

# Closure of Regular Langs Under Union, Intersection, Complementation, and Projection

## Exposition by William Gasarch

### 1 Introduction

We give the constructions that show sketch the proof that all if  $L_1$  and  $L_2$  are regular and  $L_1 \cap L_2$ ,  $L_1 \cup L_2$ ,  $\bar{L}$ , and  $proj(L)$  (which we will define) are regular.

**Def 1.1** A DFA is a tuple  $(Q, \Sigma, \delta, s, F)$  where  $\delta : Q \times \Sigma \rightarrow Q$ .

We define running a DFA  $M$  on a string  $x$  in the obvious way. If the DFA ends in a state in  $F$  then  $x$  is accepted. Otherwise its rejected.

### 2 Closure Under Intersection

**Theorem 2.1** *If  $L_1$  and  $L_2$  are regular then  $L_1 \cap L_2$  is regular.*

**Proof:**

Let  $M_1 = (Q_1, \Sigma, \delta_1, s_1, F_1)$  be the DFA for  $L_1$ . Let  $M_2 = (Q_2, \Sigma, \delta_2, s_2, F_2)$  be the DFA for  $L_2$ .

We define a DFA for  $L_1 \cap L_2$ . Let  $M = (Q_1 \times Q_2, \Sigma, \delta, (s_1, s_2), F_1 \times F_2)$  where  $\delta$  is defines by, for  $(q_1, q_2) \in Q_1 \times Q_2$  and  $\sigma \in \Sigma$ ,

$$\delta((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma)).$$

The intuition is that the DFA  $M$  runs  $M_1$  and  $M_2$  at the same time. If both end up in  $F_1 \times F_2$  then both  $M_1$  and  $M_2$  accepted. ■

### 3 Closure Under Union

**Theorem 3.1** *If  $L_1$  and  $L_2$  are regular then  $L_1 \cup L_2$  is regular.*

**Proof:**

Let  $M_1 = (Q_1, \Sigma, \delta_1, s_1, F_1)$  be the DFA for  $L_1$ . Let  $M_2 = (Q_2, \Sigma, \delta_2, s_2, F_2)$  be the DFA for  $L_2$ .

We define a DFA for  $L_1 \cup L_2$ . Let  $M = (Q_1 \times Q_2, \Sigma, \delta, (s_1, s_2), F_1 \times Q_2 \cup Q_1 \times F_2)$  where  $\delta$  is defines by, for  $(q_1, q_2) \in Q_1 \times Q_2$  and  $\sigma \in \Sigma$ ,

$$\delta((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma)).$$

The intuition is that the DFA  $M$  runs  $M_1$  and  $M_2$  at the same time. If  $M_1$  ends up in  $F_1$  then we accept (independent of what  $M_2$  does), and if  $M_2$  ends up in  $F_2$  then we accept (independent of what  $M_1$  does). ■

## 4 Closure Under Complementation

**Theorem 4.1** *If  $L$  is regular then  $\bar{L}$  is regular.*

**Proof:**

Let  $M = (Q, \Sigma, \delta, s, F)$  be the DFA for  $L$ .

We define a DFA for  $\bar{L}$ . Let  $M' = (Q, \Sigma, \delta, s, Q - F)$  (recall that  $Q - F = \{q \mid q \in Q \wedge q \notin F\}$ ).

The intuition is that the DFA  $M'$  runs  $M$  but does the opposite when it comes to accepting. ■

## 5 Closure Under Complimentation

To Compliment a DFA you say  
*DFA, I admire your states!*

## 6 NFA's and DFA's

Recall the definition of an NFA:

**Def 6.1** An NFA is a tuple  $(Q, \Sigma, \Delta, s, F)$  where  $\Delta : Q \times (\Sigma \cup e) \rightarrow 2^Q$ . (Recall that  $2^Q$  is the powerset of  $Q$ .)

We DO NOT define running an NFA  $M$  on a string  $x$ . Instead we say that an NFA accepts  $x$  if SOME way of running the machine ends up in a state in  $F$ .

**Theorem 6.2** *If  $L$  is accepted by an NFA then there exists a DFA such that accepts  $L$ .*

**Proof:** Let  $M = (Q, \Sigma, \Delta, s, F)$  be the NFA for  $L$ .

We define a DFA for  $L$ . Let  $M' = (2^Q, \Sigma, \delta, s, \mathcal{F})$  where for  $A \in 2^Q$  and  $\sigma \in \Sigma$ ,

$$\delta(A, \sigma) = \bigcup_{q \in A} \Delta(e^a q e^b, \sigma)$$

(The  $e^a$  and  $e^b$  are strings of the empty string.)

$$\mathcal{F} = \{A \mid A \cap F \neq \emptyset\}$$

The intuition is that the DFA  $M'$  runs ALL possibilities for  $M$ . If SOME possibility ends up accepting, then accept. ■

## 7 Closure under Projection

**Notation 7.1** Let  $\Sigma = \{0, 1\}^n$ . Note that each element of  $\Sigma$  is itself a string of  $n$  bits. If  $x \in \Sigma^*$  then  $proj(x)$  is what you get by taking each symbol in  $x$  and chopping off the last bit. So if  $x \in (\{0, 1\}^n)^*$  then  $proj(x) \in (\{0, 1\}^{n-1})^*$ . If  $L \subseteq (\{0, 1\}^n)^*$  then

$$proj(L) = \{proj(x) \mid x \in L\}.$$

**Theorem 7.2** *If  $L$  is regular then  $proj(L)$  is regular.*

**Proof:** Let  $M = (Q, (\{0, 1\}^n), \delta, s, F)$  be the DFA for  $L$ .

We define an N DFA for  $L$ . Let  $M' = (Q, \{0, 1\}^{n-1}, \Delta, s, F)$ . For  $q \in Q$  and  $\sigma \in \{0, 1\}^{n-1}$

$$\Delta(q, \sigma) = \{\delta(q, \sigma 0), \delta(q, \sigma 1)\}.$$

■

## 8 Closure under Concatenation

**Theorem 8.1** *If  $L_1$  and  $L_2$  are regular then  $L_1L_2$  is regular.*

**Proof:**

Let  $M_1 = (Q_1, \Sigma, \delta_1, s_1, F_1)$  be the DFA for  $L_1$ . Let  $M_2 = (Q_2, \Sigma, \delta_2, s_2, F_2)$  be the DFA for  $L_2$ . By relabelling we can assume  $Q_1 \cap Q_2 = \emptyset$ .

We define an N DFA for  $L_1L_2$ . By Theorem ?? we could then obtain a DFA for  $L_1L_2$ .

Let  $M = (Q_1 \cup Q_2, \Sigma, \delta, s_1, F_2)$  where  $\delta$  is defined as follows:

- If  $q_1 \in Q_1$  and  $\sigma \in \Sigma$  then  $\delta(q_1, \sigma) = \delta_1(q_1, \sigma)$ .
- If  $q_2 \in Q_2$  and  $\sigma \in \Sigma$  then  $\delta(q_2, \sigma) = \delta_2(q_2, \sigma)$ .
- If  $f_1 \in F_1$  then  $\delta(f_1, e) = s_2$

The intuition is that the N DFA  $M$  runs  $M_1$  and then nondeterministically hops to  $M_2$ . But the hop must be from  $f_1 \in F_1$  to  $s_2 \in Q_2$  and then the  $M_2$  must accept, so if  $w$  is accepted there must be SOME WAY to divide it  $w = xy$  where  $x \in L_1$  and  $y \in L_2$ . ■

## 9 Closure Under \*

**Theorem 9.1** *If  $L$  is regular then  $L^*$  is regular.*

**Proof:**

Let  $M = (Q, \Sigma, \delta, s, F)$  be the DFA for  $L$ .

We define an N DFA for  $L^*$ . LEAVE AS AN EXERCISE.

■