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Design of Algorithms by Induction

The celebrity problem:

A nice example in Algorithm design whose solution does not require scanning all the data (or even a significant part of it).

A celebrity among n persons is someone who is known by everyone but does not know anyone. The problem is to identify the celebrity, if one exists, by asking questions only of the form "Excuse me, do you know the person over there?" (we assume all answers are correct and even celebrity answers).

The goal is to minimize the number of questions. since there are $\binom{n}{2} = \frac{n(n-1)}{2}$ pairs we can have $n(n-1)$ questions maximum.

The problem: Given an $n \times n$ matrix whose ij entry is 1 if the i th person knows the j th person, and 0 otherwise, determine whether there exists an i such that all the entries in the i th column (except for the ii th entry) are 1, and all entries in the i th row (except for ii th entry) are 0.

Again the idea is to use induction: base case with two persons is easy. Say we know the solution for $n-1$ persons, what about for n .

note that since there is at most one celebrity, three possibilities we have

- 1) the celebrity is among the first $n-1$
- 2) the celebrity is the n th person
- 3) there is no celebrity.

Case 1) is easy, just to check the n th person knows the celebrity and the celebrity does not know the n th person.

The other two cases are harder to determine the n th person is the celebrity, we may need to ask $2(n-1)$ questions. then we can have $n(n-1)$ questions that we tried.

The trick is to solve the problem "backward": It may be hard to identify a celebrity, but it is easier to identify someone as a non-celebrity.

If we eliminate some one, then the size of the problem is reduced from n to $n-1$.

The Algorithm is as follows: we ask Alice whether she knows Bob.
 If Alice says no, Bob is not a celebrity and we can eliminate him.
 If Alice says yes, Alice is not a celebrity and we can eliminate her.

In either case, we eliminate one person. We then find (by induction) a celebrity among $n-1$ persons. If there is no celebrity, the algorithm terminates; otherwise we check that ~~A~~ knows the celebrity and that the celebrity does not know A.

eliminated guy

we have at most $3(n-1)$ questions: $n-1$ questions in the first phase to eliminate $n-1$ persons, and then at most $2(n-1)$ questions to verify that the candidate is indeed a celebrity. (instead of $n(n-1)$ questions in the worst case).

Note that here our instance of $n-1$ person is smart and not arbitrary (see the code of the Alg in the book).

Evaluating Polynomials:

The problem: Given a sequence of real numbers $a_n, a_{n-1}, \dots, a_1, a_0$ and a real number x , compute the value of the polynomial $P_n(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$.

Induction can lead to a very good solution:

The first natural attempt is to reduce the problem by removing a_n and thus we are left with evaluating polynomial

$$P_{n-1}(x) = a_{n-1} x^{n-1} + a_{n-2} x^{n-2} + \dots + a_1 x + a_0$$

IH: we know how to evaluate a polynomial represented by the input a_{n-1}, \dots, a_1, a_0 at the point x (i.e. we can compute $P_{n-1}(x)$).

now $P_n(x) = P_{n-1}(x) + a_n x^n$ (i.e. compute x^n , multiply it by a_n and add the result to $P_{n-1}(x)$).

Here we need $n + (n-1) + \dots + 1 = \frac{n(n+1)}{2}$ multiplications and n additions.

(here the power of x is computed from scratch).

Stronger IH: we know how to compute the value of the $P_{n-1}(x)$ and we know how to compute x^{n-1} . Then we need one multiplication for x^n one for $a_n x^n$ and one addition at the end ($2n$ multi. and n additions total).
 What about if we remove a_0 instead of a_n .

Define $P'_{n-1}(x) = a_n x^{n-1} + a_{n-1} x^{n-2} + \dots + a_1$

IH (reversed order) since we remove a_0 instead of a_n : We know how to evaluate $P'_{n-1}(x)$ with coefficients a_n, a_{n-1}, \dots, a_1 .

Then $P_n(x) = x P'_{n-1}(x) + a_0$, we need one multiplication and one addition only (n multi. and n additions total)

Algorithm Horner's Rule

Input: $\vec{a} : a_0, a_1, \dots, a_n$ (coefficients of a polynomial), and x (a real number)

Output: P (the value of the polynomial at x).

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begin
  P := a_n;
  for i = 1 to n do
    P := x * P + a_{n-i};
end.

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Finally Finding the maximum consecutive subsequence:

The problem: Given a sequence x_1, x_2, \dots, x_n of real numbers (not necessarily positive) find a subsequence x_i, x_{i+1}, \dots, x_j (of consecutive elements) such that the sum of the numbers in it is maximum over all subsequences of consecutive elements.

For $2, 3, 1.5, -1, 3, -2, -3, 3$ we have maximum subsequence $1.5, -1, 3$ with sum 3.5 .

If all the numbers are negative, then the max seq is empty with sum 0.

The straight-forward IH: we know how to find the maximum subsequence in sequence of size $< n$.

For $n=1$, the max subseq. consists of the single number if it is non-negative or empty otherwise.

Consider $S = (x_1, x_2, \dots, x_n)$ of size $n > 1$. We know the max subseq in $S' = (x_1, x_2, \dots, x_{n-1})$. If max subseq is empty in S' , then every number in S' is negative and we only need to consider x_n . Say the max subseq in S' is $S'_m = (x_i, x_{i+1}, \dots, x_j)$ for $1 \leq i \leq j \leq n-1$ if $j = n-1$ (namely the max subseq. is a suffix), we only need to check x_n for extension.

However if $j < n-1$, then there are two possibilities. Either S'_n remains maximum, or there is another subsequence, which is not maximum in S' , but is maximum in S when x_n is added to it. ④

The idea is to strengthen the induction by knowing only the max subseq is not enough, so

stronger IH: we know how to find, in sequence of size $< n$, a maximum subseq. overall, and the max subseq that is a suffix.

now that we know both, it is much easier. we add x_n to the max suffix. If the sum is more than the global max subseq., then we update it (as well as the new suffix) - otherwise we retain the previous max subseq. we are not done yet, we also need to find the new max suffix. Not always adding x_n is good. if it is negative, we take the empty set as max suffix.

so with $O(n)$ operations, we find the max subseq. instead of $\binom{n}{2}$ operations if we tried all (i, j) . see the code of the algorithm in the book

Strengthening the Induction Hypothesis

Instead of $P(k) \Rightarrow P(n)$, we can add another assumption Q which makes the proof easier $[P \text{ and } Q](k) \Rightarrow P(n)$. However this is the common mistake, we should prove $[P \text{ and } Q](k) \Rightarrow [P \text{ and } Q](n)$.

It is crucial to follow the IH precisely.

See Common Error is section 5.11