

# BILL, RECORD LECTURE!!!!

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# Nondeterministic Finite Automata (NFA)

# An Interesting Example of a DFA

With neighbor find DFA's for the following. Note numb. states.

$$\Sigma^* a$$

$$\Sigma^* a \Sigma$$

$$\Sigma^* a \Sigma^2$$

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[https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/  
notes/dfa3.JPG](https://www.cs.umd.edu/users/gasarch/COURSES/452/S21/notes/dfa3.JPG)

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More generally:

$\Sigma^* a \Sigma^i$  can be done with  $2^{i+1}$  states.

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Prove for  $\Sigma^* a \Sigma^3$ , with a table.

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We may prove this later.

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We now use NFA's informally.

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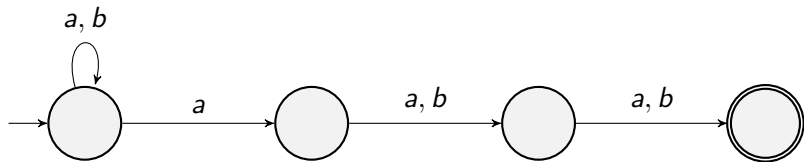
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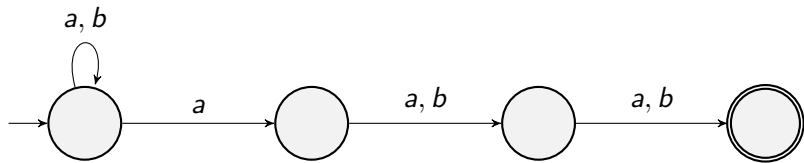
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2. From a state  $q$  and no symbols there may be  $\geq 1$  states to go to. (We use  $e$  for **empty string**.)
3. An NFA accepts a string if there is **some** way to process the string and get to a final state.



# NFA for $\Sigma^* a \Sigma^2$



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DFA had 8 states. NFA has 4 states.

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Draw an NFA for  $\Sigma^* a \Sigma^3$ .

How many states?

Make a conjecture for number of states for NFA for  $\Sigma^* a \Sigma^n$ .

**Upshot** Seems like NFA uses far fewer state than DFA for  $\Sigma^* a \Sigma^n$ .

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The DFA for this requires 12 states. Can we do this with a smaller NFA?



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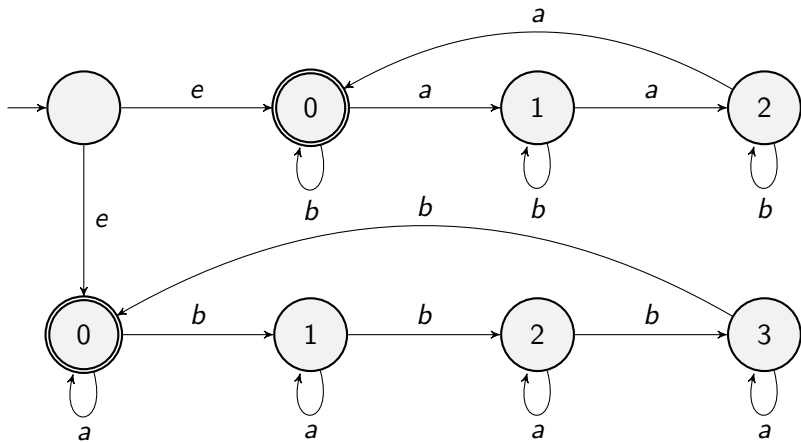
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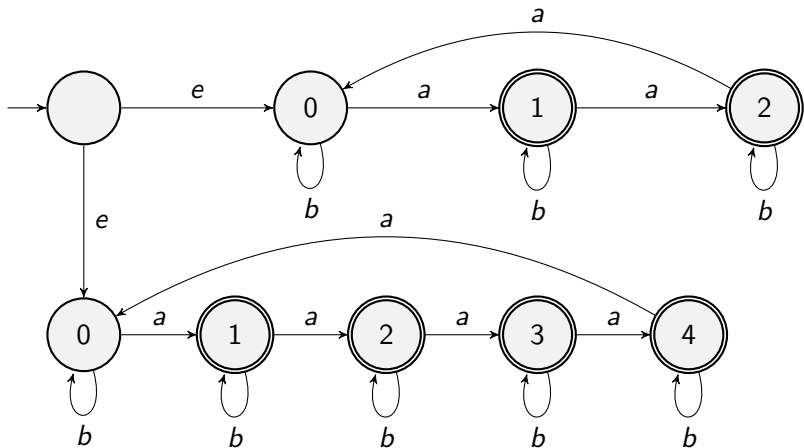


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Prove that the NFA in the last slide works.

Need

$$(n \not\equiv 0 \pmod{3} \vee n \not\equiv 0 \pmod{5}) \implies n \not\equiv 0 \pmod{15}$$

Take the contrapositive

$$n \equiv 0 \pmod{15} \implies (n \equiv 0 \pmod{3} \wedge n \equiv 0 \pmod{5})$$

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# NFA's Intuitively

1. An NFA is a DFA that can guess.
2. NFAs do not really exist.
3. Good for  $\cup$  since can guess which one.
4. An NFA accepts iff SOME guess accepts.

# NFA Formally

**Def** An **NFA** is a tuple  $(Q, \Sigma, \Delta, s, F)$  where:

1.  $Q$  is a finite set of **states**.
2.  $\Sigma$  is a finite **alphabet**.
3.  $\Delta : Q \times (\Sigma \cup \{e\}) \rightarrow 2^Q$  is the *transition function*.
4.  $s \in Q$  is the **start state**.
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**Def** If  $M$  is an NFA then  $L(M) = \{x : M(x) \text{ accepts}\}$ .

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- ▶ **Computational (with parallelism):** Fork new computational threads whenever there is a choice. Accept if any thread accepts.
- ▶ **Mathematical:** Create tree with branches whenever there is a choice. Accept if any leaf accepts.
- ▶ **Magic:** Guess at each nondeterministic step which way to go. Machine always makes right guess if there is one.

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We are nowhere near done. Next slide.

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If NFA accepts on some path then in the DFA you will be in a state which is a set-of-states, which includes a final state from the NFA.

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$$\Delta : Q \times \Sigma \rightarrow 2^Q.$$

We define a DFA that recognizes the same language as  $M$ .

**Key** The DFA will keep track of the **set** of states that the NFA could have been in.

**DFA**  $(2^Q, \Sigma, \delta, \{s\}, F')$ . Need to define  $\delta$  and  $F'$ .

$$\delta : 2^Q \times \Sigma \rightarrow 2^Q.$$

$$\delta(A, \sigma) = \bigcup_{q \in A} \Delta(q, \sigma).$$

$$F' = \{A : A \cap F \neq \emptyset\}.$$

If NFA accepts on some path then in the DFA you will be in a state which is a set-of-states, which includes a final state from the NFA. If the DFA accepts then there was some way for the NFA to accept.

**BILL, STOP RECORDING LECTURE!!!!**

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