to show $g^{\prime} \in I_{K}^{m}, m=\exp (p o l y(n))$.

- $\operatorname{deg}\left(g^{\prime}(x)\right)=\operatorname{deg}\left(\sigma^{\prime}(x)\right)=m$.
- In Grobner basis, $g^{\prime}(x)=\sum t_{k} \gamma_{k}(x), \operatorname{deg}\left(t_{k} \gamma_{k}(x)\right) \leq m$.


## Proof of Theorem 2: $g^{\prime} \in I_{K}^{m}$

All we need is to show $g^{\prime} \in I_{K}^{m}, m=\exp ($ poly $(n))$.

- $\operatorname{deg}\left(g^{\prime}(x)\right)=\operatorname{deg}\left(\sigma^{\prime}(x)\right)=m$.
- In Grobner basis, $g^{\prime}(x)=\sum t_{k} \gamma_{k}(x), \operatorname{deg}\left(t_{k} \gamma_{k}(x)\right) \leq m$.
- (Omitted) $\gamma_{k}(x)=\sum u_{i j}(x) g_{i j}(x), \operatorname{deg}\left(u_{i j}\right) \leq m$.


## Proof of Theorem 2: $g^{\prime} \in I_{K}^{m}$

All we need is to show $g^{\prime} \in I_{K}^{m}, m=\exp (\operatorname{poly}(n))$.

- $\operatorname{deg}\left(g^{\prime}(x)\right)=\operatorname{deg}\left(\sigma^{\prime}(x)\right)=m$.
- In Grobner basis, $g^{\prime}(x)=\sum t_{k} \gamma_{k}(x), \operatorname{deg}\left(t_{k} \gamma_{k}(x)\right) \leq m$.
- (Omitted) $\gamma_{k}(x)=\sum u_{i j}(x) g_{i j}(x), \operatorname{deg}\left(u_{i j}\right) \leq m$.


## Proof of Theorem 2: $g^{\prime} \in I_{K}^{m}$

All we need is to show $g^{\prime} \in I_{K}^{m}, m=\exp (\operatorname{poly}(n))$.

- $\operatorname{deg}\left(g^{\prime}(x)\right)=\operatorname{deg}\left(\sigma^{\prime}(x)\right)=m$.
- In Grobner basis, $g^{\prime}(x)=\sum t_{k} \gamma_{k}(x)$, $\operatorname{deg}\left(t_{k} \gamma_{k}(x)\right) \leq m$.
- (Omitted) $\gamma_{k}(x)=\sum u_{i j}(x) g_{i j}(x), \operatorname{deg}\left(u_{i j}\right) \leq m$.

Thus, $g^{\prime}(x)=\sum t_{k} u_{i j} g_{i j}(x), \operatorname{deg}\left(t_{k} u_{i j}\right) \leq m, \Longrightarrow g^{\prime}(x) \in I_{K}^{m}$.

$$
\begin{aligned}
I_{K}^{m}= & \left\{v(x) f_{1}(x)+\sum h_{i j}(x) g_{i j}(x): \operatorname{deg}\left(v(x) f_{1}(x)\right) \leq m,\right. \\
& \left.\forall i, j, \operatorname{deg}\left(h_{i j} g_{i j}\right) \leq m\right\}
\end{aligned}
$$

## Perspectives

## DPS+

- Finite convergence at $\exp (\operatorname{poly}(n))$ level. Numerical error only.
- KKT constraints from optimization theory.
- Analysis follows from connection to the Sum-of-Squares analysis.


## Perspectives

## DPS+

- Finite convergence at $\exp (\operatorname{poly}(n))$ level. Numerical error only.
- KKT constraints from optimization theory.

Analysis follows from connection to the Sum-of-Squares analysis.
Generic solutions satisfy the constraints perfectly.

## Perspectives

## DPS+

- Finite convergence at $\exp (\operatorname{poly}(n))$ level. Numerical error only.
- KKT constraints from optimization theory.
- Analysis follows from connection to the Sum-of-Squares analysis.


## Perspectives

## DPS+

- Finite convergence at $\exp (\operatorname{poly}(n))$ level. Numerical error only.
- KKT constraints from optimization theory.
- Analysis follows from connection to the Sum-of-Squares analysis.
- Generic solutions satisfy the constraints perfectly.


## Perspectives

## DPS+

- Finite convergence at $\exp (\operatorname{poly}(n))$ level. Numerical error only.
- KKT constraints from optimization theory.
- Analysis follows from connection to the Sum-of-Squares analysis.
- Generic solutions satisfy the constraints perfectly.
- Continuity and feasibility of SDPs allow extension to arbitrary inputs.


## Perspectives (cont'd)

## Extensions

- To the non-commutative setting, e.g., the NPA hierarchy for approximating the non-local game value.


## Perspectives (cont’d)

## Extensions

- To the non-commutative setting, e.g., the NPA hierarchy for approximating the non-local game value.
- Partial progress: a NC version of KKT conditions.

The tip of the iceberg: lots of unknowns await discovery ?!

## Perspectives (cont’d)

## Extensions

- To the non-commutative setting, e.g., the NPA hierarchy for approximating the non-local game value.
- Partial progress: a NC version of KKT conditions.

The tip of the iceberg: lots of unknowns await discovery?!

## Perspectives (cont’d)

## Extensions

- To the non-commutative setting, e.g., the NPA hierarchy for approximating the non-local game value.
- Partial progress: a NC version of KKT conditions.

The tip of the iceberg: lots of unknowns await discovery ?!

## Open Questions

## DPS+

- Analyze the low levels of DPS+.
- Advantages of adding KKT conditions other than presented here.


## NPA+

- The use of NC KKT conditions.
- Can we have finite convergence for the field value?


## SoS hierarchy

- Any other applications to quantum information?

Introduction

## Question And Answer

## Thank you! <br> Q \& A

