# BILL AND NATHAN START RECORDING

# **Context Free Languages**

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However, this is not quite true. PL people - discuss!

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Our interest in CFL's is:

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- 2) Closure properties of CFLs.
- 3) CFL's are all in P (poly time).
- 4) Which languages are not context free?
- 5) Languages that are CFL but not Regular.

### **Examples of Context Free Grammars**

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 $S \rightarrow e$ 

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**Note** *L* is context free lang that is not regular.

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# Context Free Grammar for $\{a^m b^n : m > n\}$

**DISCUSS** 

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DISCUSS  $S \to AT$   $T \to aTb$   $T \to e$   $A \to Aa$  $A \to a$ 

### **Context Free Grammars**

**Def** A **Context Free Grammar** is a tuple  $G = (N, \Sigma, R, S)$ 

- ► *N* is a finite set of **nonterminals**.
- $ightharpoonup \Sigma$  is a finite **alphabet**. Note  $\Sigma \cap N = \emptyset$ .
- ▶  $R \subseteq N \times (N \cup \Sigma)^*$  and are called **Rules**.
- $ightharpoonup S \in N$ , the start symbol.

If A is non-terminal then the CFG gives us gives us rules like:

- ightharpoonup A 
  ightharpoonup AB
- ightharpoonup A 
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- $ightharpoonup A \Rightarrow a$
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Then, if w is string of **non-terminals only**, we define L(G) by:

$$L(G) = \{ w \in \Sigma^* \mid S \Rightarrow w \}$$

### Number of a's = Number of b's

ls

$$L = \{ w \mid \#_a(w) = \#_b(w) \}$$

context free?

Let G be the CFG  $S \rightarrow aSb$   $S \rightarrow bSa$   $S \rightarrow SS$   $S \rightarrow e$ 

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Let G be the CFG S 	oup aSb S 	oup bSa S 	oup bSa S 	oup e Thm L(G) = \{w \mid \#_a(w) = \#_b(w)\}.
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Let *G* be the CFG

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$$S \rightarrow SS$$

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Thm 
$$L(G) = \{ w \mid \#_a(w) = \#_b(w) \}.$$

Note This Theorem is **not obvious**. Deserves a proof!

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$$S \rightarrow SS$$

$$S \rightarrow e$$

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### **Contrast**

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Never proved a DFA recognized language we claimed it did.

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Gasarch's Principle Never prove an obvious Theorem.

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(Exception: a course on foundations. I proved x + y = y + x.)

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**Solution** The proof is on the slides, but I won't go over it, and you don't need to know it for a HW or Exam.

$$L(G) \subseteq \{ w \mid \#_a(w) = \#_b(w) \}$$
  
Let  $G$  be the CFG  
 $S \rightarrow aSb \mid bSa \mid SS \mid e$ 

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Thm  $L(G) \subseteq \{w \mid \#_a(w) = \#_b(w)\}$ . We prove something stronger.

Let  $L(G)' = \{\alpha \in \{S, a, b\}^* : S \Rightarrow \alpha\}$  (Note that we allow S in  $\alpha$ .)

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 $\#_{a}(\alpha' \mathsf{a} \mathsf{Sb} \alpha'') = \#_{b}(\alpha' \mathsf{S} \alpha'') + 1.$ 

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Hence

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Case 2 Other cases for last step similar.



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We must show that **every** w with  $\#_a(w) = \#_b(w)$  can be generated.

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**Base Case** |w| = 0. So w = e. Can be generated by  $S \rightarrow e$ .

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Case 2 w = bw'a. Similar.

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Case 3 w = aw'a. This is first NON-OBVIOUS part!



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Case 2 w = bw'a. Similar.

Case 3 w = aw'a. This is first NON-OBVIOUS part! Next Slide.

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Case 3 w = aw'a. Let  $w = a\sigma_2 \cdots \sigma_{n-1}a$ . Look at prefixes of w:

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

Let G be the CFG  $S oup aSb \mid bSa \mid SS \mid e$ Case 3 W = aw'a. Let  $W = a\sigma_2 \cdots \sigma_{n-1}a$ . Look at prefixes of W:

a:  $\#_a(a) > \#_b(a)$ 

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

Let 
$$G$$
 be the CFG  $S oup aSb \mid bSa \mid SS \mid e$  Case  $S oup aSb \mid bSa \mid SS \mid e$  Case  $S oup aSb \mid bSa \mid SS \mid e$  Let  $S oup aSb \mid bSa \mid SS \mid e$  Dro all  $S oup aSb \mid bSa \mid SSb \mid e$  For all  $S oup aSb \mid SBb \mid$ 

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

 $\#_a(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2} - 1$   $\#_b(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2}$ 

Let 
$$G$$
 be the CFG  $S oup aSb \mid bSa \mid SS \mid e$  Case  $3 \ w = aw'a$ . Let  $w = a\sigma_2 \cdots \sigma_{n-1}a$ . Look at prefixes of  $w$ :  $a$ :  $\#_a(a) > \#_b(a)$  For all  $2 \le i \le n-1$ , EITHER  $\#_a(a\sigma_2 \cdots \sigma_i) = \#_a(a\sigma_2 \cdots \sigma_{i-1}) + 1$ . OR  $\#_b(a\sigma_2 \cdots \sigma_i) = \#_b(a\sigma_2 \cdots \sigma_{i-1}) + 1$ . But NOT both.

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$$\#_a(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2} - 1$$
  
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Hence

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

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S \Rightarrow w' and S \Rightarrow w''.
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#### Recap

```
1) a: \#_a(a) > \#_b(a)
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OR
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So w = w'w'' where w, w' \in L(G). Since |w'| < |w| and
|w''| < |w|, by IH
S \Rightarrow w' and S \Rightarrow w''.
So
S \rightarrow SS \Rightarrow w'w'' = w
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We will not be proving Langs NOT CFL.

# CLOSURE PROPERTIES AND REG CFL

#### Closure Properties: PROVE or DISPROVE

If  $L_1, L_2$  are Context Free Languages then

- 1. IS  $L_1 \cup L_2$  is a context free Lang?
- 2. IS  $L_1 \cap L_2$  is a context free Lang?
- 3. IS  $L_1 \cdot L_2$  is a context free Lang?
- 4. IS  $\overline{L_1}$  is a context free Lang?
- 5. IS  $L_1^*$  is a context free Lang?

DISCUSS

 $L_1$  is CFL via CFG  $(N_1, \Sigma, R_1, S_1)$ .  $L_2$  is CFL via CFG  $(N_2, \Sigma, R_2, S_2)$ .

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**Note** We assume  $N_1 \cap N_2 = \emptyset$ .

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This is true for 3 languages or 4 languages or 98 languages.

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#### No, because:

- ▶  $L_1 = \{ab\}$  is regular.
- $ightharpoonup L_k = \{a^k b^k\}$  is regular.
- ▶  $L_1 \cup L_2 \cup \cdots = \{a^n b^n : n \in \mathbb{N}\}$  is not regular.

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#### What about for CFLs?

- $ightharpoonup L_1 = \{abc\}$  is a CFL.
- $ightharpoonup L_k = \{a^k b^k c^k\}$  is a CFL.
- ▶ We will see later that  $\bigcup_{i=1}^{\infty} L_i = \{a^n b^n c^n : n \in \mathbb{N}\}$  is not CFL.

NOT TRUE:  $a^nb^nc^* \cap a^*b^nc^n = a^nb^nc^n$ .

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 $\begin{array}{l} \textit{L}_1 \text{ is CFL via CFG } (\textit{N}_1, \Sigma, \textit{R}_1, \textit{S}_1). \\ \textit{L}_2 \text{ is CFL via CFG } (\textit{N}_2, \Sigma, \textit{R}_2, \textit{S}_2). \\ \\ \text{The following CFG generates } \textit{L}_1 \cdot \textit{L}_2. \\ \textit{L}_1 \cdot \textit{L}_2 \text{ is CFL via CFG } (\textit{N}, \Sigma, \textit{R}, \textit{S}) \text{ where} \end{array}$ 

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**Note** We assume  $N_1 \cap N_2 = \emptyset$ .

# $L \ \mathsf{CFL} \to \overline{L} \ \mathsf{CFL}$

FALSE. Let

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FALSE.

Let

$$L = \overline{\{a^n b^n c^n : n \in \mathbb{N}\}}$$

This is a CFL. This will be a HW.

L is CFL via CFG  $(N, \Sigma, R, S)$ .

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#### **REG** contained in CFL

**Thm** If L is regular then L is CFL. DISCUSS

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Case 3  $\alpha = \beta^*$ . By IH  $L(\beta)$  is CFL. By closure under \*,  $L(\alpha)$  is CFL.

# Examples of CFL's and Size of CFG's

How big is a CFL for the language  $\{aaaaaaaaa\}$  (there are 8 a's).

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S o aaaaaaaa

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This does not seem quite right.

How big is a CFL for the language  $\{aaaaaaaa\}$  (there are 8 a's). We could say the size is 1:

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Next slide has a standard form for CFL's that make size make sense.

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- 1)  $A \rightarrow BC$  where  $A, B, C \in N$  (nonterminals).
- 2)  $A \rightarrow \sigma$  (where  $A \in N$  and  $\sigma \in \Sigma$ ).
- 3)  $S \rightarrow e$  (where S is the start state).

Recall the CFG:

S o aaaaaaaa

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DISCUSS TO FIND A CHOMSKY NORMAL FORM CFG FOR {aaaaaaaa}.

Recall the CFG:  $S \rightarrow aaaaaaaa$ 

Recall the CFG:

S 
ightarrow aaaaaaaa

Chomsky Normal form CFG that generates same lang:

 $S \rightarrow AA$ 

Recall the CFG:

S 
ightarrow aaaaaaaa

Chomsky Normal form CFG that generates same lang:

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 $A \rightarrow BB$ 

Recall the CFG:

S o aaaaaaaa

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C 
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Recall the CFG:

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We measure the size of a Chomsky Normal Form CFG by the number of rules.

Recall the CFG:

S o aaaaaaaa

Chomsky Normal form CFG that generates same lang:

 $S \rightarrow AA$ 

 $A \rightarrow BB$ 

 $B \rightarrow CC$ 

 $C \rightarrow a$ 

We measure the size of a Chomsky Normal Form CFG by the number of rules.

So {aaaaaaaa} has a Chomsky Normal Form CFG of size 4.

We say that  $\{a^8\}$  has a CNF CFG of size 4.

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We say that \{a^8\} has a CNF CFG of size 4. What about \{a^{16}\}? Vote 1) Size 8 2) Size 5
```

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What about  $\{a^{16}\}$ ? Vote

- 1) Size 8
- 2) Size 5

The answer is 5. Next slide.

 $S \rightarrow AA$ 

 $S \rightarrow AA$  $A \rightarrow BB$ 

 $S \rightarrow AA$ 

 $A \rightarrow BB$ 

 $B \to CC$ 

 $S \rightarrow AA$ 

 $A \rightarrow BB$ 

 $B \rightarrow CC$ 

 $C \to DD$ 

 $S \rightarrow AA$ 

 $A \rightarrow BB$ 

 $B \rightarrow CC$ 

 $C \rightarrow DD$ 

D o a

 $S \rightarrow AA$ 

 $A \rightarrow BB$ 

 $B \rightarrow CC$ 

 $C \rightarrow DD$ 

 $D \rightarrow a$ 

What to do if n is not a power of 2. HW.

$$L = \{a\}^n$$

#### **Upshot**

For  $L_n = \{a^n\}$ :

- ▶ Any DFA or NFA that recognizes  $L_n$  has  $n + \Omega(1)$  states.
- ▶ There is a CFG that generates  $L_n$  with  $O(\log n)$  rules.

Our Old Friend 
$$L = \{a, b\}^* a \{a, b\}^n$$

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# Our Old Friend $L = \{a, b\}^* a \{a, b\}^n$

- 1) We showed that L requires a  $2^{n+1}$  size DFA.
- 2) We have an NFA of size n + 2. There is no NFA of size n since then there would be a DFA of size  $2^n < 2^{n+1}$ .
- 3) DISCUSS for getting a CFG of size  $\ll n$ .

$$L = L_1 \cdot L_2$$
 where

```
L=L_1\cdot L_2 where L_1=\{a,b\}^*a. Has 5-rule Chomsky Normal Form CFG: S\to AS\mid BS\mid a A\to a B\to b
```

```
L = L_1 \cdot L_2 where
L_1 = \{a, b\}^*a. Has 5-rule Chomsky Normal Form CFG:
S \rightarrow AS \mid BS \mid a
A \rightarrow a
B \rightarrow b
L_2 = \{a, b\}^n. A \lg(n) + 3 rule Chomsky Normal Form CFG.
S \rightarrow S_1 S_1
S_1 \rightarrow S_2 S_2
S_{\lg(n)+1} \to S_{\lg(n)} S_{\lg(n)}
S_{\lg(n)} \rightarrow a \mid b
Note We are assuming n is a power of 2.
```

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Recall the CFG for  $\{a^mb^n: m>n\}$ . We put it into Chomsky Normal Form.

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Repeat the process with the other rules.

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The proof that PDA-recognizers and CFG-generators are equivalent is messy so we won't be doing it. We won't deal with PDA's in this course at all.