

**Some Context Free Languages**  
**An Exposition**  
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## 1 Context Free Grammars and Languages

**Definition 1.1** A *Context Free Grammar* is a tuple  $G = (N, \Sigma, R, S)$  such that:

- $N$  is a finite set of *nonterminals*
- $\Sigma$  is a finite alphabet. Note  $\Sigma \cap N = \emptyset$ .
- $R \subseteq N \times (N \cup \Sigma)^*$ .
- $S \in N$ , the start symbol.

If  $S$  can generate  $w \in (\Sigma \cup N)^*$  we denote this  $S \Rightarrow w$ .

$$L(G) = \{w \in \Sigma^* \mid S \Rightarrow w\}$$

**Definition 1.2** A language  $L$  is a *Context Free Language* if there exists a context free grammar  $G$  such that  $L(G) = L$ .

In this document we will show several languages are context free.  
We will need the following definition for some of the proofs.

## 2 $L = \{a^n b^n : n \in \mathbf{N}\}$ is a CFL

Here is the context free language  $G$ :

$S \rightarrow aSb$

$S \rightarrow \epsilon$

The proof that  $L(G) = L$  is an easy induction on the number-of-steps in a derivation, which we omit.

### 3 $L = \{w : \#_a(w) = \#_b(w)\}$ is a CFL

**Theorem 3.1** *Let the language  $L$  below is a CFL.*

$$L = \{w : \#_a(w) = \#_b(w)\}$$

**Proof:**

Let  $G$  the the following context free grammar.

$$S \rightarrow aSb \quad | \quad bSa$$

$$S \rightarrow SS.$$

$$S \rightarrow e.$$

We show that  $L(G) = L$ .

$L(G) \subseteq L$  is an easy induction on the number-of-steps in a derivation, which we omit.

We prove that, for all  $w \in L$ ,  $w \in L(G)$  by induction on  $|w|$ .

**Base Case**  $|w| = 0$  so  $w = e$ . This is clearly in  $L(G)$  using  $S \rightarrow e$ .

**Ind Hyp** Let  $n \geq 1$ . For all  $w \in L$  of length  $\leq n - 1$ ,  $w \in L(G)$ .

**Ind Step** Let  $w \in L$ ,  $|w| = n$ . We show  $w \in L(G)$ . We assume  $n$  is even.

**Case 1**  $w = aw'b$ . Then  $w' \in L$ ,  $|w'| = n - 2$ . By the IH,  $S \Rightarrow w'$ . Hence we have

$$S \rightarrow aSb \Rightarrow aw'b = w$$

**Case 2**  $w = bw'a$ . Similar to case 1.

**Case 3**  $w = aw'a$ . Let  $w = w_1w_2 \cdots w_n$  where  $w_1 = w_n = a$ .

For  $1 \leq i \leq n$  let  $x_i = w_1 \cdots w_i$  and  $r_i = \frac{\#_b(w_i)}{\#_a(w_i)}$ . Note  $r_1 = 0$  and  $r_{n-1} = \frac{n-1}{n}$

**Claim** There exists  $2 \leq k \leq n - 2$  such that  $r_k = 1$ .

**Proof of Claim**

Since  $r_1 < 1$  and  $r_{n-1} > 1$  there exists a least  $k$ ,  $2 \leq k \leq n - 1$ , such that  $r_k \geq 1$ . If  $r_k = 1$  then we are done. So we assume  $r_k > 1$ . Since  $i$  is the least such we have  $r_{k-1} < 1$ . Hence

$$r_{k-1} = \frac{\#_b(x_{k-1})}{\#_a(x_{k-1})} < 1$$

$$r_k = \frac{\#_b(x_k)}{\#_a(x_k)} > 1$$

Since  $r_{k-1} < r_k$ ,  $w_k = b$ . Hence  $\#_b(x_{k-1}) = \#_b(x_k) - 1$  and  $\#_a(x_k) = \#_a(x_{k-1})$ .

Hence we have

$$r_{k-1} = \frac{\#_b(x_k) - 1}{\#_a(x_k)} < 1$$

$$r_k = \frac{\#_b(x_k)}{\#_a(x_k)} > 1$$

The first equation yields

$$\#_b(x_k) - 1 < \#_a(x_k).$$

The second equation yields.

$$\#_b(x_k) > \#_a(x_k)$$

which we rewrite as

$$\#_a(x_k) < \#_b(x_k)$$

Combining the  $<$  inequalities we get

$$\#_b(x_k) - 1 < \#_a(x_k) < \#_b(x_k).$$

Since all of the quantities are natural numbers this cannot occur. Hence the case where  $r_k > 1$  cannot occur, so  $r_k = 1$ .

So we have  $w = xy$  where  $x, y \neq e$  and  $\#_a(x) = \#_b(x)$ , so  $x \in L$ . Since  $w \in L$ , we also have  $\#_a(y) = \#_b(y)$ , so  $y \in L$ . By the Induction Hypothesis  $x, y \in L(G)$ . Hence  $S \Rightarrow y$  and  $S \Rightarrow x$ . Therefore  $w \in L(G)$  as follows:

$$S \rightarrow SS \Rightarrow xy = w.$$

**Case 4**  $w = bw'b$ . Similar to Case 3. ■

## 4 A Useful Lemma

In the proof of Theorem 3.1, Case 3, we had to show that a string  $w \in L$  that began with an  $a$  ended with a  $b$  must be of the form  $xy$  where  $x \in L$  and  $y \in L$ . We prove a general lemma using the proof of that claim.

**Lemma 4.1** *Let  $m \in \mathbb{N}$ . Let*

$$L_0 = \{w : \#_b(w) = m\#_a(w) + 0\}.$$

$$L_1 = \{w : \#_b(w) = m\#_a(w) + 1\}.$$

$\vdots$

$$L_{m-1} = \{w : \#_b(w) = m\#_a(w) + m - 1\}.$$

*Let  $w \in L_0$ . Let  $w = w_1 \cdots w_{(m+1)n}$ . (There are  $n$   $a$ 's and  $mn$   $b$ 's.) For  $1 \leq k \leq (m+1)n$  let  $x_k = w_1 \cdots w_k$  and  $r_k = \frac{\#_b(x_k)}{\#_a(x_k)}$ .*

1. *If there exists  $1 \leq i < j < (m+1)n$  such that  $r_i < m$ ,  $r_j > m$ , and  $\#_a(x_i) \geq 1$  then there exists  $k$ ,  $i < k < j$ , where  $r_k = m$ . Hence there exists  $x, y \neq e$  such that  $w = xy$  and  $x, y \in L_0$ . (This follows since if  $r_k = m$  then  $x_k \in L_0$ , so the rest of the string is also in  $L_0$ .)*
2. *If there exists  $1 \leq i < j < (m+1)n$  such that  $r_i > m$ ,  $r_j < m$ , and  $\#_a(x_j \cdots x_{(m+1)n}) \geq 1$ , then there exists  $k$ ,  $i < k < j$ , where  $r_k = m$ . Hence there exists  $x, y \neq e$  such that  $w = xy$  and  $x, y \in L_0$ . This can be obtained by applying Part 1 to  $w^R$ .*
3. *If  $w$  begins with an  $a$  and ends with a  $b$  then one of the following occurs.*
  - (a)  $w = xy$  where  $x, y \in L_0$ .
  - (b)  $w = axb^m$  where  $x \in L_0$ .
4. *If  $w$  begins with a  $b$  and ends with an  $a$  then one of the following occurs.*
  - (a)  $w = xy$  where  $x, y \in L_0$ .
  - (b)  $w = b^mxa$  where  $x \in L_0$ .

*This can be obtained by applying Part 3 to  $w^R$ .*

5. *If  $w$  begins and ends with a  $b$  then either*

- (a)  $w = xy$  where  $x, y \in L_0$ .

(b)  $w = b^k a x b^{m-k}$  for some  $1 \leq k \leq m - 1$ .

(c) *FILL IN LATER*

**Proof:**

1) Since  $r_i < m$  and  $r_j > m$  there exists a least  $k$ ,  $i < k < j$ , such that  $r_k \geq m$ . If  $r_k = m$  then we are done. So we assume  $r_k > m$ . Since  $k$  is the least such number we know  $r_{k-1} < m$ . Hence

$$r_{k-1} = \frac{\#_b(x_{k-1})}{\#_a(x_{k-1})} < m \text{ (Note that } \#_a(x_{k-1}) \geq 1\text{.)}$$

$$r_k = \frac{\#_b(x_k)}{\#_a(x_k)} > m$$

Since  $r_{k-1} < r_k$ ,  $w_k = b$ . Hence  $\#_b(x_{k-1}) = \#_b(x_k) - 1$  and  $\#_a(x_k) = \#_a(x_{k-1})$

Hence we have

$$r_{k-1} = \frac{\#_b(x_k) - 1}{\#_a(x_k)} < m$$

$$r_k = \frac{\#_b(x_k)}{\#_a(x_k)} > m$$

The first equation yields

$$\#_b(x_k) - 1 < m \#_a(x_k).$$

The second equation yields.

$$\#_b(x_k) > m \#_a(x_k)$$

which we rewrite as

$$m \#_a(x_k) < \#_b(x_k)$$

Combining the  $<$  inequalities we get

$$\#_b(x_k) - 1 < m \#_a(x_k) < \#_b(x_k).$$

Since all of the quantities are natural numbers and  $\#_a(x_k) \geq 1$  this cannot occur. Hence the case where  $r_k > m$  cannot occur, so  $r_k = m$ .

3)  $w$  begins with an  $a$  and ends with a  $b$ . Let  $i \geq 1$  be such that  $w = a w' a b^i$ . (The enumerated list here does not correlate with the one in the theorem; however, we always get one of the cases.)

1. If  $1 \leq i \leq m - 1$  then we will be applying Part 1 to the prefix  $a$  and the prefix  $a w'$ . The first ratio we need is  $\frac{\#_b(a)}{\#_a(a)} = 0 < m$ . The second ratio we need is

$$\frac{\#_b(aw')}{\#_a(aw')} = \frac{\#_b(w) - \#_b(ab^i)}{\#_a(w) - \#_a(ab^i)} = \frac{mn - i}{n - 1} > m.$$

Hence Part 1 applies and we get  $w = xy$  where  $x, y \in L_0$ .

2. If  $i = m$  then the suffice  $y = ab^i \in L_0$ , so the prefix  $x = aw' \in L_0$ .
  3. If  $i \geq m + 1$  then  $w = aw'b^i = aw'b^{i-m}b^m$ . Let  $x = w'b^{i-m}$  and note that  $w = axb^m$  and  $x \in L_0$ .
- 5)  $w$  begins with a  $b$  and ends with a  $b$ . Let  $k, \ell \geq 1$  be such that  $w = b^k aw' ab^\ell$ . (The enumerated list here does not correlate with the one in the theorem; however, we always get one of the cases.)

1.  $k \leq m - 1$  and  $\ell \leq m - 1$ . We apply Part 1 with  $x_i = b^k a$  and  $x_j = b^k aw'$ . We have  $\#_a(x_i) \geq 1$ . we need  $r_i < m$  and  $r_j > m$ .

$$\#_b(b^k a) = i \text{ and } \#_a(b^k a) = 1 \text{ so } \frac{\#_b(b^k a)}{\#_a(b^k a)} = k < m.$$

$$\#_b(b^k aw') = \#_b(w) - \#_b(ab^\ell) = mn - \ell \text{ and } \#_a(b^k aw') = \#_a(w) - \#_a(ab^\ell) = n - 1, \text{ so}$$

$$\frac{\#_b(b^k aw')}{\#_a(b^k aw')} = \frac{mn - \ell}{n - 1} > m.$$

So Part 1 applies and  $w = xy$  with  $x, y \in L_0$ .

2.  $k = m$  or  $\ell = m$ . If  $k = m$  then  $w = b^m aw''$  so just take  $x = b^m a$  and  $y = w''$ . Since  $x \in L_0, y \in L_0$ . The case of  $\ell = m$  is similar.
3.  $k \geq m + 1$  and  $\ell \geq m + 1$ .
4.  $k \leq m - 1$  or  $\ell \geq m + 1$ . So  $w = b^k aw' ab^{\ell+k-m} b^{m-k}$ . Let  $x = w' a$ . Then  $w = b^k x b^{m-k}$ .

■

## 5 $L = \{w : m\#_a(w) = \#_b(w)\}$ is a CFL

**Theorem 5.1** *Let  $m \geq 1$ . The language  $L$  below is a CFL.*

$$L = \{w : m\#_a(w) = \#_b(w)\}$$

**Proof:**

Let  $G$  be the following context free grammar.

For every  $\sigma_1 \cdots \sigma_{m+1}$  where  $m$  of the symbols are  $b$  and one of the symbols is  $a$ , and for every  $0 \leq i \leq m + 1$  we have the production

$$S \rightarrow \sigma_1 \cdots \sigma_i S \sigma_{i+1} \cdots \sigma_{m+1}.$$

$$S \rightarrow SS.$$

$$S \rightarrow e.$$

$$S \rightarrow TaT.$$

$$T \rightarrow bS \quad | \quad ST.$$

1)  $L(G) \subseteq L$ .

We show by induction on the number-of-steps in a derivation that, for all  $w \in \{a, b, S, T\}^*$  that  $G$  generates,

$$m(\#_a(w) + \#_T(w)) = \#_b(w).$$

**Base Case** If there is only one step then  $w = e$  so the conclusion holds.

**Ind Hyp** If  $w' \in \{a, b, S, T\}^*$  is generated by  $n - 1$  steps then

$$m(\#_a(w') + \#_T(w')) = \#_b(w').$$

**Ind Step** Let  $S \Rightarrow w$  in  $n$  steps. Then  $S \Rightarrow w'$  in  $n - 1$  steps and then some rule  $R$  goes from  $w'$  to  $w$ . By the IH.

$$m(\#_a(w') + \#_T(w')) = \#_b(w').$$

If  $R$  replaces an  $S$  with one  $a$  and  $m$   $b$ 's then

$$\#_a(w) = \#_a(w') + 1.$$

$$\#_b(w) = \#_b(w') + m.$$

$$\#_S(w) = \#_S(w').$$

$$\#_T(w) = \#_T(w').$$

Hence

$$m((\#_a(w) - 1) + \#_T(w)) = \#_b(w) - m$$

$$m\#_a(w) - m + \#_T(w) = \#_b(w) - m$$

$$m\#_a(w) + \#_T(w) = \#_b(w)$$

**BILL - DO THE REST LATER**

is an easy induction on the number-of-steps in a derivation, which we omit.

We prove that, for all  $w \in L$ ,  $w \in L(G)$  by induction on  $|w|$ .

**Base Case**  $|w| = 0$  so  $w = e$ . This is clearly in  $L(G)$  using  $S \rightarrow e$ .

**Ind Hyp** Let  $n \geq 1$ . For all  $w \in L$  of length  $\leq n - 1$ ,  $w \in L(G)$ .

**Ind Step** Let  $w \in L$ ,  $|w| = n$ . We show  $w \in L(G)$ .

**Case 1**  $w = aw'a$ .

1. For the prefix  $a$  we have  $\frac{\#_b(a)}{\#_a(a)} = 0 < m$ . Also note that  $\#_a(a) = 1 \geq 1$ .
2. For the prefix  $aw'$  we have  $\frac{\#_b(aw')}{\#_a(aw')} = \frac{\#_b(w)}{\#_a(w)-1} > \frac{\#_b(w)}{\#_a(w)} = m$ .

By Lemma 4.1, there exists  $x, y \in L$  such that  $w = xy$ . By the Induction Hypothesis  $S \Rightarrow x$  and  $S \Rightarrow y$ . Hence

**Case 2**  $w = aw''b$ . Let  $i$  be such that  $w = aw''ab^i$ .

**Case 2.1**  $0 \leq i \leq m - 1$ .

1. For the prefix  $a$  we have  $\frac{\#_b(a)}{\#_a(a)} = 0 < m$
2. For the prefix  $aw''a$  we have  $\frac{\#_b(aw''a)}{\#_a(aw''a)} = \frac{\#_b(w)-i}{\#_a(w)} > \frac{\#_b(w)}{\#_a(w)} = m$ .

By Lemma 4.1, there exists  $x, y \in L$  such that  $w = xy$ . By the Induction Hypothesis

$S \Rightarrow x$  and  $S \Rightarrow y$ . Hence

$$S \rightarrow SS \Rightarrow xy = w$$

**Case 2.2**  $i \geq m$ . So  $w = aw'''ab^{i-m}b^m$ . Note that  $w = w'''ab^{i-m} \in L$ . By the induction hypothesis

$S \Rightarrow w'''ab^{i-m}$ . Hence



$$S \rightarrow aSb^m \Rightarrow aw'''ab^{i-m}b^m = aw'''b^i = w$$

**Case 3**  $w = bw'a$ . Similar to Case 3.

**Case 4**  $w = bw'b$ . We cannot use Lemma 4.1 with the prefix  $b$  since  $\#_a(b) = 0$ . We need to find the first  $a$ . Let  $i$  be such that  $w = b^iaw''b$ .

**Case 4.1**  $i \leq m - 1$ .

1. For the prefix  $b^i a$  we have  $\frac{\#_b(b^i a)}{\#_a(b^i a)} = \frac{i}{1} = i < m$ . Note that  $\#_a(b^i a) = 1 \geq 1$ .
2. For the prefix  $aw''a$  we have  $\frac{\#_b(a)}{\#_a(a)} = \frac{\#_b(w)-i}{\#_a(w)} > \frac{\#_b(w)}{\#_a(w)} = m$ .

By Lemma 4.1, there exists  $x, y \in L$  such that  $w = xy$ . By the Induction Hypothesis

$S \Rightarrow x$  and  $S \Rightarrow y$ . Hence

■