

# BILL, RECORD LECTURE!!!!

BILL RECORD LECTURE!!!

# Should Tables be Sorted:

**William Gasarch**

January 23, 2025

# Credit Where Credit is Due

This is all from the paper  
**Should Tables be Sorted?**  
by Andrew Yao.

This was the first paper to apply **Ramsey Theory** to a problem in  
**Theoretical Computer Science**

# The Cell Probe Model

**Definition** The *Cell Probe Model* for search is as follows:

1. The size of the universe is  $U$ . The universe is  $\{1, \dots, U\}$ .
2. The number of elements from the universe that we will store is  $n$ .
3. The function *PUT* takes  $A \in \binom{[U]}{n}$  and outputs the elements of  $A$  in some order. This tells us how to store  $A$  in an array.
4. An algorithm *FIND* that, on input  $x \in U$ , probes the array (by asking 'What is in cell  $c$ '), and based on the answer probes another cell, etc, and then says either  $x$  is in  $A$ , or  $x$  is not in  $A$ .

## Examples One: Sort

- ▶ The function *PUT* takes  $A \in \binom{[U]}{n}$  and puts them in an  $n$ -array SORTED.
- ▶ The algorithm *FIND* does Binary Search.

**Number of Probes**  $\lceil (\lceil \log(n+1) \rceil) \rceil$ .

Can we do better?

## Examples One: Sort

- ▶ The function *PUT* takes  $A \in \binom{[U]}{n}$  and puts them in an  $n$ -array SORTED.
- ▶ The algorithm *FIND* does Binary Search.

**Number of Probes**  $\lceil (\lceil \log(n+1) \rceil) \rceil$ .

Can we do better?

This depends on how  $n$  and  $U$  compare.

# 0 Probes But Its Stupid

Silly Example:  $U = n$ .

- ▶ The function *PUT* takes  $A \in \binom{[n]}{n}$  and puts  $A$  into an  $n$ -array. Note that *everything in  $U$  is in the table*.
- ▶ Just say YES, since EVERY element is in the table.

**Number of Probes** 0.

**Caveat** The Model only asked us to determine if  $x$  is IN the table, not to find WHERE in the table  $x$  is.

# 1 Probes But Its Stupid

Silly Example:  $U = n + 1$ .

- ▶ The function *PUT* takes  $A \in \binom{[n+1]}{n}$ , notes that  $z$  is the ONLY element of  $U - A$ , and puts  $z - 1 \pmod{U}$  into the first spot of the array.
- ▶ Given  $x$ , look at the first spot of the array and you see  $w$ . If  $x = w + 1 \pmod{U}$  then say NO, else say YES.

**Number of Probes** 1.



# 1 Probes and More Interesting

$$U = 2n - 2.$$

I have notes on this on the website.

# What makes these examples work?

You probably think the above examples are silly.

# What makes these examples work?

You probably think the above examples are silly.

**More rigorously** If  $J$  isn't that much bigger than  $n$ , then there are tricks that lead to a very small number of probes.

# What makes these examples work?

You probably think the above examples are silly.

**More rigorously** If  $J$  isn't that much bigger than  $n$ , then there are tricks that lead to a very small number of probes.

**I know what you are thinking** What if  $n \ll U$ ? Then do you need  $\log n$  probes? How much bigger than  $n$  does  $U$  have to be?

# What makes these examples work?

You probably think the above examples are silly.

**More rigorously** If  $J$  isn't that much bigger than  $n$ , then there are tricks that lead to a very small number of probes.

**I know what you are thinking** What if  $n \ll U$ ? Then do you need  $\log n$  probes? How much bigger than  $n$  does  $U$  have to be? Perhaps a Ramsey Number?

# Main Result

We saw that if  $U$  is **small** then we can do FIND with  $\ll \log n$  probes.

# Main Result

We saw that if  $U$  is **small** then we can do FIND with  $\ll \log n$  probes.

The main result is that if  $U$  is **big** then it REQUIRES  $\log n$  probes.

# Lemma on Sorting

**Lemma** If  $U \geq 2n - 1$  and the elements are always put in in sorted order than ANY probe algorithm requires  $\geq \log(n + 1)$  probes.



# Lemma on Sorting

**Lemma** If  $U \geq 2n - 1$  and the elements are always put in in sorted order than ANY probe algorithm requires  $\geq \log(n + 1)$  probes.  
We omit the proof. Its in the paper. It is an adversary argument.

# Lemma on Sorting

**Lemma** If  $U \geq 2n - 1$  and the elements are always put in in sorted order than ANY probe algorithm requires  $\geq \log(n + 1)$  probes.

We omit the proof. Its in the paper. It is an adversary argument.

We can rephrase the lemma as follows:

**Lemma** Let  $\sigma$  be the permutation  $(1, 2, 3, \dots, n)$ . If  $U \geq 2n - 1$  and the elements are always put in in the array using the perm  $\sigma$  then ANY probe algorithm requires  $\geq \log(n + 1)$  probes.

## Lemma on Any Permutation

Let  $\sigma = (3, 4, 5, 1, 2)$ .

Then we can think of putting elements into an array using this  $\sigma$ .

$A[1]$  would have the 3rd largest elements

$A[2]$  would have the 4th largest elements

$A[3]$  would have the 5th largest elements

$A[4]$  would have the 1st largest elements

$A[5]$  would have the 2nd largest elements

**Lemma** Let  $\sigma$  be any permutation of  $\{1, \dots, n\}$ . If  $U \geq 2n - 1$  and the elements are always put in in the array using the perm  $\sigma$  then ANY probe algorithm requires  $\geq \log(n + 1)$  probes.

We omit the proof. Its in the paper. It is an adversary argument.

# Main Theorem

**Theorem** Let  $U \geq R_n(2n - 1, n!)$   
( $n$ -ary Ramsey,  $2n - 1$  homog set,  $n!$  color).

# Main Theorem

**Theorem** Let  $U \geq R_n(2n - 1, n!)$

( $n$ -ary Ramsey,  $2n - 1$  homog set,  $n!$  color).

Then any Cell Probe Search Algorithm requires  $\log_2(n + 1)$  probes.

# Main Theorem

**Theorem** Let  $U \geq R_n(2n - 1, n!)$

( $n$ -ary Ramsey,  $2n - 1$  homog set,  $n!$  color).

Then any Cell Probe Search Algorithm requires  $\log_2(n + 1)$  probes.

**Proof** Color  $\binom{[U]}{n}$  as follows: Color  $X \in \binom{[U]}{n}$  by  $\sigma$  such that  $X$  was put into the array via  $\sigma$ .

By the  $n$ -ary Ramsey Theorem and the definition of  $U$  there exists  $2n - 1$  element that are always put into the array using the SAME perm, which we call  $\sigma$ .

By Lemma above, if you restrict the cell probe algorithm to there  $2n - 1$  elements then ANY probe-algorithm requires  $\log_2(n + 1)$  probes.