BILL, RECORD LECTURE!!!!

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An Application of Ramsey's Theorem to Proving Programs Terminate: An Exposition

William Gasarch-U of MD

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- 1. Work by
 - 1.1 Floyd,
 - 1.2 Byron Cook, Andreas Podelski, Andrey Rybalchenko,

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- 1.3 Lee, Jones, Ben-Amram
- 1.4 Others

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- 2. Pre-Apology: Not my area-some things may be wrong.

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- 2. Pre-Apology: Not my area-some things may be wrong.
- 3. Pre-Brag: Not my area-some things may be understandable.
- This talk is for a PL audience so I will skip the Intro to Ramsey stuff in it, even though I will be listed as one of the topics.

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Problem: Given a program we want to prove it terminates no matter what user does (called TERM problem).

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1. Impossible in general- Harder than Halting.

Problem: Given a program we want to prove it terminates no matter what user does (called TERM problem).

- 1. Impossible in general- Harder than Halting.
- 2. But can do this on some simple progs. (We will.)

In this talk I will:



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1. Do examples of traditional method to prove progs terminate.

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2. DIGRESSION: A very short lecture on Ramsey Theory.

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- 3. Do that same examples using Ramsey Theory.

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- 4. Do another example with Ramsey Theory.

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- 2. **DIGRESSION:** A very short lecture on **Ramsey Theory.**
- 3. Do that same examples using Ramsey Theory.
- 4. Do another example with Ramsey Theory.
- 5. Do example with Ramsey Theory and Matrices.

1. Will use psuedo-code progs.

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2. **KEY:** If A is a set then the command

x = input(A)

means that x gets **some** value from A that the user decides.

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3. Note: we will want to show that no matter what the user does the program will halt.

- 1. Will use psuedo-code progs.
- 2. **KEY:** If A is a set then the command

x = input(A)

means that x gets **some** value from A that the user decides.

- 3. Note: we will want to show that no matter what the user does the program will halt.
- 4. The code

(x,y) = (f(x,y),g(x,y))

means that **simultaneously** x gets f(x,y) and y gets g(x,y).

(x,y,z) = (input(INT), input(INT), input(INT))
While x>0 and y>0 and z>0
 control = input(1,2,3)
 if control == 1 then
 (x,y,z)=(x+1,y-1,z-1)
 else
 if control == 2 then
 (x,y,z)=(x-1,y+1,z-1)
 else
 (x,y,z)=(x-1,y+1,z-1)

$$(x,y,z)=(x-1,y-1,z+1)$$

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        (x,y,z)=(x-1,y-1,z+1)
```

Discuss Can you prove this program always terminates?

```
(x,y,z) = (input(INT), input(INT), input(INT))
While x>0 and y>0 and z>0
    control = input(1,2,3)
    if control == 1 then
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Discuss Can you prove this program **always** terminates? Whatever the user does x+y+z is decreasing.

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```

Discuss Can you prove this program **always** terminates? Whatever the user does x+y+z is decreasing. Eventually x+y+z=0 so prog terminates there or earlier.

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- 2. if f(x,y,z) is ever 0 then the program must have halted.

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1. in every iteration f(x,y,z) decreases

2. if f(x,y,z) is ever 0 then the program must have halted.

Note: Method is more general- can map to a well founded order such that in every iteration f(x,y,z) decreases in that order, and if f(x,y,z) is ever a min element then program must have halted.

(x,y,z) = (input(INT),input(INT),input(INT))
While x>0 and y>0 and z>0
 control = input(1,2)
 if control == 1 then
 (x,y,z) =(x-1,input(y+1,y+2,...),z)
 else
 (x,y,z)=(x,y-1,input(z+1,z+2,...))

Discuss Can you prove this program always terminates?

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While x>0 and y>0 and z>0
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Discuss Can you prove this program **always** terminates? **Use Lex Order:** $(0,0,0) < (0,0,1) < \cdots < (0,1,0) \cdots$. **Note:** $(4,10^{100},10^{10!}) < (5,0,0)$.

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Examples and Counterexamples



Examples and Counterexamples

N in its usual ordering is well founded

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Examples and Counterexamples

N in its usual ordering is well founded Z in its usual ordering is NOT well founded.

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Examples and Counterexamples

N in its usual ordering is well founded Z in its usual ordering is NOT well founded. Lex order on N \times N \times N is well founded. Discuss.

Notes about Proof

1. Bad News: We had to use a funky ordering. This might be hard for a proof checker to find. (Funky is not a formal term.)

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- 2. Good News: We only had to reason about what happens in one iteration.

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- 2. Good News: We only had to reason about what happens in one iteration.

Keep these in mind- our later proof will use a **nice** ordering but will need to reason about a **block** of instructions.

The following are known:

1. If you have 6 people at a party then either 3 of them mutually know each other or 3 of them mutually don't know each other.

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- 1. If you have 6 people at a party then either 3 of them mutually know each other or 3 of them mutually don't know each other.
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- 1. If you have 6 people at a party then either 3 of them mutually know each other or 3 of them mutually don't know each other.
- 2. If you have 18 people at a party then either 4 of them mutually know each other or 4 of them mutually do not know each other.
- 3. If you have 2^{2k-1} people at a party then either k of them mutually know each other of k of them mutually do not know each other.

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- 2. If you have 18 people at a party then either 4 of them mutually know each other or 4 of them mutually do not know each other.
- 3. If you have 2^{2k-1} people at a party then either k of them mutually know each other of k of them mutually do not know each other.
- 4. If you have an **infinite** number of people at a party then either there exists an **infinite** subset that all know each other or an **infinite** subset that all do not know each other.

Def Let $c, k, n \in \mathbb{N}$. K_n is the complete graph on n vertices (all pairs are edges). $K_{\mathbb{N}}$ is the infinite complete graph. A *c*-coloring of K_n is a *c*-coloring of the edges of K_n . A homog set is a subset H of the vertices such that every pair has the same color (e.g., 10 people all of whom know each other).

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- 2. For all *c*-colorings of $K_{c^{ck-c}}$ there is a homog *k*-set.

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- 2. For all *c*-colorings of $K_{c^{ck-c}}$ there is a homog *k*-set.
- 3. For all *c*-colorings of the K_N there exists an infinite homog set.

Alt Proof Using Ramsey

(x,y,z) = (input(INT),input(INT),input(INT))
While x>0 and y>0 and z>0
 control = input(1,2)
 if control == 1 then
 (x,y,z) =(x-1,input(y+1,y+2,...),z)
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Proof of termination

Alt Proof Using Ramsey

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Proof of termination

If program does not halt then there is infinite sequence $(x_1, y_1, z_1), (x_2, y_2, z_2), \ldots$, representing state of vars.

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Look at $(x_i, y_i, z_i), \ldots, (x_j, y_j, z_j)$.

- 1. If control is ever 1 then $x_i > x_j$.
- 2. If control is never 1 then $y_i > y_j$.

Look at $(x_i, y_i, z_i), \ldots, (x_j, y_j, z_j)$.

- 1. If control is ever 1 then $x_i > x_j$.
- 2. If control is never 1 then $y_i > y_j$.

Upshot: For all i < j either $x_i > x_j$ or $y_i > y_j$.

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If program does not halt then there is infinite sequence $(x_1, y_1, z_1), (x_2, y_2, z_2), \ldots$, representing state of vars. For all i < j either $x_i > x_j$ or $y_i > y_j$.

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If program does not halt then there is infinite sequence $(x_1, y_1, z_1), (x_2, y_2, z_2), \ldots$, representing state of vars. For all i < j either $x_i > x_j$ or $y_i > y_j$. Define a 2-coloring of the edges of K_N :

$$COL(i,j) = \begin{cases} X \text{ if } x_i > x_j \\ Y \text{ if } y_i > y_j \end{cases}$$
(1)

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By **Ramsey** there exists homog set $i_1 < i_2 < i_3 < \cdots$.

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By **Ramsey** there exists homog set $i_1 < i_2 < i_3 < \cdots$. If color is X then $x_{i_1} > x_{i_2} > x_{i_3} > \cdots$

If program does not halt then there is infinite sequence $(x_1, y_1, z_1), (x_2, y_2, z_2), \ldots$, representing state of vars. For all i < j either $x_i > x_j$ or $y_i > y_j$. Define a 2-coloring of the edges of K_N :

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By **Ramsey** there exists homog set $i_1 < i_2 < i_3 < \cdots$. If color is X then $x_{i_1} > x_{i_2} > x_{i_3} > \cdots$ If color is Y then $y_{i_1} > y_{i_2} > y_{i_3} > \cdots$

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$$COL(i,j) = \begin{cases} X \text{ if } x_i > x_j \\ Y \text{ if } y_i > y_j \end{cases}$$
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By **Ramsey** there exists homog set $i_1 < i_2 < i_3 < \cdots$. If color is X then $x_{i_1} > x_{i_2} > x_{i_3} > \cdots$ If color is Y then $y_{i_1} > y_{i_2} > y_{i_3} > \cdots$ In either case will have eventually have a var ≤ 0 and hence program must terminate. **Contradiction.**

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- 2. Ramsey Proof used natural ordering on N-simple!

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- 2. Ramsey Proof used natural ordering on N-simple!
- 3. Trad. proof only had to reason about single steps-simple!

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- 1. Trad. proof used lex order on N³-complicated!
- 2. Ramsey Proof used natural ordering on N-simple!
- 3. Trad. proof only had to reason about single steps-simple!

4. Ramsey Proof had to reason about blocks of steps-complicated!

What do YOU think?

VOTE:

1. Traditional Proof!

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2. Ramsey Proof!

Another Example

```
(x,y) = (input(INT), input(INT))
While x>0 and y>0
    control = input(1,2)
    if control == 1 then
        (x,y)=(x-1,x)
    else
    if control == 2 then
        (x,y)=(y-2,x+1)
```

If program does not halt then there is infinite sequence $(x_1, y_1), (x_2, y_2), \ldots$, representing state of vars. We look at a block $(x_i, y_i), \ldots, (x_j, y_j)$.

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If program does not halt then there is infinite sequence $(x_1, y_1), (x_2, y_2), \ldots$, representing state of vars. We look at a block $(x_i, y_i), \ldots, (x_j, y_j)$. One can show that either × decreases, or ×+y decreases.

Define a 2-coloring of the edges of $K_{\rm N}$:

$$COL(i,j) = \begin{cases} X \text{ if } x_i > x_j \\ X + Y \text{ if } x_i + y_i > x_j + y_j \end{cases}$$
(2)

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Define a 2-coloring of the edges of $K_{\rm N}$:

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Define a 2-coloring of the edges of $K_{\rm N}$:

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By **Ramsey** there exists homog set $i_1 < i_2 < \cdots$. If color is X then $x_{i_1} > x_{i_2} > \cdots$ If color is X + Y then $x_{i_1} + y_{i_1} > x_{i_2} + y_{i_2} > \cdots$ In either case will have eventually have a var ≤ 0 and hence program must terminate. **Contradiction.**

Comments

- 1. The condition
 - $x_i > x_j \text{ OR } x_i + y_i > x_j + y_j.$
 - in the last proof is called a **Termination Invariant**. It is used to strengthen the induction hypothesis.

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- 2. The proof was **found by the system** of B. Cook et al.
- 3. Looking for a Termination Invariant is the hard part to automate but they have automated it.
- 4. Can we use these techniques to solve a fragment of Termination Problem?

Model control=1 via a Matrix

if control == 1 then (x,y)=(x-1,x)

Model as a matrix A indexed by x,y,x+y.

$$\left(\begin{array}{rrr} -1 & 0 & \infty \\ \infty & \infty & \infty \\ \infty & \infty & \infty \end{array}\right)$$

For $a, b \in \{x, y, x+y\}$ Entry (a, b) is difference between NEW b and OLD a. Entry (a, a) is most interesting- if neg then a decreased.

Model control=2 via a Matrix

if control == 2 then (x,y)=(y-2,x+1)Model as a matrix *B* indexed by x,y,x+y.

$$\left(\begin{array}{ccc}\infty & 1 & \infty \\ -2 & \infty & \infty \\ \infty & \infty & -1\end{array}\right)$$

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Redefine Matrix Mult

A and B matrices, C=AB defined by

$$c_{ij}=\min_k\{a_{ik}+b_{kj}\}.$$

Lemma

If matrix A models a statement s_1 and matrix B models a statement s_2 then matrix AB models what happens if you run s_1 ; s_2 .

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Matrix Proof that Program Terminates

- A is matrix for control=1. B is matrix for control=2.
- Show: any prod of A's and B's some diag is negative.
- Hence in any finite seg one of the vars decreases.
- Hence, by Ramsey proof, the program always terminates

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General Program

```
X = (input(INT),...,input(INT))
While x[1]>0 and x[2]>0 and ... x[n]>0
 control = input(1, 2, 3, \ldots, m)
 if control==1
    X = F1(X,input(INT),...,input(INT)))
  else
  if control==2
    X = F2(X, input(INT), \dots, input(INT))
  else...
  else
  if control==m
    X = Fm(X,input(INT),...,input(INT))
```

Fragment of TERM decidable?

Def The **TERMINATION PROBLEM:** Given F_1, \ldots, F_m can we determine if the following holds:

For all $\omega\text{-seq}$ of inputs the program halts

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 Hilbert thought there was such an algorithm and that this was a problem in Number Theory.

Over time (next slide) it was proven that there is NO such algorithm and that this is a problem in Logic.

Computable and C.E. Sets

Def: A set A is **computable** if there is a Java program (Turing Machine, other models) J (on one var) that halts on all inputs such that

If $x \in A$ then J(x)=YES If $x \notin A$ then J(x)=NO



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If $x \notin A$ then $(\forall y)[J(x, y) = NO]$.

Known: There are sets that are c.e. but not computable. Here is one: Let J_x be the *x*th Java program in some reasonable ordering.

$$\{(x,y): J_x(y) \text{ halts }\} = \{(x,y): (\exists t)[J_x(y) \text{ halts in } \leq t \text{ steps}] \}$$

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 In 1959 Davis-Putnam-Robinson showed that for every c.e. set A there exists an exp-poly (so can include vars as exponents) p(x, x₁,..., x_n) such that

$$A = \{a: (\exists a_1, \ldots, a_n)[p(a, a_1, \ldots, a_n)]\}$$

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- From all of this you can conclude Hilbert's Tenth Problem is Unsolvable.
- 4. From this you can conclude that TERM is undecidable.

The **TERMINATION PROBLEM:** Given F_1, \ldots, F_m can we determine if the following holds: For all ω -seq of inputs the program halts

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1. This is **HARDER** than **HALT**. This is Σ_1^1 -complete. Infinitely harder than HALT!

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- 2. **EASY** to show is **HARD**: use polynomials and Hilbert's Tenth Problem. This shows a much easier version of the problem undecidable.
- 3. **OPEN:** Determine which subsets of F_i make this decidable? Σ_1^1 -complete? Other?

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- 1. Our colorings were transitive.
- 2. Transitive Ramsey Thm is weaker than Ramsey's Thm.

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- 3. **Proof Theory:** Over the axiom system *RCA*₀, R implies TR, but TR does not imply R.

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- Ramsey Theory can be used to prove some simple programs terminate that seem harder to do by traditional methods. Interest to PL.
- 2. Some subcases of **TERMINATION PROBLEM** are decidable. Of interest to **PL** and **Logic**.
- 3. Full strength of Ramsey not needed. Interest to Logicians and Combinatorists.

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