

Lecture Note

# Ramsey Theory

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**Abstract**

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**1. Week 1: 01/28/2025, 01/30/2025**

**Abstract:** In this week, we introduce existence in Ramsey theorem. The proofs are mainly constructions.

**1.1. Introduction of Ramsey Theorem**

The classical result of Ramsey theorem is:

**Theorem 1.1.**  $\forall$  2-coloring of the edges of  $K_6$  there is a mono triangle.

In the future, we use the following language to study Ramsey theory.

**Definition 1.1.** Let  $a, n \in \mathbb{N}$  and  $A \subseteq \mathbb{N}$ .

- $[n] = \{1, \dots, n\}$ .
- $\binom{A}{a}$  is the set of all  $a$ -sized subsets of  $A$ .

**Corollary 1.1.** For all functions

$$COL : \binom{[6]}{2} \rightarrow [2]$$

there exists  $A \subseteq [6]$ ,  $|A| = 3$ , such that

$$COL : \binom{A}{2} \text{ is constant.}$$

**Theorem 1.2.** For all functions

$$COL : \binom{[18]}{2} \rightarrow [2]$$

there exists  $A \subseteq [18]$ ,  $|A| = 4$ , such that

$$COL : \binom{A}{2} \text{ is constant.}$$

**Theorem 1.3** (Ramsey's Theorem for 2-col Graphs).  $\forall k \exists n = R(k)$  such that the following happens:  
For all

$$COL : \binom{[n]}{2} \rightarrow [2]$$

there exists  $A \subseteq [n]$ ,  $|A| = k$ , such that

$$COL : \binom{A}{2} \text{ is constant.}$$

Another statement is the following:

**Definition 1.2.** Let  $k \in \mathbb{N}$ .

- An Arithmetic Sequence of Length  $k$ , denoted  $k$ -AP, is a set of  $k$  numbers that are equally spaced.
- If there is a coloring of  $\mathbb{N}$  then a mono  $k$ -AP is a  $k$ -AP where all of the numbers are the same color.

**Theorem 1.4.** For all 2-colorings of  $[9]$  there exists a mono 3-AP.

**Theorem 1.5.** For all 2-colorings of  $[35]$  there exists a mono 4-AP.

**Theorem 1.6** (Van der Waerden's Theorem for 2-coloring of  $\mathbb{N}$ ). For all  $k$  there exists  $W = W(k)$  such that the following happens: For all

$$COL : [W] \rightarrow [2]$$

there exists a mono  $k$ -AP.

### 1.2. Infinite Ramsey Theorem For Graphs

**Definition 1.3** (Homogeneous). Let  $COL : \binom{A}{2} \rightarrow [2]$ . A set  $H \subseteq A$  is homogeneous if  $COL$  restricted to  $\binom{H}{2}$  is constant.

**Theorem 1.7** (Infinite Ramsey Theorem). For all  $COL : \binom{\mathbb{N}}{2} \rightarrow [2]$ , there exists an infinite homogeneous set.

*Proof.*

$$H_1 = \mathbb{N}$$

$$x_1 = 1$$

$$c_1 = \begin{cases} R & \text{if } |\{y \in H_1 : COL(x_1, y) = R\}| = \infty, \\ B & \text{otherwise.} \end{cases}$$

$$H_2 = \{x_1\} \cup \{y \in H_1 : COL(x_1, y) = c_1\}$$

$$x_2 = \text{the least element of } H_2 - \{x_1\}.$$

$$c_2 = \begin{cases} R & \text{if } |\{y \in H_2 : COL(x_2, y) = R\}| = \infty, \\ B & \text{otherwise.} \end{cases}$$

Assume  $H_s, x_s, c_s$  are defined.

$$H_{s+1} = \{x_1, \dots, x_s\} \cup \{y \in H_s : \text{COL}(x_s, y) = c_s\}$$

$$x_{s+1} = \text{the least element of } H_{s+1} - \{x_1, \dots, x_s\}.$$

$$c_{s+1} = \begin{cases} R & \text{if } |\{y \in H_{s+1} : \text{COL}(x_{s+1}, y) = R\}| = \infty, \\ B & \text{otherwise.} \end{cases}$$

$$X = \{x_1, x_2, \dots\}$$

Color  $x_s$  by  $c_s$ . W.l.o.g. assume  $H := \{y \in X : \text{COL}(y) = R\}$  s.t.  $|H| = \infty$ . Then  $H$  is an infinite homogeneous set.  $\square$

**Remark 1.1.** This is not true when replace  $\mathbb{N}$  by  $\mathbb{Z}$  and require  $H \equiv \mathbb{Z}$ . The relative result is Theorem 1.9.

*Counterexample.* negative-negative = blue; negative-positive=blue; positive-positive=red.  $\square$

**Corollary 1.2.**  $\forall c \in \mathbb{N}$ , for all  $\text{COL} : \binom{\mathbb{N}}{2} \rightarrow [c]$ , there exists an infinite homogeneous set.

Here we introduce the concept of hypergraphs. The above results are about 2-hypergraphs.

**Definition 1.4** (Hypergraph). An  $a$ -hypergraph is  $(V, E)$ , where  $E \subset \binom{V}{a}$ .

*Proof of Theorem 1.3 by Theorem 1.7.* Assume the statement is false. Then

$$(\exists k)(\forall n)(\exists \text{COL} : \binom{[n]}{2} \rightarrow [2] \text{ with no homogeneous set of size } k).$$

Let  $\text{COL}_i, i = 0, 1, \dots$  be the coloring s.t.

$$\text{COL}_i : \binom{[k+i]}{2} \rightarrow [2] \text{ with no homogeneous set of size } k.$$

Let  $T = \{\text{COL}_i\}_{i \in \mathbb{N}}$ .

Let  $e_1, e_2, \dots$  be a list of every element of  $\binom{\mathbb{N}}{2}$ .

$$\text{COL}(e_1) = R \text{ if } |\{y : \text{COL}_y(e_1) = R\}| = \infty, B \text{ otherwise.}$$

Delete  $\{\text{COL} \in T : \text{COL}(e_1) \neq R\}$  from  $T$ . Still denote as  $T$ .

By induction, do the coloring for  $\{e_i\}$ . Then we get a coloring  $\text{COL}$  of  $\binom{\mathbb{N}}{2}$ . By Theorem 1.7, there is an infinite homogeneous set  $H = \{x_1 < x_2 < \dots\}$ . Then  $\text{COL}|_{\binom{H}{2}} = \text{COL}_j$  for some  $j$  and  $\{x_1, \dots, x_k\}$  is a homogeneous set of  $\text{COL}_j$  with size  $k$ .  $\square$

### 1.3. Theory on $\mathbb{Z}$

For relaxing the requirement of the theory, we define

**Definition 1.5** ( $m$ -homogeneous). Let  $\text{COL} : \binom{A}{2} \rightarrow [c]$ . Let  $m \in \mathbb{N}$ . Let  $H \subseteq A$ .  $H$  is  $m$ -homogeneous if  $\text{COL}$  restricted to  $\binom{H}{2}$  takes on  $\leq m$  values.

Here  $c$  is some large fixed number.

**Definition 1.6.** If  $L_1$  and  $L_2$  are both linearly ordered sets then  $L_1 \equiv L_2$  means that  $\exists$  an order preserving bijection between  $L_1$  and  $L_2$ .

The questions we care about is to find  $m$ , s.t.

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$$\forall \text{COL} : \binom{\mathbb{Z}}{2} \rightarrow [c] \quad \exists \text{ infinite set } H \subseteq \mathbb{Z}, H \equiv \mathbb{Z}, H \text{ is } m\text{-homogeneous.}$$

•

$$\exists \text{COL} : \binom{\mathbb{Z}}{2} \rightarrow [c] \quad \text{no } (c-1)\text{-homogeneous set } H, \text{ s.t. } H \equiv \mathbb{Z}.$$

The answer for the second problem is

**Theorem 1.8.**  $\exists \text{COL} : \binom{\mathbb{Z}}{2} \rightarrow [4]$  with no infinite 3-homogeneous  $H \equiv \mathbb{Z}$ .

*Proof.* We will take  $\mathbb{Z}$  to be the following set:

$$\{\dots, -6, -4, -2\} \cup \{1, 3, 5, \dots\}.$$

We define  $\text{COL}(x, y) : \binom{\mathbb{Z}}{2}$ . We assume  $|x| < |y|$ .

$$\text{COL}(x, y) = \begin{cases} 1 & \text{if } x, y \geq 1 \\ 2 & \text{if } x, y \leq -1 \\ 3 & \text{if } x \leq -1, y \geq 1 \\ 4 & \text{if } y \leq -1, x \geq 1 \end{cases}$$

There is no 3-homogeneous  $H \equiv \mathbb{Z}$ . Suppose that we have such  $H$ , then there are more than 2 positive nodes and more than 2 negative nodes, which means color 1, 2 are necessary. Since both sides are infinite, there exists  $x, y \in H, x < 0, y > 0$ , s.t.  $|x| < |y|$ , i.e. color 3 is necessary. Similar to color 4.  $\square$

The answer for the first problem is

**Theorem 1.9.**  $\forall \text{COL} : \binom{\mathbb{Z}}{2} \rightarrow [c], \quad \exists$  a 4-homogeneous  $H \equiv \mathbb{Z}$ .

Before proving Theorem 1.9, we need a lemma on bipartite graph.

**Definition 1.7** (Bipartite Graph). A Bipartite Graph is  $(L, R, E)$  where the vertices are  $L \cup R$  and  $E \subseteq L \times R$  (so no edges within  $L$  or within  $R$ ).  $L$  stands for Left,  $R$  stands for Right.

**Definition 1.8.** Let  $n, m \in \mathbb{N}$ . The complete  $(n, m)$ -bipartite graph, denoted  $K_{n,m}$ , is the bipartite graph  $([n], [m], [n] \times [m])$ .

**Remark 1.2.** In particular,  $K_{\mathbb{N},\mathbb{N}}$  is the bipartite graph  $(\mathbb{N}, \mathbb{N}, \mathbb{N} \times \mathbb{N})$ .

A coloring of the edges of  $K_{\mathbb{N},\mathbb{N}}$  is a coloring of  $\mathbb{N} \times \mathbb{N}$ .

Then we introduce the theory on  $K_{\mathbb{N},\mathbb{N}}$ .

**Definition 1.9.** Let  $\text{COL} : \mathbb{N} \times \mathbb{N} \rightarrow [c]$ . Let  $m \in \mathbb{N}$ .  $H_1 \times H_2 \subseteq \mathbb{N} \times \mathbb{N}$  is an infinite  $m$ -homogeneous if  $\text{COL}$  restricted to  $H_1 \times H_2$  takes on  $\leq m$  values, and  $H_1, H_2$  both infinite.

**Theorem 1.10.**  $\exists \text{COL} : \mathbb{N} \times \mathbb{N} \rightarrow [2]$  with no infinite 1-homogeneous  $H_1 \times H_2$ .

*Proof.* We use  $\text{EVEN}^+ = \{2, 4, 6, \dots\} \times \text{ODD}^+$  instead of  $\mathbb{N} \times \mathbb{N}$ . We define  $\text{COL}(x, y) : \mathbb{N} \times \mathbb{N} \rightarrow [2]$ .

$$\text{COL}(x, y) = \begin{cases} 1 & \text{if } x < y \\ 2 & \text{if } x > y \end{cases}$$

□

**Theorem 1.11.**  $\forall \text{COL} : \mathbb{N} \times \mathbb{N} \rightarrow [c], \exists H_1 \times H_2$ , it is an infinite 2-homogeneous.

*Proof.* Assume left side is labeled as  $X = \{x_i\}_{i \in \mathbb{N}}$  and right side is labeled as  $Y = \{y_i\}_{i \in \mathbb{N}}$ .

Let  $\tilde{x}_1 = x_1$ . There is color  $c_1$ , s.t.  $|\{y \in Y : \text{COL}(\tilde{x}_1, y) = c_1\}| = \infty$ . Delete  $y \in Y$  such that  $\text{COL}(\tilde{x}_1, y) \neq c_1$ , still denote as  $Y$ .

Let  $\tilde{y}_1 =$  the least element of  $Y$ . There is color  $d_1$ , s.t.  $|\{x \in X : \text{COL}(x, \tilde{y}_1) = d_1\}| = \infty$ . Delete  $x \in X$  such that  $\text{COL}(x, \tilde{y}_1) \neq d_1$ , still denote as  $X$ .

Use induction to continue the construction. Color  $x_i \in X$  by  $c_i$  and  $y_j \in Y$  by  $d_j$ . There is a color  $c$ , s.t.  $|\{x \in X : \text{COL}(x) = c\}| = \infty$ , let  $H_1 = \{x \in X : \text{COL}(x) = c\}$ . Similarly, define  $H_2$ . Then  $H_1 \times H_2$  is an infinite 2-homogeneous set. □

*Proof of Theorem 1.9.* Use Theorem 1.7 on  $\binom{\mathbb{N}}{2}$  to find color  $c_1$ .

Use Theorem 1.7 on  $\binom{-\mathbb{N}}{2}$  to find color  $c_2$ .

Use Theorem 1.11 to connect  $\mathbb{N}$  and  $-\mathbb{N}$  with color  $c_3, c_4$ . □