# BILL, RECORD LECTURE!!!!

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# Primitive Recursive Functions and Ramsey **Theory**

Exposition by William Gasarch-U of MD

**KORKARA KERKER DAGA** 

**Def**  $R_a(k)$  is the least *n* such that, for all COL:  $\binom{[n]}{a}$  $\binom{n}{a} \rightarrow [2]$  there exists a homog set of size k.

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**KORKAR KERKER SAGA** 

Recall that we showed  $R_2(k) \leq 2^{2k-1}$ .  $R_3(k) \leq \text{TOW}(2k)$ .

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Recall that we showed  $R_2(k) \leq 2^{2k-1}$ .  $R_3(k) \leq \text{TOW}(2k)$ .

What would the bound be on  $R_4(k)$ ? We do not have a good way to write it down (not quite true–see Knuth's Arrow Notation).

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Consider the function  $(a, k)$  maps to  $R_a(k)$ . What are the bounds on that?

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We need a way to express very fast growing functions.

**Def**  $f(x_1, \ldots, x_n)$  is **PR** if either:



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**Def**  $f(x_1, \ldots, x_n)$  is **PR** if either:

1.  $f(x_1, \ldots, x_n) = 0;$ 

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**Def** 
$$
f(x_1,...,x_n)
$$
 is **PR** if either:  
\n1.  $f(x_1,...,x_n) = 0;$   
\n2.  $f(x_1,...,x_n) = x_i;$   
\n3.  $f(x_1,...,x_n) = x_i + 1;$   
\n4.  $g_1(x_1,...,x_k), ..., g_n(x_1,...,x_k), h(x_1,...,x_n)$  PR ⇒

$$
f(x_1,\ldots,x_k)=h(g_1(x_1,\ldots,x_k),\ldots,g_n(x_1,\ldots,x_k))
$$
 is PR

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\n2.  $f(x_1,...,x_n) = x_i$ ;  
\n3.  $f(x_1,...,x_n) = x_i + 1$ ;  
\n4.  $g_1(x_1,...,x_k), ..., g_n(x_1,...,x_k), h(x_1,...,x_n) \text{ PR } \Longrightarrow$   
\n $f(x_1,...,x_k) = h(g_1(x_1,...,x_k), ..., g_n(x_1,...,x_k))$  is **PR**  
\n5.  $h(x_1,...,x_{n+1})$  and  $g(x_1,...,x_{n-1}) \text{ PR } \Longrightarrow$   
\n $f(x_1,...,x_{n-1},0) = g(x_1,...,x_{n-1})$   
\n $f(x_1,...,x_{n-1},m+1) = h(x_1,...,x_{n-1},m,f(x_1,...,x_{n-1},m))$ 

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is PR.

$$
f_0(x,y)=y+1.
$$
 Successor.



$$
f_0(x, y) = y + 1.
$$
 Successor.  

$$
f_1(x, y) = x + y
$$

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```
f_0(x, y) = y + 1. Successor.
f_1(x, y) = x + yf_1(x, 0) = xf_1(x, y + 1) = f_1(x, y) + 1.Used Rec Rule Once. Addition.
```
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$$
f_0(x, y) = y + 1.
$$
 Successor.  
\n
$$
f_1(x, y) = x + y
$$
  
\n
$$
f_1(x, 0) = x
$$
  
\n
$$
f_1(x, y + 1) = f_1(x, y) + 1.
$$
  
\nUse the Rule Once. Addition.  
\n
$$
f_2(x, y) = xy:
$$

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 $f_0(x, y) = y + 1$ . Successor.  $f_1(x, y) = x + y$  $f_1(x, 0) = x$  $f_1(x, y + 1) = f_1(x, y) + 1.$ Used Rec Rule Once. Addition.

 $f_2(x, y) = xy$ :  $f_2(x, 1) = x$  (Didn't start at 0. A detail.)  $f_2(x, y + 1) = f_2(x, y) + x.$ Used Rec Rule Twice. Once to get  $x + y$  PR, and once here. Multiplication

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The PR functions can be put in a hierarchy depending on how many times the recursion rule is used to build up to the function.

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$$
f_3(x,y)=x^y
$$
:

$$
f_3(x, y) = x^y
$$
  
\n
$$
f_3(x, 0) = 1
$$
  
\n
$$
f_3(x, y + 1) = f_3(x, y)x
$$
  
\nUsed Rec Rule three times. Exp.

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$$

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 $f_3(x, y) = x^y$ :  $f_3(x, 0) = 1$  $f_3(x, y + 1) = f_3(x, y)x$ . Used Rec Rule three times. Exp.  $f_4(x, y) = \text{TOW}(x, y).$  $f_4(x, 0) = 1$  $f_4(x, y + 1) = f_4(x, y)^x$ . Used Rec Rule four times. TOWER.

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What should we call this? Discuss

 $f_3(x, y) = x^y$ :  $f_3(x, 0) = 1$  $f_3(x, y + 1) = f_3(x, y)x$ . Used Rec Rule three times. Exp.  $f_4(x, y) = \text{TOW}(x, y).$  $f_4(x, 0) = 1$  $f_4(x, y + 1) = f_4(x, y)^x$ . Used Rec Rule four times. TOWER.  $f_5(x, y) = \text{WHAT}$  SHOULD WE CALL THIS?  $f_5(x, 0) = 1$  $f_5(x, y + 1) = \text{TOW}(f_5(x, y), x).$ Used Rec Rule five times. What should we call this? Discuss Its been called WOWER (in Graham-Rothchild-Spencer Ramsey Theory Book).

 $f_a(x, y)$  is defined as



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$$
f_a(x, y)
$$
 is defined as  
\n $f_a(x, 0) = 1$   
\n $f_a(x, y + 1) = f_{a-1}(f_a(x, y), x, y)$ 

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```
f_a(x, y) is defined as
f_a(x, 0) = 1f_a(x, y + 1) = f_{a-1}(f_a(x, y), x, y)f_0 is Successor
```

```
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f_a(x, 0) = 1f_a(x, y + 1) = f_{a-1}(f_a(x, y), x, y)f_0 is Successor
f_1 is Addition
```

```
f_a(x, y) is defined as
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f_1 is Addition
f_2 is Multiplication
```

```
f_a(x, y) is defined as
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f_3 is Exp
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f_a(x, y) is defined as
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f_3 is Exp
f_4 is Tower (This name has become standard.)
```

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f_a(x, y) is defined as
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f_1 is Addition
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f_3 is Exp
f_4 is Tower (This name has become standard.)
f_5 is Wower (This name is not standard.)
```

```
f_a(x, y) is defined as
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f_1 is Addition
f_2 is Multiplication
f_3 is Exp
f_4 is Tower (This name has become standard.)
f_5 is Wower (This name is not standard.)
f<sub>6</sub> and beyond have no name.
```
#### **Def** PR<sub>a</sub> is the set of PR functions that can be defined with  $\leq a$ uses of the Recursion rule.

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**Def** PR<sub>a</sub> is the set of PR functions that can be defined with  $\leq a$ uses of the Recursion rule.

Note One can show that any finite number of exponentials is in PR<sub>3</sub>.

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 $R_2(k) \leq 2^{2k} = f_3(O(k)).$  Level 3.



 $R_2(k) \leq 2^{2k} = f_3(O(k)).$  Level 3.  $R_3(k) \leq \text{TOW}(2k) = f_4(O(k))$ . Level 4.



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 $R_2(k) \leq 2^{2k} = f_3(O(k)).$  Level 3.  $R_3(k) \leq \text{TOW}(2k) = f_4(O(k))$ . Level 4.  $R_a(k) \le f_{a+1}(O(k))$ . Level  $a+1$ .

$$
R_2(k) \le 2^{2k} = f_3(O(k)).
$$
 Level 3.  

$$
R_3(k) \le \text{TOW}(2k) = f_4(O(k)).
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 Level 4.  

$$
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$$
 Level  $a + 1$ .

I can now state my questions and add some more.

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$$
R_2(k) \le 2^{2k} = f_3(O(k)).
$$
 Level 3.  

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R_3(k) \le \text{TOW}(2k) = f_4(O(k)).
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$$
\blacktriangleright
$$
 Is  $R_3(k)$  in  $PR_3$ ?

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I can now state my questions and add some more.

KID KAR KE KE KE YA GA

$$
\blacktriangleright
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 Is  $R_3(k)$  in  $PR_3$ ?

**b** Is the function 
$$
f(a, k) = R_a(k)
$$
 PR?

The following are PR:



The following are PR:

1.  $f(x, y) = x - y$  if  $x \ge y$ , 0 otherwise.

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The following are PR:

- 1.  $f(x, y) = x y$  if  $x \ge y$ , 0 otherwise.
- 2.  $f(x, y) =$  the quotient when you divide x by y.

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The following are PR:

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4.  $f(x, y) = x \pmod{y}$ .

The following are PR:

- 1.  $f(x, y) = x y$  if  $x > y$ , 0 otherwise.
- 2.  $f(x, y) =$  the quotient when you divide x by y.
- 3.  $f(x, y) =$  the remainder when you divide x by y.

- 4.  $f(x, y) = x \pmod{y}$ .
- 5.  $f(x, y) = GCD(x, y)$ .

The following are PR:

- 1.  $f(x, y) = x y$  if  $x > y$ , 0 otherwise.
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- 4.  $f(x, y) = x \pmod{y}$ .
- 5.  $f(x, y) = GCD(x, y)$ .
- 6.  $f(x) = 1$  if x is prime, 0 if not.

The following are PR:

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- 4.  $f(x, y) = x \pmod{y}$ .
- 5.  $f(x, y) = GCD(x, y)$ .
- 6.  $f(x) = 1$  if x is prime, 0 if not.
- 7.  $f(x) = 1$  if x is the sum of 2 primes, 0 otherwise.

#### Most Functions are PR

Virtually any computable function from  $\mathsf{N}^k$  to  $\mathsf{N}$  that you encounter in mathematics is primitive recursive.

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## Most Functions are PR

Virtually any computable function from  $\mathsf{N}^k$  to  $\mathsf{N}$  that you encounter in mathematics is primitive recursive.

Are there any computable functions that are not primitive recursive? Discuss.

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# Most Functions are PR

Virtually any computable function from  $\mathsf{N}^k$  to  $\mathsf{N}$  that you encounter in mathematics is primitive recursive.

Are there any computable functions that are not primitive recursive?

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Discuss.

Yes. We will see a contrived one on the next slide.

The PR functions are formed by building up rules. One can encode the derivation of a PR function as a number. One can then assign to every number a PR function easily.

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Let  $f_1, f_2, \ldots$  be all of the PR functions.

The PR functions are formed by building up rules. One can encode the derivation of a PR function as a number. One can then assign to every number a PR function easily.

Let  $f_1, f_2, \ldots$  be all of the PR functions.

$$
F(x) = f_x(x) + 1
$$

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is computable but not a PR function.

Def Ackermann's function is the function defined by

$$
A(0, y) = y + 1
$$
  
\n
$$
A(x + 1, 0) = A(x, 1)
$$
  
\n
$$
A(x + 1, y + 1) = A(x, A(x + 1, y))
$$

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$$

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1. A is obviously computable.

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$$

- 1. A is obviously computable.
- 2. If f is prim rec then it is defined by 8 recursions. Or 18. Or any constant number. But  $A(x, y)$  uses y recursions, not a constant.

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3. A grows faster than any PR function.

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\n
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$$

- 1. A is obviously computable.
- 2. If f is prim rec then it is defined by 8 recursions. Or 18. Or any constant number. But  $A(x, y)$  uses y recursions, not a constant.
- 3. A grows faster than any PR function.
- 4. Since A is defined using a recursion which involves applying the function to itself there is no obvious way to take the definition and make it PR. Not a proof, an intuition.

# Ackermann's Function is Natural: Security

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<https://www.ackermansecurity.com/>

#### Ackermann's Function is Natural: Security

<https://www.ackermansecurity.com/>

They are called Ackerman Security since they claim that a thief would have to take time Ackerman(n) to break in.

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DS is Data Structure. A Union-Find DS for sets supports the following operations.

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DS is Data Structure. A Union-Find DS for sets supports the following operations. (1) If a is a number then make  $\{a\}$  a set.

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DS is Data Structure.

A Union-Find DS for sets supports the following operations.

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(1) If a is a number then make  $\{a\}$  a set.

(2) If A, B are sets then make  $A \cup B$  a set.

DS is Data Structure.

A **Union-Find DS** for sets supports the following operations.

- (1) If a is a number then make  $\{a\}$  a set.
- (2) If A, B are sets then make  $A \cup B$  a set.
- (3) Given  $x$  find which, if any, set it is in.

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 $\triangleright$  There is a DS for this problem that can do *n* operations in  $nA^{-1}(n, n)$  steps.

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 $\triangleright$  One can show that there is no better DS.

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 $\triangleright$  There is a DS for this problem that can do *n* operations in  $nA^{-1}(n, n)$  steps.

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 $\triangleright$  One can show that there is no better DS.

So  $nA^{-1}(n, n)$  is the exact upper and lower bound!
# More Natural Examples of Non-Prim Rec Fns

More natural examples of non-prim rec functions:

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# More Natural Examples of Non-Prim Rec Fns

More natural examples of non-prim rec functions:

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1. Goodstein Sequences (next slide packet).

# More Natural Examples of Non-Prim Rec Fns

More natural examples of non-prim rec functions:

- 1. Goodstein Sequences (next slide packet).
- 2. Finite Version of Kruskal's Tree Theorem.

**KORKA SERVER ORA** 

Writing a number as a sum of powers of 2.

$$
1000=2^9+2^8+2^7+2^6+2^5+2^3\\
$$

KID KAP KID KID KID DA GA

Writing a number as a sum of powers of 2.

$$
1000=2^9+2^8+2^7+2^6+2^5+2^3\\
$$

But we can also write the exponents as sums of powers of 2

$$
1000=2^{2^3+2^0}+2^{2^3}+2^{2^2+2^1+2^0}+2^{2^2+2^1}+2^{2^2+2^0}+2^{2^1+2^0}\\
$$

KID KAP KID KID KID DA GA

Writing a number as a sum of powers of 2.

$$
1000=2^9+2^8+2^7+2^6+2^5+2^3\\
$$

But we can also write the exponents as sums of powers of 2

$$
1000 = 2^{2^3+2^0} + 2^{2^3} + 2^{2^2+2^1+2^0} + 2^{2^2+2^1} + 2^{2^2+2^0} + 2^{2^1+2^0}
$$

We can even write the exponents that are not already powers of 2 as sums of powers of 2.

$$
1000=2^{2^{2^{\cdot 0}}+2^0}+2^{2^{2^1+2^0}}+2^{2^2+2^{2^0}+2^0}+2^{2^2+2^{2^0}}+2^{2^2+2^0}+2^{2^{2^0}+2^{0^0}}
$$

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<span id="page-78-0"></span>Writing a number as a sum of powers of 2.

$$
1000=2^9+2^8+2^7+2^6+2^5+2^3\\
$$

But we can also write the exponents as sums of powers of 2

$$
1000 = 2^{2^3+2^0} + 2^{2^3} + 2^{2^2+2^1+2^0} + 2^{2^2+2^1} + 2^{2^2+2^0} + 2^{2^1+2^0}
$$

We can even write the exponents that are not already powers of 2 as sums of powers of 2.

$$
1000=2^{2^{2^{\cdot 0}}+2^0}+2^{2^{2^1+2^0}}+2^{2^2+2^{2^0}+2^0}+2^{2^2+2^{2^0}}+2^{2^2+2^0}+2^{2^{2^0}+2^{0^0}}
$$

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This is called **Hereditary Base** n **Notation** 

<span id="page-79-0"></span> $1000 = 2^{2^{2^0+2^0}+2^0}+2^{2^{2^1+2^0}}+2^{2^2+2^{2^0}+2^0}+2^{2^2+2^{2^0}}+2^{2^2+2^0}+2^{2^{2^0}+2^0}$ Replace all of the 2's with 3's:

 ${1000} = {3^{3^{3^0}+3^0}+3^0}+{3^{3^{3^1+3^0}}+3^{3^3+3^{3^0}+3^0}+3^{3^3+3^{3^0}}+3^{3^3+3^0}+3^{3^3+3^0}+3^{3^3^0+3^0}}$ 

KO KA KO KE KA E KA SA KA KA KA KA KA A

 $1000 = 2^{2^{2^0+2^0}+2^0}+2^{2^{2^1+2^0}}+2^{2^2+2^{2^0}+2^0}+2^{2^2+2^{2^0}}+2^{2^2+2^0}+2^{2^{2^0}+2^0}$ Replace all of the 2's with 3's:

 ${1000} = {3^{3^{3^0}+3^0}+3^0}+{3^{3^{3^1+3^0}}+3^{3^3+3^{3^0}+3^0}+3^{3^3+3^{3^0}}+3^{3^3+3^0}+3^{3^3+3^0}+3^{3^3^0+3^0}}$ This number just went WAY up. Now subtract 1.

 ${1000} = {3^{3^{3^0}+3^0}+3^0}+3^{3^{3^1+3^0}}+3^{3^3+3^{3^0}+3^0}+3^{3^3+3^{3^0}}+3^{3^3+3^0}+3^{3^3+3^0}+3^{3^3+3^0}-1$ 

KO KA KO KE KA E KA SA KA KA KA KA KA A

<span id="page-81-0"></span> $1000 = 2^{2^{2^0+2^0}+2^0}+2^{2^{2^1+2^0}}+2^{2^2+2^{2^0}+2^0}+2^{2^2+2^{2^0}}+2^{2^2+2^0}+2^{2^{2^0}+2^0}$ Replace all of the 2's with 3's:

 ${1000} = {3^{3^{3^0}+3^0}+3^0}+{3^{3^{3^1+3^0}}+3^{3^3+3^{3^0}+3^0}+3^{3^3+3^{3^0}}+3^{3^3+3^0}+3^{3^3+3^0}+3^{3^3^0+3^0}}$ 

This number just went WAY up. Now subtract 1.

$$
1000=3^{3^{3^0}+3^0}+3^{3^{3^1+3^0}}+3^{3^3+3^{3^0}+3^0}+3^{3^3+3^{3^0}}+3^{3^3+3^0}+3^{3^3+3^0}+3^{3^{3^0}+3^0}-1\\
$$

Repeat the process: Replace 3 by 4, and subtract 1, Replace 4 by 5, and subtract  $1, \cdots$ .

**KORKAR KERKER DRA** 

<span id="page-82-0"></span> $1000 = 2^{2^{2^0+2^0}+2^0}+2^{2^{2^1+2^0}}+2^{2^2+2^{2^0}+2^0}+2^{2^2+2^{2^0}}+2^{2^2+2^0}+2^{2^{2^0}+2^0}$ Replace all of the 2's with 3's:

 ${1000} = {3^{3^{3^0}+3^0}+3^0}+{3^{3^{3^1+3^0}}+3^{3^3+3^{3^0}+3^0}+3^{3^3+3^{3^0}}+3^{3^3+3^0}+3^{3^3+3^0}+3^{3^3^0+3^0}}$ 

This number just went WAY up. Now subtract 1.

$$
1000=3^{3^{3^{\scriptstyle 0}}+3^{\scriptstyle 0}}+3^{3^{\scriptstyle 3^{\scriptstyle 1}}+3^{\scriptstyle 0}}+3^{3^{3+3^{\scriptstyle 0}}+3^{3^{\scriptstyle 0}}+3^{\scriptstyle 0}}+3^{3^{3+3^{\scriptstyle 0}}}+3^{3^{3+3^{\scriptstyle 0}}}+3^{3^{3^{\scriptstyle 0}}+3^{\scriptstyle 0}}-1
$$

Repeat the process:

Replace 3 by 4, and subtract 1, Replace 4 by 5, and subtract  $1, \cdots$ . **Vote** Does the sequence:

- $\triangleright$  Goto infinity (and if so how fast- perhaps Ack-like?)
- $\triangleright$  Eventually stabilizes (e.g., goes to 18 and then stops there)
- $\triangleright$  $\triangleright$  $\triangleright$  Cycles- goes UP [th](#page-81-0)en [D](#page-78-0)[O](#page-82-0)[W](#page-83-0)[N](#page-0-0) then UP then DOWN [.](#page-92-0) ...

# <span id="page-83-0"></span>The Sequence. . .

K ロ X x 4D X X B X X B X X D X O Q O

# The Sequence. . .

goes to 0.





goes to 0.

The number of steps for *n* to goto 0 is **much bigger** than  $A(n, n)$ .



## Vote

KO KKOKKEKKEK E DAG

#### 1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN

K ロ ▶ K @ ▶ K 할 ▶ K 할 ▶ | 할 | © 9 Q @

#### 1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN **YES**

KID KIN KE KAEK LE I DAG

1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN **YES** We will show  $R_3(k) \leq 2^{2^{O(k)}}$ .

KID KAR KE KE KE YA GA

1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN **YES** We will show  $R_3(k) \leq 2^{2^{O(k)}}$ .

**KORK ERKER ADAM ADA** 

2.  $R_a(k)$  is PR. YES, NO, UNKNOWN 1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN **YES** We will show  $R_3(k) \leq 2^{2^{O(k)}}$ .

**KORK ERKER ADAM ADA** 

2.  $R_a(k)$  is PR. YES, NO, UNKNOWN YES

- <span id="page-92-0"></span>1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN **YES** We will show  $R_3(k) \leq 2^{2^{O(k)}}$ .
- 2.  $R_a(k)$  is PR. YES, NO, UNKNOWN **YES** We will "show"  $R_a(k)$  is  $\leq$  stack-of- $(a-1)$  2's.

**KORKA SERVER ORA**