

BILL, RECORD LECTURE!!!!

BILL RECORD LECTURE!!!

Nondeterministic Finite Automata (NFA)

An Interesting Example of a DFA

With neighbor find DFA's for the following. Note numb. states.

$$\Sigma^* a$$

$$\Sigma^* a \Sigma$$

$$\Sigma^* a \Sigma^2$$

$\Sigma^* a \Sigma^2$

[https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/
notes/dfa3.JPG](https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG)

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

Prove for $\Sigma^* a \Sigma^3$, with a table.

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

Prove for $\Sigma^* a \Sigma^3$, with a table.

Might be on $2^{\{\text{HW, MIDTERM, FINAL}\}}$.

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

Prove for $\Sigma^* a \Sigma^3$, with a table.

Might be on $2^{\{\text{HW, MIDTERM, FINAL}\}}$.

8 possibilities.

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

Prove for $\Sigma^* a \Sigma^3$, with a table.

Might be on $2^{\{\text{HW, MIDTERM, FINAL}\}}$.

8 possibilities.

Is there a smaller DFA for $\Sigma^* a \Sigma^i$? Fewer than 2^{i+1} states?

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

Prove for $\Sigma^* a \Sigma^3$, with a table.

Might be on $2^{\{\text{HW, MIDTERM, FINAL}\}}$.

8 possibilities.

Is there a smaller DFA for $\Sigma^* a \Sigma^i$? Fewer than 2^{i+1} states? No.

We may prove this later.

$\Sigma^* a \Sigma^2$

<https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG>

The number of states is 8.

More generally:

$\Sigma^* a \Sigma^i$ can be done with 2^{i+1} states.

Prove for $\Sigma^* a \Sigma^3$, with a table.

Might be on $2^{\{\text{HW, MIDTERM, FINAL}\}}$.

8 possibilities.

Is there a smaller DFA for $\Sigma^* a \Sigma^i$? Fewer than 2^{i+1} states? No.

We may prove this later.

We now use NFA's informally.

All You Need to Know About NFA's For Now

All You Need to Know About NFA's For Now

1. From state q , and symbol σ may be ≥ 2 states to go to.

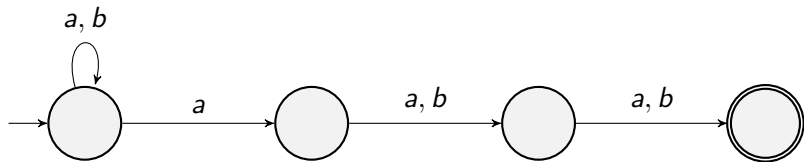
All You Need to Know About NFA's For Now

1. From state q , and symbol σ may be ≥ 2 states to go to.
2. From a state q and no symbols there may be ≥ 1 states to go to. (We use e for **empty string**.)

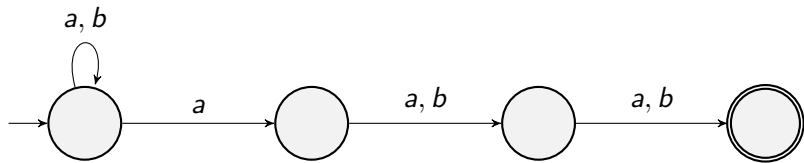
All You Need to Know About NFA's For Now

1. From state q , and symbol σ may be ≥ 2 states to go to.
2. From a state q and no symbols there may be ≥ 1 states to go to. (We use e for **empty string**.)
3. An NFA accepts a string if there is **some** way to process the string and get to a final state.

NFA for $\Sigma^* a \Sigma^2$



NFA for $\Sigma^* a \Sigma^2$



DFA had 8 states. NFA has 4 states.

NFA for $\Sigma^* a \Sigma^3$

Recall that DFA for $\Sigma^* a \Sigma^3$ used 16 states.

NFA for $\Sigma^* a \Sigma^3$

Recall that DFA for $\Sigma^* a \Sigma^3$ used 16 states.

Draw an NFA for $\Sigma^* a \Sigma^3$.

NFA for $\Sigma^* a \Sigma^3$

Recall that DFA for $\Sigma^* a \Sigma^3$ used 16 states.

Draw an NFA for $\Sigma^* a \Sigma^3$.

How many states?

NFA for $\Sigma^* a \Sigma^3$

Recall that DFA for $\Sigma^* a \Sigma^3$ used 16 states.

Draw an NFA for $\Sigma^* a \Sigma^3$.

How many states?

Make a conjecture for number of states for NFA for $\Sigma^* a \Sigma^n$.

NFA for $\Sigma^* a \Sigma^3$

Recall that DFA for $\Sigma^* a \Sigma^3$ used 16 states.

Draw an NFA for $\Sigma^* a \Sigma^3$.

How many states?

Make a conjecture for number of states for NFA for $\Sigma^* a \Sigma^n$.

Upshot Seems like NFA uses far fewer state than DFA for $\Sigma^* a \Sigma^n$.

$$\{w : \#_a(w) \equiv 0 \pmod{3} \vee \#_b(w) \equiv 0 \pmod{4}\}$$

The DFA for this requires 12 states. Can we do this with a smaller NFA?

$$\{w : \#_a(w) \equiv 0 \pmod{3} \vee \#_b(w) \equiv 0 \pmod{4}\}$$

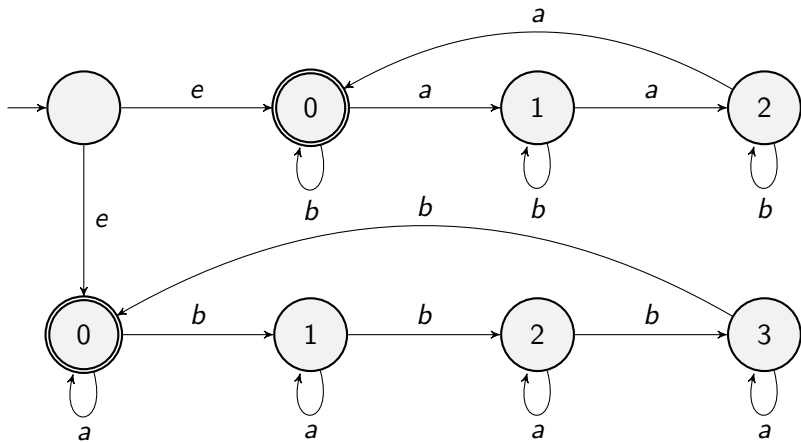
The DFA for this requires 12 states. Can we do this with a smaller NFA? **Vote**

$$\{w : \#_a(w) \equiv 0 \pmod{3} \vee \#_b(w) \equiv 0 \pmod{4}\}$$

The DFA for this requires 12 states. Can we do this with a smaller NFA? **Vote**

YES - next slide.

$\{w : \#_a(w) \equiv 0 \pmod{3} \vee \#_b(w) \equiv 0 \pmod{4}\}$



$$\{w : \#_a(w) \equiv 0 \pmod{3} \wedge \#_b(w) \equiv 0 \pmod{4}\}$$

The DFA for this requires 12 states. Can we do this with a smaller NFA?

$$\{w : \#_a(w) \equiv 0 \pmod{3} \wedge \#_b(w) \equiv 0 \pmod{4}\}$$

The DFA for this requires 12 states. Can we do this with a smaller NFA? **Vote**

$$\{w : \#_a(w) \equiv 0 \pmod{3} \wedge \#_b(w) \equiv 0 \pmod{4}\}$$

The DFA for this requires 12 states. Can we do this with a smaller NFA? **Vote**

NO. Proof similar to that for DFA. May do both later.

$$\{a^n : n \not\equiv 0 \pmod{15}\}$$

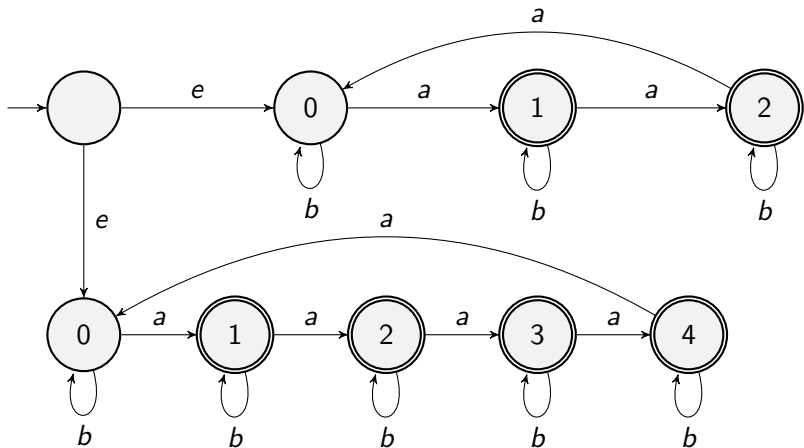
Note A DFA for this **requires** 15 states. Can a smaller NFA recognize it? **Vote**

$$\{a^n : n \not\equiv 0 \pmod{15}\}$$

Note A DFA for this **requires** 15 states. Can a smaller NFA recognize it? **Vote**

YES - next slide

$\{a^n : n \not\equiv 0 \pmod{15}\}$



$$\{a^n : n \not\equiv 0 \pmod{15}\}$$

Prove that the NFA in the last slide works.

Need

$$(n \not\equiv 0 \pmod{3} \vee n \not\equiv 0 \pmod{5}) \implies n \not\equiv 0 \pmod{15}$$

Take the contrapositive

$$n \equiv 0 \pmod{15} \implies (n \equiv 0 \pmod{3} \wedge n \equiv 0 \pmod{5})$$

$$\{a^n : n \equiv 0 \pmod{15}\}$$

Note A DFA for this **requires** 15 states. Can a smaller NFA recognize it? **Vote**

$$\{a^n : n \equiv 0 \pmod{15}\}$$

Note A DFA for this **requires** 15 states. Can a smaller NFA recognize it? **Vote**

NO. Proof similar to that for DFA. May do both later, after we define NFA rigorously.

NFA's Intuitively

1. An NFA is a DFA that can guess.
2. NFAs do not really exist.
3. Good for \cup since can guess which one.
4. An NFA accepts iff SOME guess accepts.
5. NFA's are **useful** as intermediary devices.

NFA Formally

Def An **NFA** is a tuple $(Q, \Sigma, \Delta, s, F)$ where:

1. Q is a finite set of **states**.
2. Σ is a finite **alphabet**.
3. $\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$ is the *transition function*.
4. $s \in Q$ is the **start state**.
5. $F \subseteq Q$ is the set of **final states**.

NFA Formally

Def An **NFA** is a tuple $(Q, \Sigma, \Delta, s, F)$ where:

1. Q is a finite set of **states**.
2. Σ is a finite **alphabet**.
3. $\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$ is the *transition function*.
4. $s \in Q$ is the **start state**.
5. $F \subseteq Q$ is the set of **final states**.

Def If M is an NFA and $x \in \Sigma^*$ then $M(x)$ **accepts** if when you run M on x **some sequence of guesses** end up in a **final state**.

NFA Formally

Def An **NFA** is a tuple $(Q, \Sigma, \Delta, s, F)$ where:

1. Q is a finite set of **states**.
2. Σ is a finite **alphabet**.
3. $\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$ is the *transition function*.
4. $s \in Q$ is the **start state**.
5. $F \subseteq Q$ is the set of **final states**.

Def If M is an NFA and $x \in \Sigma^*$ then $M(x)$ **accepts** if when you run M on x **some sequence of guesses** end up in a **final state**.

Note When you run $M(x)$ and choose a path one of three things can happen: (1) ends in a final state, (2) ends in a non-final state, (3) cannot process.

NFA Formally

Def An **NFA** is a tuple $(Q, \Sigma, \Delta, s, F)$ where:

1. Q is a finite set of **states**.
2. Σ is a finite **alphabet**.
3. $\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$ is the *transition function*.
4. $s \in Q$ is the **start state**.
5. $F \subseteq Q$ is the set of **final states**.

Def If M is an NFA and $x \in \Sigma^*$ then $M(x)$ **accepts** if when you run M on x **some sequence of guesses** end up in a **final state**.

Note When you run $M(x)$ and choose a path one of three things can happen: (1) ends in a final state, (2) ends in a non-final state, (3) cannot process.

Def If M is an NFA then $L(M) = \{x : M(x) \text{ accepts}\}$.

Three Way to Think About NFAs

Three Way to Think About NFAs

- ▶ **Computational (with parallelism):** Fork new computational threads whenever there is a choice. Accept if any thread accepts.

Three Way to Think About NFAs

- ▶ **Computational (with parallelism):** Fork new computational threads whenever there is a choice. Accept if any thread accepts.
- ▶ **Mathematical:** Create tree with branches whenever there is a choice. Accept if any leaf accepts.

Three Way to Think About NFAs

- ▶ **Computational (with parallelism):** Fork new computational threads whenever there is a choice. Accept if any thread accepts.
- ▶ **Mathematical:** Create tree with branches whenever there is a choice. Accept if any leaf accepts.
- ▶ **Magic:** Guess at each nondeterministic step which way to go. Machine always makes right guess if there is one.

Is Every NFA-lang a DFA-lang?

Is Every NFA-lang a DFA-lang?

1. We have seen several langs where the NFA is smaller than the DFA.

Is Every NFA-lang a DFA-lang?

1. We have seen several langs where the NFA is smaller than the DFA.
2. We have NOT seen any langs that an NFA can accept but a DFA cannot accept.

Is Every NFA-lang a DFA-lang?

1. We have seen several langs where the NFA is smaller than the DFA.
2. We have NOT seen any langs that an NFA can accept but a DFA cannot accept.

SO, is every NFA-lang also a DFA-lang?

Is Every NFA-lang a DFA-lang?

1. We have seen several langs where the NFA is smaller than the DFA.
2. We have NOT seen any langs that an NFA can accept but a DFA cannot accept.

SO, is every NFA-lang also a DFA-lang? **Vote.**

Is Every NFA-lang a DFA-lang?

1. We have seen several langs where the NFA is smaller than the DFA.
2. We have NOT seen any langs that an NFA can accept but a DFA cannot accept.

SO, is every NFA-lang also a DFA-lang? **Vote**. Yes.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$.

First we get rid of the e -transitions.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q.$$

First we get rid of the e -transitions.

Notation $\Delta(q, e^i \sigma e^j)$ means that we take state q , feed in e i times, then feed in σ , then feed in e j times. Do all possible transitions so this will be a set of states.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q.$$

First we get rid of the e -transitions.

Notation $\Delta(q, e^i \sigma e^j)$ means that we take state q , feed in e i times, then feed in σ , then feed in e j times. Do all possible transitions so this will be a set of states.

$$\Delta_1(q, \sigma) = \bigcup_{0 \leq i, j \leq n} \Delta(q, e^i \sigma e^j).$$

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q.$$

First we get rid of the e -transitions.

Notation $\Delta(q, e^i \sigma e^j)$ means that we take state q , feed in e i times, then feed in σ , then feed in e j times. Do all possible transitions so this will be a set of states.

$$\Delta_1(q, \sigma) = \bigcup_{0 \leq i, j \leq n} \Delta(q, e^i \sigma e^j).$$

NFA $(Q, \Sigma, \Delta_1, s, F)$ accepts same lang as $(Q, \Sigma, \Delta, s, F)$.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q.$$

First we get rid of the e -transitions.

Notation $\Delta(q, e^i \sigma e^j)$ means that we take state q , feed in e i times, then feed in σ , then feed in e j times. Do all possible transitions so this will be a set of states.

$$\Delta_1(q, \sigma) = \bigcup_{0 \leq i, j \leq n} \Delta(q, e^i \sigma e^j).$$

NFA $(Q, \Sigma, \Delta_1, s, F)$ accepts same lang as $(Q, \Sigma, \Delta, s, F)$.

We will work with an NFA that has NO e -transitions.

Every NFA-lang a DFA-lang!

Thm If L is accepted by an NFA then L is accepted by a DFA.

Pf L is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q.$$

First we get rid of the e -transitions.

Notation $\Delta(q, e^i \sigma e^j)$ means that we take state q , feed in e i times, then feed in σ , then feed in e j times. Do all possible transitions so this will be a set of states.

$$\Delta_1(q, \sigma) = \bigcup_{0 \leq i, j \leq n} \Delta(q, e^i \sigma e^j).$$

NFA $(Q, \Sigma, \Delta_1, s, F)$ accepts same lang as $(Q, \Sigma, \Delta, s, F)$.

We will work with an NFA that has NO e -transitions.

We are nowhere near done. Next slide.

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where $\Delta : Q \times \Sigma \rightarrow 2^Q$.

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$\Delta : Q \times \Sigma \rightarrow 2^Q$.

We define a DFA that recognizes the same language as M .

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$\Delta : Q \times \Sigma \rightarrow 2^Q$.

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$\Delta : Q \times \Sigma \rightarrow 2^Q$.

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

DFA $(2^Q, \Sigma, \delta, \{s\}, F')$. Need to define δ and F' .

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times \Sigma \rightarrow 2^Q.$$

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

DFA $(2^Q, \Sigma, \delta, \{s\}, F')$. Need to define δ and F' .

$$\delta : 2^Q \times \Sigma \rightarrow 2^Q.$$

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times \Sigma \rightarrow 2^Q.$$

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

DFA $(2^Q, \Sigma, \delta, \{s\}, F')$. Need to define δ and F' .

$$\delta : 2^Q \times \Sigma \rightarrow 2^Q.$$

$$\delta(A, \sigma) = \bigcup_{q \in A} \Delta(q, \sigma).$$

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times \Sigma \rightarrow 2^Q.$$

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

DFA $(2^Q, \Sigma, \delta, \{s\}, F')$. Need to define δ and F' .

$$\delta : 2^Q \times \Sigma \rightarrow 2^Q.$$

$$\delta(A, \sigma) = \bigcup_{q \in A} \Delta(q, \sigma).$$

$$F' = \{A : A \cap F \neq \emptyset\}.$$

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times \Sigma \rightarrow 2^Q.$$

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

DFA $(2^Q, \Sigma, \delta, \{s\}, F')$. Need to define δ and F' .

$$\delta : 2^Q \times \Sigma \rightarrow 2^Q.$$

$$\delta(A, \sigma) = \bigcup_{q \in A} \Delta(q, \sigma).$$

$$F' = \{A : A \cap F \neq \emptyset\}.$$

If NFA accepts on some path then in the DFA you will be in a state which is a set-of-states, which includes a final state from the NFA.

Every NFA-lang a DFA-lang! (Cont)

Thm If L is accepted by an NFA with n states and no ϵ -transitions then L is accepted by a DFA with $\leq 2^n$ states.

Pf L is accepted by NFA $M = (Q, \Sigma, \Delta, s, F)$ where

$$\Delta : Q \times \Sigma \rightarrow 2^Q.$$

We define a DFA that recognizes the same language as M .

Key The DFA will keep track of the **set** of states that the NFA could have been in.

DFA $(2^Q, \Sigma, \delta, \{s\}, F')$. Need to define δ and F' .

$$\delta : 2^Q \times \Sigma \rightarrow 2^Q.$$

$$\delta(A, \sigma) = \bigcup_{q \in A} \Delta(q, \sigma).$$

$$F' = \{A : A \cap F \neq \emptyset\}.$$

If NFA accepts on some path then in the DFA you will be in a state which is a set-of-states, which includes a final state from the NFA. If the DFA accepts then there was some way for the NFA to accept.

BILL, STOP RECORDING LECTURE!!!!

BILL STOP RECORDING LECTURE!!!