Convex Points Thm Known as Happy Ending Thm

Exposition by William Gasarch

December 29, 2024

Convex Sets And Convex Hulls

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Convex Sets

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Def X is a **convex set of points** if



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 $x_1, x_2 \in X$ implies that any point on the line from x_1 to x_2 is in X.

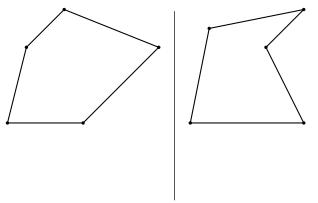
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Def X is a **convex set of points** if

 $x_1, x_2 \in X$ implies that any point on the line from x_1 to x_2 is in X. Convex and Non-Convex Sets on Next Slide.

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Convex Set / Non-Convex Set



Left Region is Convex. Right Region is Not Convex.

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Definition of A Convex Hull

Def Let $X \subseteq \mathbb{R}^2$ (it will always be a finite set). The **Convex Hull** of X is the smallest convex set that contains all the points in X.

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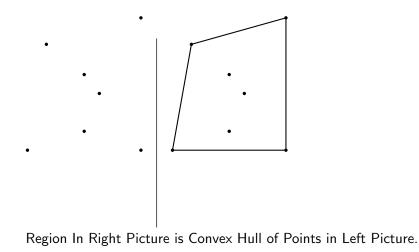
An Example is on Next Slide.

Size of a Convex Hull

Def The Size of a Convex Hull is how many sides it has.

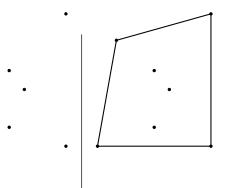


Example of A Convex Hull



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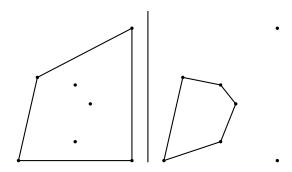
Example of A Convex Hull



Region In Right Picture is Convex Hull of Points in Left Picture. RHS is a convex hull of size 4.

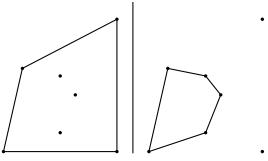
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Convex Hull Not the Largest Convex Hull



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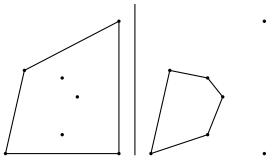
Convex Hull Not the Largest Convex Hull



LHS: 7 points have a convex hull of size 4.

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Convex Hull Not the Largest Convex Hull



LHS: 7 points have a convex hull of size 4. RHS: 5 of those 7 point have a convex hull of size 5.

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We Want Large Convex Hulls Concrete Examples

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Vote on which of the following is true, known and interesting: f(k) is bounded by some Ramsey Thing.

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Its a Stupid Question!

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Its a Stupid Question!

Let X be n points on a line. Its convex hull has 1 side.

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Let X be n points on a line. Its convex hull has 1 side.

Def *n* points in \mathbb{R}^2 are **in general position** if no three are colinear.

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Convention When we say

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$$2^{k-2} + 1 \le f(k) \le 2^{k+O(\sqrt{k\log k})}$$

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The lower bound was by Erdös-Szekeres in 1960:

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The good money is on $f(k) = 2^{k-2} + 1$.

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k = 3 Given 3 points in the plane the convex hull is a 3-gon. f(3) = 3.

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k = 4 There exists 4 points which are not a convex hull:

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(Due to Esther Klein.) Thm f(4) = 5.



(Due to Esther Klein.) Thm f(4) = 5. Let X be five points in \mathbb{R}^2 .

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(Due to Esther Klein.) Thm f(4) = 5. Let X be five points in \mathbb{R}^2 . Case 1 Convex hull of X is a 4-gon. Done! Case 2 Convex hull of X is a 3-gon. So two points are inside it.

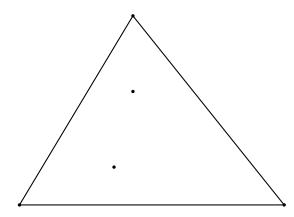
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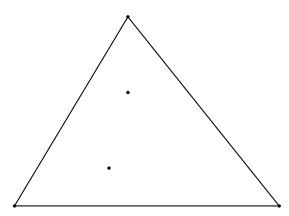
General proof left to the reader.

Two Points Inside a 3-gon



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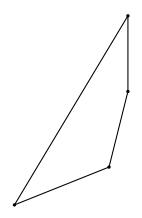
Two Points Inside a 3-gon



See Next Slide for the Amazing 4-Gon!

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The Amazing 4-Gon



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We Want Large Convex Hulls For General k

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Can We Always Get k Sized Convex Hull

Esther Klein asked Paul Erdös & George Szekeres if the foll. is true: Thm For all k there exists n such that the following holds:

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The answer is all 3. The prob this surprises you is prob 0.

Proof Using $R_4(5, k)$

This proof is by Erdös-Szekeres **Recall** Let $n = R_4(5, k)$. Then for all COL: $\binom{[n]}{4} \rightarrow [2]$ there is EITHER a RED homog set of size 5 or a BLUE homog set of size k.

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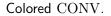
Given $X \subseteq \mathbb{R}^2$, |X| = n, define the following coloring: Let $Z \in {X \choose 4}$.

 $\operatorname{COL}(Z) = \begin{cases} \operatorname{CONV} \text{ if } Z \text{ forms a convex quadrilateral} \\ \operatorname{NOTCONV} \text{ otherwise} \end{cases}$

(1)

Example Of the Coloring

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Colored NOTCONV.

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Since $n = R_4(5, k)$ either we get



Since $n = R_4(5, k)$ either we get 1) |H| = 5 with COL restricted to $\binom{H}{4}$ is the constant NOTCONV.

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Since $n = R_4(5, k)$ either we get 1) |H| = 5 with COL restricted to $\binom{H}{4}$ is the constant NOTCONV. So every 4-subset of *H* is not a convex 4-gon. This contradicts f(5) = 4.

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2) |H| = k with COL restricted to $\binom{H}{4}$ is the constant CONV.

Since $n = R_4(5, k)$ either we get 1) |H| = 5 with COL restricted to $\binom{H}{4}$ is the constant NOTCONV. So every 4-subset of H is not a convex 4-gon. This contradicts f(5) = 4. 2) |H| = k with COL restricted to $\binom{H}{4}$ is the constant CONV. Exercise Show that if every 4-subset of H is a convex 4-gon then

H is a convex hull of size k.

Recall Let $n = R_3(k, k)$. Then for all COL: $\binom{[n]}{3} \rightarrow [2]$ there is EITHER a **R** homog set of size k or a **B** homog set of size k.

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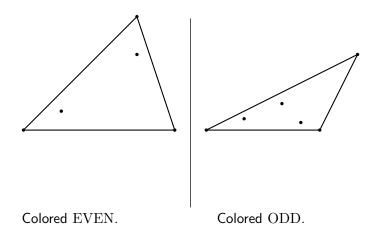
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Given $X \subseteq \mathbb{R}^2$, |X| = n, define the following coloring: Let $Z \in {X \choose 3}$.

 $COL(Z) = \begin{cases} EVEN \text{ if the numb of points of } X \text{ in triangle } Z \text{ is even} \\ ODD \text{ if the numb of points of } X \text{ in triangle } Z \text{ is odd} \end{cases}$

Example of The Coloring



Since $n = R_3(k, k)$ either we get H with |H| = k and either

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Since $n = R_3(k, k)$ either we get H with |H| = k and either 1) COL restricted to $\binom{H}{3}$ is the constant EVEN.

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Since $n = R_3(k, k)$ either we get H with |H| = k and either 1) COL restricted to $\binom{H}{3}$ is the constant EVEN. So every 3-subset of H has an even number of points inside.

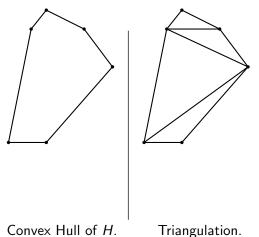
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Convex Hull of H and its Triangulation

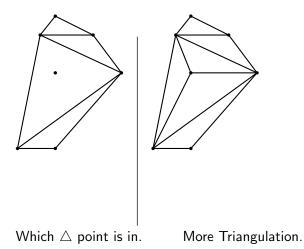


We need to prove that there are no points of U in the

We need to prove that there are no points of H in the convex hull.

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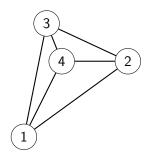
Assume There is a Point of H in the Convex Hull



Need just the new point and its neighbors, and need labels.

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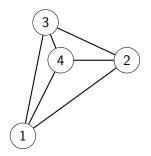
Parity Argument



All these points are in H.

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Parity Argument

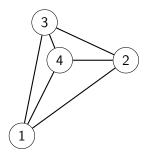


All these points are in *H*. Assume all \triangle colored EVEN.

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- 1-2-4 has an even number of points
- 2 3 4 has an even number of points
- 1 3 4 has an even number of points
- 1-2-3 has an even number of points.

Parity Argument



All these points are in *H*. Assume all \triangle colored EVEN.

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- 2 3 4 has an even number of points
- 1 3 4 has an even number of points
- 1 2 3 has an even number of points.

Not possible. 1 - 2 - 3 has the points of 1 - 2 - 4 AND 2 - 3 - 4 AND 1 - 3 - 4 AND the point 4. Thats Odd!

Third Proof

The Third Proof will be on the HW

APPLICATION?

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We used Ramsey Theory to prove the following: Thm $(\forall k)(\exists n)(\forall X \subseteq \mathbb{R}^n)(\exists Y \subseteq X)$ such that |Y| = k and the convex hull of Y has size k.

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- 1) Application.
- 2) "Application"
- 3) ""Application""

A Bit More History

The proof that Erdös-Szekeres had was the $R_4(5, k)$.



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Erdös and Szekeres were unaware of Ramsey's work and independently discovered and proved Ramsey's Theorem (for hypergraphs) to solve Esther Klein's Convex Set Problem.

Calling the proof an application of Ramsey Theory is a bit odd since it is really one of the two reasons Ramsey Theory was invented (the other was Ramsey's problem in logic).