BILL, RECORD LECTURE!!!!

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An Application of Ramsey's Theorem to Logic

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February 2, 2025

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There is x_1, x_2 st x_1 connects to **every** vertex (except x_2), and x_2 connects to NO other vertex.



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There is x_1, x_2 st x_1 connects to **every** vertex (except x_2), and x_2 connects to NO other vertex.

For all $n \ge 2$ there is G with n vertex that satisfies this sentence.

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- 4. $(\forall x_1) \cdots (\forall x_n)$ means they are DISTINCT.

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Notation If G is a graph and ϕ is a sentence then $G \models \phi$ means that ϕ is TRUE of G.

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Definition If ϕ is a sentence in the language of graphs then $\mathbf{spec}(\phi)$ is the set of all n such that there is G on n vertices such that $G \models \phi$.

$$\phi = (\exists x_1, x_2, x_3)[E(x_1, x_2) \land E(x_1, x_3)]$$

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 Discuss

$$\phi = (\exists x_1, x_2, x_3)[E(x_1, x_2) \land E(x_1, x_3)] \text{ Discuss}$$
$$(\exists G \text{ on 0 vertices})[G \models \phi]? \text{ NO}.$$

```
\begin{split} \phi &= (\exists x_1, x_2, x_3)[E(x_1, x_2) \land E(x_1, x_3)] \quad \text{Discuss} \\ (\exists G \text{ on 0 vertices})[G &\models \phi]? \quad \text{NO}. \\ (\exists G \text{ on 1 vertices})[G &\models \phi]? \quad \text{NO}. \end{split}
```

```
\phi = (\exists x_1, x_2, x_3)[E(x_1, x_2) \land E(x_1, x_3)] Discuss (\exists G \text{ on } 0 \text{ vertices})[G \models \phi]? NO. (\exists G \text{ on } 1 \text{ vertices})[G \models \phi]? NO. (\exists G \text{ on } 2 \text{ vertices})[G \models \phi]? NO.
```

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\phi = (\exists x_1, x_2, x_3)[E(x_1, x_2) \land E(x_1, x_3)] Discuss (\exists G \text{ on } 0 \text{ vertices})[G \models \phi]? NO. (\exists G \text{ on } 1 \text{ vertices})[G \models \phi]? NO. (\exists G \text{ on } 2 \text{ vertices})[G \models \phi]? NO. (\forall n \geq 3)(\exists G \text{ on } n \text{ vertices})[G \models \phi]. YES.
```

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\phi = (\exists x_1, x_2, x_3)[E(x_1, x_2) \land E(x_1, x_3)] Discuss (\exists G \text{ on } 0 \text{ vertices})[G \models \phi]? \text{ NO.} (\exists G \text{ on } 1 \text{ vertices})[G \models \phi]? \text{ NO.} (\exists G \text{ on } 2 \text{ vertices})[G \models \phi]? \text{ NO.} (\forall n \geq 3)(\exists G \text{ on } n \text{ vertices})[G \models \phi]. \text{ YES.} \operatorname{spec}(\phi) = \{3, 4, 5, \ldots\}
```

$$\phi = (\forall x)(\exists y \neq x)[E(x,y) \land (\forall z \neq y)[\neg E(x,z)]]$$

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$$\phi = (\forall x)(\exists y \neq x)[E(x,y) \land (\forall z \neq y)[\neg E(x,z)]] \text{ Discuss}$$
$$(\exists G \text{ on 0 vertices})[G \models \phi]?$$

$$\phi = (\forall x)(\exists y \neq x)[E(x,y) \land (\forall z \neq y)[\neg E(x,z)]]$$
 Discuss $(\exists G \text{ on } 0 \text{ vertices})[G \models \phi]$? YES- vacuously.

```
\phi = (\forall x)(\exists y \neq x)[E(x,y) \land (\forall z \neq y)[\neg E(x,z)]] Discuss (\exists G \text{ on } 0 \text{ vertices})[G \models \phi]? YES- vacuously. (\exists G \text{ on } 1 \text{ vertices})[G \models \phi]?
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\phi = (\forall x)(\exists y \neq x)[E(x,y) \land (\forall z \neq y)[\neg E(x,z)]] Discuss (\exists G \text{ on } 0 \text{ vertices})[G \models \phi]? YES- vacuously. (\exists G \text{ on } 1 \text{ vertices})[G \models \phi]? NO. Discuss.
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```

Spectrum: Another Example

```
(\forall x_1, x_2, x_3) [ \neg (E(x_1, x_2) \land E(x_1, x_3) \land E(x_2, x_3)) \land \\ \neg (\neg E(x_1, x_2) \land \neg E(x_1, x_3) \land \neg E(x_2, x_3)) ] Discuss
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(\forall x_1, x_2, x_3) [ \neg (E(x_1, x_2) \land E(x_1, x_3) \land E(x_2, x_3)) \land \neg (\neg E(x_1, x_2) \land \neg E(x_1, x_3) \land \neg E(x_2, x_3)) ] Discuss
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This is asking for a graph without a 3-clique or 3-ind set.

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Discuss
This is asking for a graph without a 3-clique or 3-ind set.
Since R(3) = 6 we know that
\operatorname{spec}(\phi) = \{0, 1, 2, 3, 4, 5\}.
```

$$\phi = (\forall x)(\forall y)[E(x,y)].$$

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. Discuss.

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. Discuss. $(\forall n \in \mathbb{N})[K_n \models \phi]$.

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\phi = (\forall x)(\forall y)[E(x,y)]. Discuss. (\forall n \in \mathbb{N})[K_n \models \phi]. spec(\phi) = \mathbb{N}.
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$$\phi = (\forall x)(\forall y)[E(x,y)]$$
. Discuss. $(\forall n \in \mathbb{N})[K_n \models \phi]$. $\operatorname{spec}(\phi) = \mathbb{N}$. $\phi = (\exists x, y, z)(\forall w \notin \{x, y, z\})[E(w, x) \land E(w, y) \land E(w, z)]$.

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\phi = (\forall x)(\forall y)[E(x,y)]. Discuss. (\forall n \in \mathbb{N})[K_n \models \phi]. \operatorname{spec}(\phi) = \mathbb{N}. \phi = (\exists x, y, z)(\forall w \notin \{x, y, z\})[E(w, x) \land E(w, y) \land E(w, z)]. Discuss.
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 $(\forall n \in \mathbb{N})[K_{n,3} \models \phi].$

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```

$$\phi = (\exists x_1)(\exists x_2)(\forall y)[x_1 = y \lor x_2 = y].$$

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. Discuss $(\exists G \text{ on } 0 \text{ vertices})[G \models \phi]$?

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All of these sentence were of the form $(\exists^* \forall^*)$. $(\exists x_1) \cdots (\exists x_n) (\forall y_1) \cdots (\forall y_m) [\psi(x_1, \dots, x_n, y_1, y \dots, y_m)]$

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Lemma

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Proof Use brute force.

We will use Lemma without comment.

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Note For many (ϕ, G) can do much better than brute force.

Main Theorem

Theorem The following function is computable: Given ϕ , an $\exists^* \forall^*$ sentence in the theory of graphs, output $\operatorname{spec}(\phi)$. ($\operatorname{spec}(\phi)$ will be a finite or cofinite set; hence it will have an easy description.)

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Theorem The following function is computable: Given ϕ , an $\exists^* \forall^*$ sentence in the theory of graphs, output $\operatorname{spec}(\phi)$. ($\operatorname{spec}(\phi)$ will be a finite or cofinite set; hence it will have an easy description.) We will take

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Let $G \models \phi$ with witnesses v_1, \ldots, v_n . Let H be an induced subgraph of G that contains v_1, \ldots, v_n . Then $H \models \phi$.

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Proof of Claim 1 Let G = (V, E) and H = (V', E') where $V' \subseteq V$. Since $G \models \phi$

$$G \models (\forall y_1 \in V) \cdots (\forall y_m \in V) [\psi(v_1, \ldots, v_n, y_1, \ldots, y_m)]$$

$$\phi = (\exists x_1) \cdots (\exists x_n)(\forall y_1) \cdots (\forall y_m)[\psi(x_1, \dots, x_n, y_1, \dots, y_m)]$$

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Proof of Claim 1 Let G = (V, E) and H = (V', E') where $V' \subseteq V$. Since $G \models \phi$

$$G \models (\forall y_1 \in V) \cdots (\forall y_m \in V) [\psi(v_1, \ldots, v_n, y_1, \ldots, y_m)]$$

H is just G with less vertices, and the vertices that remain have the same edges. And v_1, \ldots, v_n are in H. Hence we DO have

$$\phi = (\exists x_1) \cdots (\exists x_n)(\forall y_1) \cdots (\forall y_m)[\psi(x_1, \dots, x_n, y_1, \dots, y_m)]$$

Let $G \models \phi$ with witnesses v_1, \ldots, v_n . Let H be an induced subgraph of G that contains v_1, \ldots, v_n . Then $H \models \phi$.

Proof of Claim 1 Let G = (V, E) and H = (V', E') where $V' \subseteq V$. Since $G \models \phi$

$$G \models (\forall y_1 \in V) \cdots (\forall y_m \in V) [\psi(v_1, \ldots, v_n, y_1, \ldots, y_m)]$$

H is just G with less vertices, and the vertices that remain have the same edges. And v_1, \ldots, v_n are in H. Hence we DO have

$$(\forall y_1 \in V') \cdots (\forall y_m \in V') [\psi(v_1, \dots, v_n, y_1, \dots, y_m)], SO$$

 $H \models (\forall y_1) \cdots (\forall y_m) [\psi(v_1, \dots, v_n, y_1, \dots, y_m)]$

End of Proof of Claim 1



Claim 2, The Main Claim

$$\phi = (\exists x_1) \cdots (\exists x_n) (\forall y_1) \cdots (\forall y_m) [\psi(x_1, \dots, x_n, y_1, \dots, y_m)]$$
If $(\exists N \ge n + 2^n R(m)) [N \in \operatorname{spec}(\phi)]$ then
$$\{n + m, \dots, n + 2^n R(m), \dots\} \subseteq \operatorname{spec}(\phi).$$

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Proof of Claim 2

Since $N \in \operatorname{spec}(\phi)$ there exists G = (V, E), a graph on N vertices such that $G \models \phi$. Let v_1, \ldots, v_n be such that:

$$(\forall y_1)\cdots(\forall y_m)[\psi(v_1,\ldots,v_n,y_1,\ldots,y_m)].$$

(Proof continued on next slide)



$$(\forall y_1)\cdots(\forall y_m)[\psi(v_1,\ldots,v_n,y_1,\ldots,y_m)].$$
 Let $X=\{v_1,\ldots,v_n\}$ and $U=V-X$.

$$(\forall y_1)\cdots(\forall y_m)[\psi(v_1,\ldots,v_n,y_1,\ldots,y_m)].$$

Let $X = \{v_1, ..., v_n\}$ and U = V - X.

Note that $|U - X| \ge 2^n R(m)$. We use $2^n R(m)$ elements of it which we denote

$$u_1,\ldots,u_{2^nR(m)}$$

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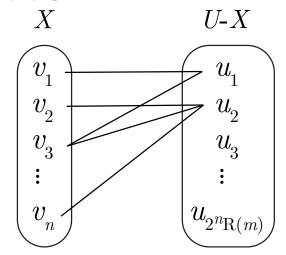
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Picture on next slide.

X and U - X



Proof of Claim 2 Cont: Pigeonhole

We define a 2^n -Coloring of U. $u \in U$ maps to (b_1, \ldots, b_n) :

$$b_i = \begin{cases} 0 \text{ if } (u, v_i) \notin E \\ 1 \text{ if } (u, v_i) \in E \end{cases}$$
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Hence every $u \in U$ is mapped to a description of how it relates to every element in X. Since $|U| \ge 2^n R(m)$ there exists R(m) vertices,

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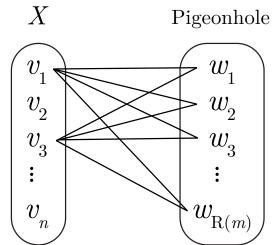
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So they all look the same to U. (Picture on the next slide.)

w_i 's Look the Same to U



Proof of Claim 2 Cont: Ramsey

Apply Ramsey's Theorem to the graph on

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to obtain homog set

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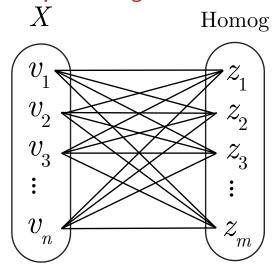
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We call the set **Super Homog** since it looks the same to U and to each other.

Picture on the next slide.

The Super Homog Set



$$(\exists x_1)\cdots(\exists x_n)(\forall y_1)\cdots(\forall y_m)[\psi(x_1,\ldots,x_n,y_1,\ldots,y_m)]$$

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Example All z_i have edge to $\{v_1, v_3, v_{17}\}$ but no other v_j . Let H_0 be G restricted to $X \cup \{z_1, \ldots, z_m\}$. By Claim 1 $H_0 \models \phi$. For every $p \ge 1$ we form a graph $H_p = (V_p, E_p)$ on n + m + p vertices such that $H_p \models \phi$:

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For every $p \ge 1$ we form a graph $H_p = (V_p, E_p)$ on n + m + p vertices such that $H_p \models \phi$:

Informally add m + p vertices that are just like the z_i 's.

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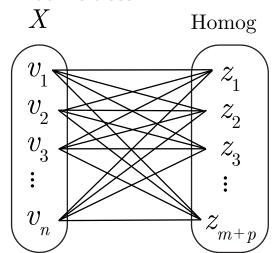
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End of Proof of Claim 2



Can Add Vertices



```
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N_0 = n + 2^n R(m).
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Proof of Claim 3
By Claim 2
\{N_0, \dots\} \cap \operatorname{spec}(\phi) \neq \emptyset \implies \{n + m, \dots, N_0, \dots\} \subseteq \operatorname{spec}(\phi).
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Recap Both Claims

We put a subcase of Claim 2, and Claim 3, next to each other to recap what we know.

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End of Proof of Main Theorem

What other Sentences could we look at? $\exists^* \forall^*$ sentences with more complicated objects than graphs.

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Colored Graphs *c* kinds of edges.

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You may do this on a HW.

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a-ary Hypergraphs *a*-ary Hyperedges. *c*-colored hypergraph. For example every triple is colored *R* or *B* or *G*. Or any finite number of colors.

Key ingredient Ramsey theory on *a*-hypergraphs.

Many Predicates We could have $U_1(x), \ldots, U_7(x)$ (all 19-valued). $E_1(x,y), \ldots, E_{13}(x,y)$ (all 4-colored).

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GUESS is 197.

Key ingredient Ramsey theory on $\leq a$ -hypergraphs since use it on Unary THEN binary, THEN (if more arities then more).

Morgan Sentences

 $(\exists^* \forall^*)^*$ -sentences, only predicate E(x,y). Morgan sentences.

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$$\{4,7,10,\ldots\} \cup \{11,22,33,\ldots\}.$$

Known If A is a Union of AP's then $(\exists \phi)[\operatorname{spec}(\phi) = A]$.

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YES, NO, Unknown to Science.YES.

Known If ϕ is a Mackenzie sentence then $\operatorname{spec}(\phi) \in \textit{EXPTIME}$.

Also Known If $A \in EXPTIME$ then there exists Mackenzie ϕ such that $\operatorname{spec}(\phi) = A$.

Vote App OR "App" OR ""App""

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App This was **not** a problem people came up with to find an app of Ramsey's Theorem. Ramsey was working on this problem in logic and proved Ramsey's Theorem to help him solve it. So the question in Logic is legit.

"App" While origin is legit, do we care now? I do, and my advisor Harry Lewis does (I have been in email contact with him about this lecture and he gave me several pointers and facts) but do YOU care?

""App"" This would be unfair. I reserve the 4-quotes if either NOBODY cares or ONLY I care. (When I prove primes are infinite FROM van Der Waerden's Theorem, feel free to use 4 quotes. I am not kidding.)

Vote App OR "App" OR ""App""