

The Cook-Levin Theorem

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Variants of SAT

Definition

1. SAT is the set of all boolean formulas that are satisfiable. That is, $\phi(\vec{x}) \in SAT$ if there exists a vector \vec{b} such that $\phi(\vec{b}) = TRUE$.
2. CNFSAT is the set of all boolean formulas in SAT of the form $C_1 \wedge \cdots \wedge C_m$ where each C_i is an \vee of literals.
3. k -SAT is the set of all boolean formulas in SAT of the form $C_1 \wedge \cdots \wedge C_m$ where each C_i is an \vee of exactly k literals.
4. DNFSAT is the set of all boolean formulas in SAT of the form $C_1 \vee \cdots \vee C_m$ where each C_i is an \wedge of literals.
5. k -DNFSAT is the set of all boolean formulas in SAT of the form $C_1 \vee \cdots \vee C_m$ where each C_i is an \wedge of exactly k literals.

Cook-Levin Theorem

Theorem

CNFSAT is NP-complete.

We need to prove two things:

1. $\text{CNFSAT} \in \text{NP}$.

$$\text{CNFSAT} = \{\phi : (\exists \vec{y})[\phi(\vec{y}) = T]\}$$

Formally

$$B = \{(\phi, \vec{y}) : \phi(\vec{y}) = T\}$$

The satisfying assignment is the witness.

2. For all $X \in \text{NP}$, $X \leq \text{CNFSAT}$. This is the bulk of the proof.

$X \in \text{NP}$ implies $X \leq \text{CNFSAT}$

Let $X \in \text{NP}$. We show that $X \leq \text{CNFSAT}$.

M be a TM and p, q be polynomials such that

$$X = \{x \mid (\exists y)[|y| = p(|x|) \text{ AND } M(x, y) = 1]\}$$

and $M(x, y)$ runs in time $q(|x| + |y|)$.

Let $M = (Q, \Sigma, \delta, q_0, h)$

The machine itself has a tape. Example:

$\#abba\#ab@ab\#a$

(Everything to the right that is not seen is a $\#$. Our convention is that you CANNOT go off to the left— from the left most symbol you can't go left.)

If the machine is in state q and the head is looking at (say) the $@$ sign we denote this by:

$\#abba\#ab(@, q)a$

We extend the alphabet and allow symbols $\Sigma \times Q$. The symbol

$(@, q)$ means the symbol is $@$, the state is q .