### **BILL, RECORD LECTURE!!!!**

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**Exposition by William Gasarch** 

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We compare our LBs to the UB  $2^{2k-1}$  for convenience.

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To show that  $R(k) \ge f(k)$  we need to construct a coloring

 $\mathrm{COL} \colon \binom{f(k)}{2} \to [2]$  such that there is no homog set of size k.

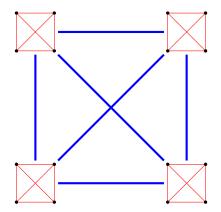
$$R(k)) \ge (k-1)^2$$

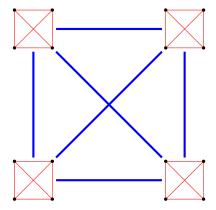
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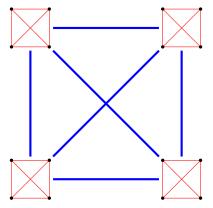
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We first give an example, on the next slide.



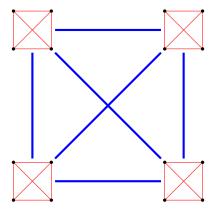


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We will do better!

# We Show

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Let G=(V,E) where V={[k]\choose 3}. E=\{(A,B)\colon |A\cap B|=1\}. We show that G has no \geq \frac{k-1}{2}-clique and no \geq \frac{k}{2}-ind. set.
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Hence |\{x, y, z\}| > 4 which is impossible.
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Since C is a clique and every vertex Taking  $\{1,2,3\}$  and its neighbors there are only 7 vertices in the |C|.

# The Largest Clique. Case 2

Case 2:  $\forall w$ , w appears in at most 3 vertices of C.

Let  $\{1, 2, 3\}$  be a vertex of C.

Every neighbor of  $\{1,2,3\}$  in C must have either 1 or 2 or 3 in it.

At most 2 neighbors have 1 in it.

At most 2 neighbors have 2 in it.

At most 2 neighbors have 3 in it.

Hence  $|N(\{1,2,3\})| \le 6$ .

Since C is a clique and every vertex Taking  $\{1,2,3\}$  and its neighbors there are only 7 vertices in the |C|.

One can show that  $k \le 15$  contrary to hypothesis.

Let I be a maximum sized ind. set in G.

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We give an example of an ind. set for k=17. We write it as three clusters of vertices to illustrate how we classify the vertices.

I)  $\{1,2,3\}$ ,  $\{1,2,4\}$ , ...,  $\{1,2,17\}$ . (Do not confuse this I with the name of the ind. set which we call I.)

Let I be a maximum sized ind. set in G. We show  $|I| \leq \frac{k}{2}$ .

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- I)  $\{1,2,3\}$ ,  $\{1,2,4\}$ , ...,  $\{1,2,17\}$ . (Do not confuse this I with the name of the ind. set which we call I.)
- **II)** {8,9,10}, {8,9,11}, {8,10,11}, {9,10,11}.

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- **III)** {12, 13, 14}, {15, 16, 17}.

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Facts left to the reader to prove.

Assume *C* is a type I cluster.

- 1) If |C| = s then the union of the vertices has s + 2 numbers.
- 2) If  $v \notin C$  then for all  $v' \in C$ ,  $v \cap v' = \emptyset$ .

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1) The number of vertices in C is < 4.
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**Facts** left to the reader to prove.

Assume C is a type II cluster.

- 1) The number of vertices in C is  $\leq 4$ .
- 2) The union of the vertices in C has  $\leq$  4 numbers.

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**Facts** left to the reader to prove.

Assume C is a type II cluster.

- 1) The number of vertices in C is  $\leq 4$ .
- 2) The union of the vertices in C has  $\leq 4$  numbers.
- 3) If  $v \notin C$  then for all  $v' \in C$ ,  $v \cap v' = \emptyset$ .

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Facts Left To The Reader

Let C be a cluster of type III.

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Facts Left To The Reader

Let *C* be a cluster of type **III**.

1) If |C| = s then the union of the vertices in C has 3s numbers.

#### **Type III Clusters**

**Type III Clusters** A set of vertices such that every vertex is disjoint from every vertex in the ind. set (including other vertices in the cluster).

**Example** k = 17 which does not matter.

{12, 13, 14}, {15, 16, 17}.

#### Facts Left To The Reader

Let C be a cluster of type III.

- 1) If |C| = s then the union of the vertices in C has 3s numbers.
- 2) If  $v \notin C$  then for all  $v' \in E$ ,  $v \cap v' = \emptyset$ . (this is by definition of a type III cluster).

#### **Type III Clusters**

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**Example** k = 17 which does not matter.

{12, 13, 14}, {15, 16, 17}.

#### Facts Left To The Reader

Let C be a cluster of type III.

- 1) If |C| = s then the union of the vertices in C has 3s numbers.
- 2) If  $v \notin C$  then for all  $v' \in E$ ,  $v \cap v' = \emptyset$ . (this is by definition of a type III cluster).
- 3) There is at most one cluster of type **III** (if not you can union them).

**Lemma** Assume I is a maximum sized ind. set. Then  $\exists I'$ , an ind. set, |I| = |I'| and I has no type I clusters.

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We do four examples of how to take a cluster of type I and rearrange the numbers in it to form clusters of type II or III, while not decreasing the number of vertices.

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Since the numbers in the cluster are not in any other vertex, this rearranging will not affect any other vertex in I.

We leave it to the reader to take our examples and make general proofs out of them (which is easy).

```
\{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \{1,2,11\}, \{1,2,12\}
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 \{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ \{1,2,11\}, \{1,2,12\} \\ \text{has } 10 \text{ vertices.}
```

```
 \begin{aligned} &\{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ &\{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ &\{1,2,11\}, \{1,2,12\} \end{aligned}
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has 10 vertices.

Rearrange into 3 clusters of type **II**, which is 12 vertices:

```
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has 10 vertices.

Rearrange into 3 clusters of type **II**, which is 12 vertices:

$$\begin{aligned} &\{1,2,3\}, \{1,2,4\}, \{1,3,4\}, \{2,3,4\} \\ &\{5,6,7\}, \{5,6,8\}, \{5,7,8\}, \{6,7,8\} \\ &\{9,10,11\}, \{9,10,12\}, \{9,11,12\}, \{10,11,12\}. \end{aligned}$$

```
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```
\{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \{1,2,11\}, \{1,2,12\}, \{1,2,13\}
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has 11 vertices.

We rearrange this into 3 clusters of type II, which is 12 vertices:

```
 \begin{aligned} &\{1,2,3\}, \{1,2,4\}, \{1,3,4\}, \{2,3,4\} \\ &\{5,6,7\}, \{5,6,8\}, \{5,7,8\}, \{6,7,8\} \\ &\{9,10,11\}, \{9,10,12\}, \{9,11,12\}, \{10,11,12\}. \end{aligned}
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 $\{9,10,11\}, \{9,10,12\}, \{9,11,12\}, \{10,11,12\}.$ 

We cannot use the number 13. Oh well.

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Even so, rearranging lead to 12 > 11 vertices.

```
 \begin{aligned} &\{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ &\{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ &\{1,2,11\}, \{1,2,12\}, \{1,2,13\}, \{1,2,14\} \end{aligned}
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 \{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ \{1,2,11\}, \{1,2,12\}, \{1,2,13\}, \{1,2,14\} \\ \text{has } 12 \text{ vertices.}
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 \begin{array}{l} \{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ \{1,2,11\}, \{1,2,12\}, \{1,2,13\}, \{1,2,14\} \end{array}
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 \begin{array}{l} \{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ \{1,2,11\}, \{1,2,12\}, \{1,2,13\}, \{1,2,14\} \end{array}
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```
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{9, 10, 11}, {9, 10, 12}, {9, 11, 12}, {10, 11, 12}. We cannot use the numbers 13 or 14. Oh well.

Even so, rearranging let to 12 = 12 vertices.

```
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 \{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ \{1,2,11\}, \{1,2,12\}, \{1,2,13\}, \{1,2,14\}, \\ \{1,2,15\}
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We rearrange this into 3 clusters of type **II** and one size-1 cluster of type **III**, which is 13 vertices.

```
 \{1,2,3\}, \{1,2,4\}, \{1,2,5\}, \{1,2,6\}, \\ \{1,2,7\}, \{1,2,8\}, \{1,2,9\}, \{1,2,10\}, \\ \{1,2,11\}, \{1,2,12\}, \{1,2,13\}, \{1,2,14\}, \\ \{1,2,15\}
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The number of vertices stayed the same.

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- 1) x clusters of type II. This is 4x vertices and uses up 4x numbers that cannot be used in any other vertex.
- 2) One cluster of type III with y vertices. This uses up 3y numbers that cannot be used in any other vertex.

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Number of vertices: 4x + y.

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Number of vertices: 4x + y. Number of numbers: 4x + 3y.

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Number of vertices: 4x + y. Number of numbers: 4x + 3y. Maximize 4x + y relative to  $4x + 3y \le k$ .

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Number of vertices: 4x + y. Number of numbers: 4x + 3y. Maximize 4x + y relative to  $4x + 3y \le k$ . Left to reader to show max is  $\le \frac{k}{2}$ .

# Ind. Sets That have Type II, III Clusters Only

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Number of vertices: 4x + y. Number of numbers: 4x + 3y. Maximize 4x + y relative to  $4x + 3y \le k$ . Left to reader to show max is  $\le \frac{k}{2}$ . Hint Do four cases based on  $x \pmod{4}$ .

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- 1) x clusters of type II. This is 4x vertices and uses up 4x numbers that cannot be used in any other vertex.
- 2) One cluster of type III with y vertices. This uses up 3y numbers that cannot be used in any other vertex.

Number of vertices: 4x + y. Number of numbers: 4x + 3y. Maximize 4x + y relative to  $4x + 3y \le k$ . Left to reader to show max is  $\le \frac{k}{2}$ . Hint Do four cases based on  $x \pmod{4}$ . PROOF IS DONE

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And, as always, I ask Will the proofs be suitable for HS students? For Ilya?

# **Summary of What is Known**

The following results were obtained by constructing the appropriate colorings.

Result	Comments	Paper
$R(k) \geq (k-1)^2$	Elt	Folklore, 1950s
$R(k) \geq \Omega(k^{2.3})$	Elt	[1], 1971
$R(k) \geq \Omega(k^3)$	Elt	[9], 1981, 1975
$R(k) \ge 2^{\Omega(\log^2(k)/\log\log k)}$	Set Systems	[3], 1981
$R(k) \ge 2^{\Omega(\log^2(k)/\log\log k)}$	Info. Theory & Lin. Alg.	[2], 1998
$R(k) \ge 2^{\Omega(\log^2(k)/\log\log k)}$	Representing OR	[5], 2000
$R(k) \ge 2^{\Omega(\log^2(k)/\log\log k)}$	Representing OR	[4], 2014
$R(k) \geq $ Better than [3]	Extractors	[6], 2017,
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Refs at end of slide packet. Some include pointers to the papers.



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#### Challenge

- 1) Want HS proof that  $R(k) \ge \Omega(k^4)$ . Or higher degree.
- 2) Want easier proofs of the results in the table beyond  $\Omega(k^3)$ .

#### First Slide of References

- 1) H. Abbott. Lower bounds on some Ramsey numbers. *Discrete Mathematics*, 2:289–293, 1971.
- 2) N. Alon. The Shannon capacity of a union. *Combinatorica*, 18(3):301-310, 1998. https://doi.org/10.1007/PL00009824.
- 3) P. Frankl and R. Wilson. Intersection theorems with geometric consequences. *Combinatorica*, 1:357–368, 1981. https://www.cs.umd.edu/~gasarch/TOPICS/CRT/FW.pdf

### **Second Slide of References**

- 4) P. Gopalan. Constructing Ramsey graphs from Boolean function representations. *Combinatorica*, 34(2):173–206, 2014. https://doi.org/10.1007/s00493-014-2367-1.
- 5) V. Grolmusz. Superpolynomial size set-systems with restricted intersections mod 6 and explicit Ramsey graphs. *Combinatorica*, 20(1):71–86, 2000.

https://doi.org/10.1007/s004930070032.

6) X. Li. Improved non-malleable extractors, non-malleable codes and independent source extractors. In H. Hatami, P. McKenzie, and V. King, editors, *Proceedings of the 49th Annual ACM SIGACT Symposium on Theory of Computing, STOC 2017, Montreal, QC, Canada, June 19-23, 2017*, pages 1144–1156. ACM, 2017.

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### Third and Last Slide of References

7) X. Li. Non-malleable extractors and non-malleable codes: Partially optimal constructions. In A. Shpilka, editor, *34th Computational Complexity Conference, CCC 2019, July 18-20, 2019, New Brunswick, NJ, USA*, volume 137 of *LIPIcs*, pages 28:1–28:49. Schloss Dagstuhl - Leibniz-Zentrum für Informatik, 2019.

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- 8) X. Li. Two source extractors for asymptotically optimal entropy, and (many) more. In 64th IEEE Annual Symposium on Foundations of Computer Science, FOCS 2023, Santa Cruz, CA, USA, November 6-9, 2023, pages 1271–1281. IEEE, 2023. https://doi.org/10.1109/FOCS57990.2023.00075.
- 9) Z. Nagy. A constructive estimate of the Ramsey numbers (In Hungarian). *Mat. Lapok*, pages 301–302, 1975.

