

# 15-213

“The course that gives CMU its Zip!”

## Exceptional Control Flow Part II Oct. 22, 2002

### Topics

- Process Hierarchy
- Shells
- Signals
- Nonlocal jumps

# ECF Exists at All Levels of a System

## Exceptions

- Hardware and operating system kernel software

## Concurrent processes

- Hardware timer and kernel software

## Signals

- Kernel software

## Non-local jumps

- Application code

Previous Lecture

This Lecture

# The World of Multitasking

## System Runs Many Processes Concurrently

- Process: executing program
  - State consists of memory image + register values + program counter
- Continually switches from one process to another
  - Suspend process when it needs I/O resource or timer event occurs
  - Resume process when I/O available or given scheduling priority
- Appears to user(s) as if all processes executing simultaneously
  - Even though most systems can only execute one process at a time
  - Except possibly with lower performance than if running alone

# Programmer's Model of Multitasking

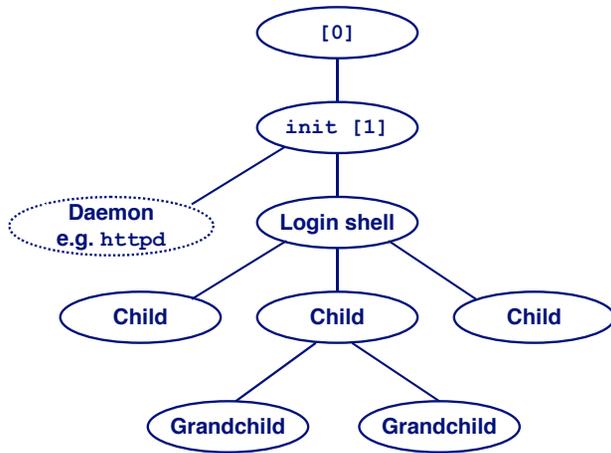
## Basic Functions

- `fork()` spawns new process
  - Called once, returns twice
- `exit()` terminates own process
  - Called once, never returns
  - Puts it into “zombie” status
- `wait()` and `waitpid()` wait for and reap terminated children
- `exec1()` and `execve()` run a new program in an existing process
  - Called once, (normally) never returns

## Programming Challenge

- Understanding the nonstandard semantics of the functions
- Avoiding improper use of system resources
  - E.g. “Fork bombs” can disable a system.

## Unix Process Hierarchy



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## Unix Startup: Step 1

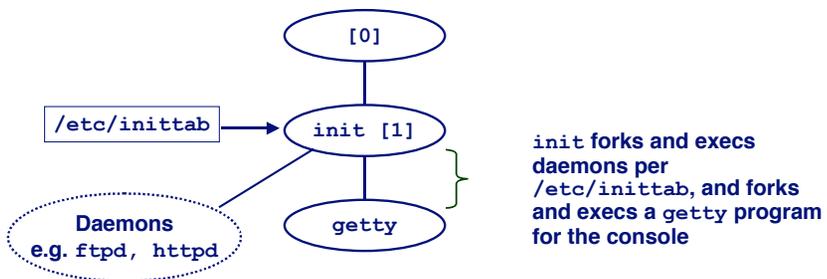
1. Pushing reset button loads the PC with the address of a small bootstrap program.
2. Bootstrap program loads the boot block (disk block 0).
3. Boot block program loads kernel binary (e.g., `/boot/vmlinux`).
4. Boot block program passes control to kernel.
5. Kernel handcrafts the data structures for process 0.



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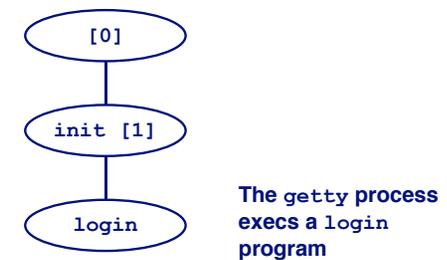
## Unix Startup: Step 2



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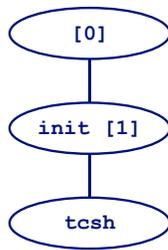
## Unix Startup: Step 3



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## Unix Startup: Step 4



login reads login and passwd.  
if OK, it execs a *shell*.  
if not OK, it execs another getty

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## Shell Programs

A *shell* is an application program that runs programs on behalf of the user.

- sh – Original Unix Bourne Shell
- csh – BSD Unix C Shell, tcsh – Enhanced C Shell
- bash – Bourne-Again Shell

```
int main()
{
    char cmdline[MAXLINE];

    while (1) {
        /* read */
        printf("> ");
        fgets(cmdline, MAXLINE, stdin);
        if (feof(stdin))
            exit(0);

        /* evaluate */
        eval(cmdline);
    }
}
```

Execution is a sequence of  
read/evaluate steps

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## Simple Shell eval Function

```
void eval(char *cmdline)
{
    char *argv[MAXARGS]; /* argv for execve() */
    int bg;               /* should the job run in bg or fg? */
    pid_t pid;           /* process id */

    bg = parseline(cmdline, argv);
    if (!builtin_command(argv)) {
        if ((pid = Fork()) == 0) { /* child runs user job */
            if (execve(argv[0], argv, environ) < 0) {
                printf("%s: Command not found.\n", argv[0]);
                exit(0);
            }
        }

        if (!bg) { /* parent waits for fg job to terminate */
            int status;
            if (waitpid(pid, &status, 0) < 0)
                unix_error("waitfg: waitpid error");
        }
        else /* otherwise, don't wait for bg job */
            printf("%d %s", pid, cmdline);
    }
}
```

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## Problem with Simple Shell Example

Shell correctly waits for and reaps foreground jobs.

But what about background jobs?

- Will become zombies when they terminate.
- Will never be reaped because shell (typically) will not terminate.
- Creates a memory leak that will eventually crash the kernel when it runs out of memory.

Solution: Reaping background jobs requires a mechanism called a *signal*.

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# Signals

A **signal** is a small message that notifies a process that an event of some type has occurred in the system.

- Kernel abstraction for exceptions and interrupts.
- Sent from the kernel (sometimes at the request of another process) to a process.
- Different signals are identified by small integer ID's
- The only information in a signal is its ID and the fact that it arrived.

ID	Name	Default Action	Corresponding Event
2	SIGINT	Terminate	Interrupt from keyboard (ctrl-c)
9	SIGKILL	Terminate	Kill program (cannot override or ignore)
11	SIGSEGV	Terminate & Dump	Segmentation violation
14	SIGALRM	Terminate	Timer signal
17	SIGCHLD	Ignore	Child stopped or terminated

# Signal Concepts

## Sending a signal

- Kernel **sends** (delivers) a signal to a **destination process** by updating some state in the context of the destination process.
- Kernel sends a signal for one of the following reasons:
  - Kernel has detected a system event such as divide-by-zero (SIGFPE) or the termination of a child process (SIGCHLD)
  - Another process has invoked the `kill` system call to explicitly request the kernel to send a signal to the destination process.

# Signal Concepts (cont)

## Receiving a signal

- A destination process **receives** a signal when it is forced by the kernel to react in some way to the delivery of the signal.
- Three possible ways to react:
  - Ignore the signal (do nothing)
  - Terminate the process.
  - **Catch** the signal by executing a user-level function called a **signal handler**.
    - » Akin to a hardware exception handler being called in response to an asynchronous interrupt.

# Signal Concepts (cont)

A signal is **pending** if it has been sent but not yet received.

- There can be at most one pending signal of any particular type.
- Important: Signals are not queued
  - If a process has a pending signal of type `k`, then subsequent signals of type `k` that are sent to that process are discarded.

A process can **block** the receipt of certain signals.

- Blocked signals can be delivered, but will not be received until the signal is unblocked.

A pending signal is received at most once.

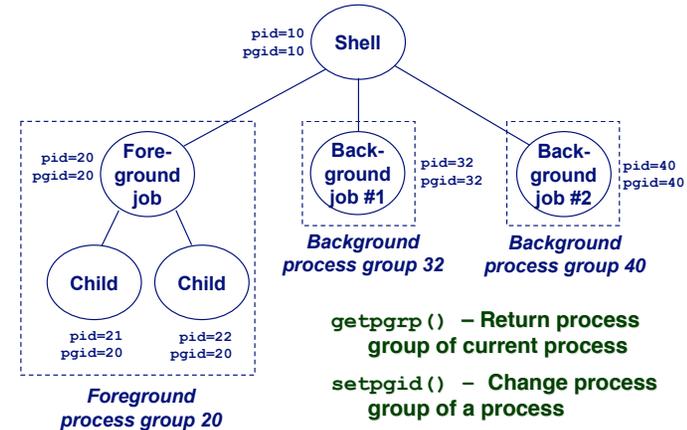
# Signal Concepts

Kernel maintains `pending` and `blocked` bit vectors in the context of each process.

- `pending` – represents the set of pending signals
  - Kernel sets bit `k` in `pending` whenever a signal of type `k` is delivered.
  - Kernel clears bit `k` in `pending` whenever a signal of type `k` is received
- `blocked` – represents the set of blocked signals
  - Can be set and cleared by the application using the `sigprocmask` function.

# Process Groups

Every process belongs to exactly one process group



# Sending Signals with `kill` Program

`kill` program sends arbitrary signal to a process or process group

```
linux> ./forks 16
linux> Child1: pid=24818 pgrp=24817
Child2: pid=24819 pgrp=24817
```

```
linux> ps
  PID TTY          TIME CMD
 24788 pts/2        00:00:00 tcsh
 24818 pts/2        00:00:02 forks
 24819 pts/2        00:00:02 forks
 24820 pts/2        00:00:00 ps
linux> kill -9 -24817
linux> ps
  PID TTY          TIME CMD
 24788 pts/2        00:00:00 tcsh
 24823 pts/2        00:00:00 ps
linux>
```

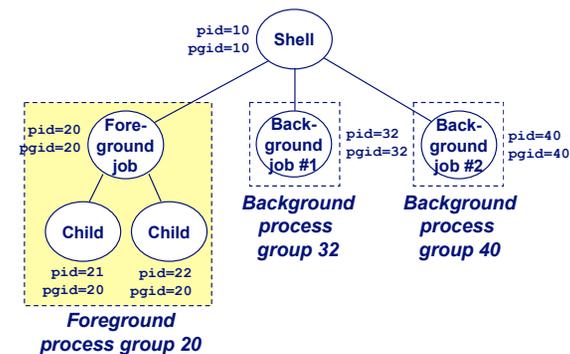
## Examples

- `kill -9 24818`
  - Send SIGKILL to process 24818
- `kill -9 -24817`
  - Send SIGKILL to every process in process group 24817.

# Sending Signals from the Keyboard

Typing `ctrl-c` (`ctrl-z`) sends a SIGTERM (SIGTSTP) to every job in the foreground process group.

- SIGTERM – default action is to terminate each process
- SIGTSTP – default action is to stop (suspend) each process



## Example of `ctrl-c` and `ctrl-z`

```
linux> ./forks 17
Child: pid=24868 pgrp=24867
Parent: pid=24867 pgrp=24867
<typed ctrl-z>
Suspended
linux> ps a
  PID TTY          STAT       TIME COMMAND
 24788 pts/2    S           0:00 -usr/local/bin/tcsh -i
 24867 pts/2    T           0:01 ./forks 17
 24868 pts/2    T           0:01 ./forks 17
 24869 pts/2    R           0:00 ps a
bass> fg
./forks 17
<typed ctrl-c>
linux> ps a
  PID TTY          STAT       TIME COMMAND
 24788 pts/2    S           0:00 -usr/local/bin/tcsh -i
 24870 pts/2    R           0:00 ps a
```

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## Sending Signals with `kill` Function

```
void fork12()
{
    pid_t pid[N];
    int i, child_status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0)
            while(1); /* Child infinite loop */

    /* Parent terminates the child processes */
    for (i = 0; i < N; i++) {
        printf("Killing process %d\n", pid[i]);
        kill(pid[i], SIGINT);
    }

    /* Parent reaps terminated children */
    for (i = 0; i < N; i++) {
        pid_t wpid = wait(&child_status);
        if (WIFEXITED(child_status))
            printf("Child %d terminated with exit status %d\n",
                wpid, WEXITSTATUS(child_status));
        else
            printf("Child %d terminated abnormally\n", wpid);
    }
}
```

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## Receiving Signals

Suppose kernel is returning from exception handler and is ready to pass control to process  $p$ .

Kernel computes  $\text{pnb} = \text{pending} \ \& \ \sim\text{blocked}$

- The set of pending nonblocked signals for process  $p$

If ( $\text{pnb} == 0$ )

- Pass control to next instruction in the logical flow for  $p$ .

Else

- Choose least nonzero bit  $k$  in  $\text{pnb}$  and force process  $p$  to receive signal  $k$ .
- The receipt of the signal triggers some *action* by  $p$
- Repeat for all nonzero  $k$  in  $\text{pnb}$ .
- Pass control to next instruction in logical flow for  $p$ .

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## Default Actions

Each signal type has a predefined *default action*, which is one of:

- The process terminates
- The process terminates and dumps core.
- The process stops until restarted by a SIGCONT signal.
- The process ignores the signal.

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## Installing Signal Handlers

The `signal` function modifies the default action associated with the receipt of signal `signum`:

- `handler_t *signal(int signum, handler_t *handler)`

Different values for `handler`:

- `SIG_IGN`: ignore signals of type `signum`
- `SIG_DFL`: revert to the default action on receipt of signals of type `signum`.
- Otherwise, `handler` is the address of a **signal handler**
  - Called when process receives signal of type `signum`
  - Referred to as “*installing*” the handler.
  - Executing handler is called “*catching*” or “*handling*” the signal.
  - When the handler executes its return statement, control passes back to instruction in the control flow of the process that was interrupted by receipt of the signal.

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## Signal Handling Example

```
void int_handler(int sig)
{
    printf("Process %d received signal %d\n",
           getpid(), sig);
    exit(0);
}

void fork13()
{
    pid_t pid[N];
    int i, child_status;
    signal(SIGINT, int_handler);
    . . .
}
```

```
linux> ./forks 13
Killing process 24973
Killing process 24974
Killing process 24975
Killing process 24976
Killing process 24977
Process 24977 received signal 2
Child 24977 terminated with exit status 0
Process 24976 received signal 2
Child 24976 terminated with exit status 0
Process 24975 received signal 2
Child 24975 terminated with exit status 0
Process 24974 received signal 2
Child 24974 terminated with exit status 0
Process 24973 received signal 2
Child 24973 terminated with exit status 0
linux>
```

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## Signal Handler Funkiness

Pending signals are not queued

```
int ccount = 0;
void child_handler(int sig)
{
    int child_status;
    pid_t pid = wait(&child_status);
    ccount--;
    printf("Received signal %d from process %d\n",
           sig, pid);
}

void fork14()
{
    pid_t pid[N];
    int i, child_status;
    ccount = N;
    signal(SIGCHLD, child_handler);
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0) {
            /* Child: Exit */
            exit(0);
        }
    while (ccount > 0)
        pause(); /* Suspend until signal occurs */
}
```

- For each signal type, just have single bit indicating whether or not signal is pending
- Even if multiple processes have sent this signal

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## Living With Nonqueuing Signals

Must check for all terminated jobs

- Typically loop with `wait`

```
void child_handler2(int sig)
{
    int child_status;
    pid_t pid;
    while ((pid = wait(&child_status)) > 0) {
        ccount--;
        printf("Received signal %d from process %d\n", sig,
               pid);
    }
}

void fork15()
{
    . . .
    signal(SIGCHLD, child_handler2);
    . . .
}
```

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## A Program That Reacts to Externally Generated Events (ctrl-c)

```
#include <stdlib.h>
#include <stdio.h>
#include <signal.h>

void handler(int sig) {
    printf("You think hitting ctrl-c will stop the bomb?\n");
    sleep(2);
    printf("Well...");
    fflush(stdout);
    sleep(1);
    printf("OK\n");
    exit(0);
}

main() {
    signal(SIGINT, handler); /* installs ctrl-c handler */
    while(1) {
    }
}
```

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## A Program That Reacts to Internally Generated Events

```
#include <stdio.h>
#include <signal.h>

int beeps = 0;

/* SIGALRM handler */
void handler(int sig) {
    printf("BEEP\n");
    fflush(stdout);

    if (++beeps < 5)
        alarm(1);
    else {
        printf("BOOM!\n");
        exit(0);
    }
}
```

```
main() {
    signal(SIGALRM, handler);
    alarm(1); /* send SIGALRM in
              1 second */

    while (1) {
        /* handler returns here */
    }
}
```

```
linux> a.out
BEEP
BEEP
BEEP
BEEP
BEEP
BOOM!
bass>
```

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## Nonlocal Jumps: setjmp/longjmp

Powerful (but dangerous) user-level mechanism for transferring control to an arbitrary location.

- Controlled to way to break the procedure call/return discipline
- Useful for error recovery and signal handling

`int setjmp(jmp_buf j)`

- Must be called before `longjmp`
- Identifies a return site for a subsequent `longjmp`.
- Called once, returns one or more times

Implementation:

- Remember where you are by storing the current register context, stack pointer, and PC value in `jmp_buf`.
- Return 0

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## setjmp/longjmp (cont)

`void longjmp(jmp_buf j, int i)`

- Meaning:
  - return from the `setjmp` remembered by jump buffer `j` again...
  - ...this time returning `i` instead of 0
- Called after `setjmp`
- Called once, but never returns

`longjmp` Implementation:

- Restore register context from jump buffer `j`
- Set `%eax` (the return value) to `i`
- Jump to the location indicated by the PC stored in jump buf `j`.

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## setjmp/longjmp Example

```
#include <setjmp.h>
jmp_buf buf;

main() {
    if (setjmp(buf) != 0) {
        printf("back in main due to error\n");
    } else {
        printf("first time through\n");
        p1(); /* p1 calls p2, which calls p3 */
    }
    ...
    p3() {
        <error checking code>
        if (error)
            longjmp(buf, 1)
    }
}
```

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## Putting It All Together: A Program That Restarts Itself When ctrl-c'd

```
#include <stdio.h>
#include <signal.h>
#include <setjmp.h>

sigjmp_buf buf;

void handler(int sig) {
    siglongjmp(buf, 1);
}

main() {
    signal(SIGINT, handler);

    if (!sigsetjmp(buf, 1))
        printf("starting\n");
    else
        printf("restarting\n");
}
```

```
while(1) {
    sleep(1);
    printf("processing...\n");
}
```

```
bass> a.out
starting
processing...
processing...
restarting ← Ctrl-c
processing...
processing...
restarting ← Ctrl-c
processing...
restarting ← Ctrl-c
processing...
processing...
```

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## Limitations of Nonlocal Jumps

### Works within stack discipline

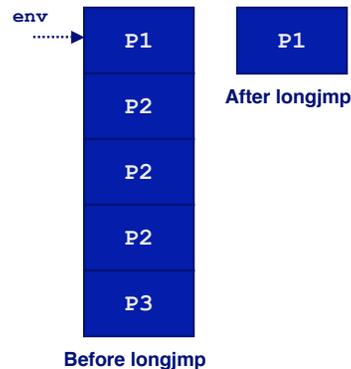
- Can only long jump to environment of function that has been called but not yet completed

```
jmp_buf env;

P1()
{
    if (setjmp(env)) {
        /* Long Jump to here */
    } else {
        P2();
    }
}

P2()
{ ... P2(); ... P3(); }

P3()
{
    longjmp(env, 1);
}
```



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## Limitations of Long Jumps (cont.)

### Works within stack discipline

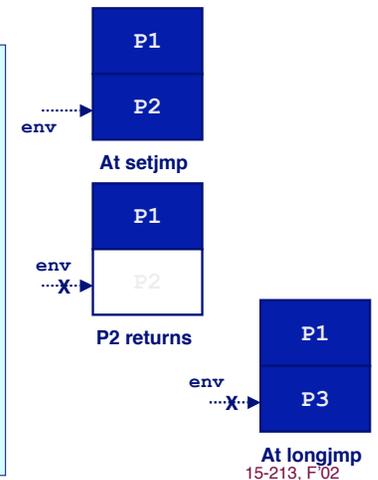
- Can only long jump to environment of function that has been called but not yet completed

```
jmp_buf env;

P1()
{
    P2(); P3();
}

P2()
{
    if (setjmp(env)) {
        /* Long Jump to here */
    }
}

P3()
{
    longjmp(env, 1);
}
```



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# Summary

## Signals provide process-level exception handling

- Can generate from user programs
- Can define effect by declaring signal handler

## Some caveats

- Very high overhead
  - >10,000 clock cycles
  - Only use for exceptional conditions
- Don't have queues
  - Just one bit for each pending signal type

## Nonlocal jumps provide exceptional control flow within process

- Within constraints of stack discipline